



Regular Meeting
of the
Board of Trustees

March 24, 2022

**Regular Meeting of the
BOARD OF TRUSTEES OF NORTHERN ILLINOIS UNIVERSITY
9:00 a.m. – Thursday – March 24, 2022**

This meeting is being conducted in person and through videoconferencing communications pursuant to Public Act 101-0640, enacted June 12, 2020, and section 7(e) of the Illinois Open Meetings Act, allowing for virtual board meetings during a disaster declaration relating to public health concerns. As Chair of the Board, I have determined that an in-person meeting is not practical or prudent because of the disaster. As such, trustees may attend today’s meeting by audio and visual conferencing and not by physical presence.

AGENDA

- 1. Call to Order and Roll Call
- 2. Verification of Quorum and Appropriate Notification of Public Meeting
- 3. Meeting Agenda Approval..... *Action*..... i
- 4. [Review and Approval of Minutes from February 10, 2022](#)..... *Action*..... 1
- 5. Public Comment*
- 6. Chair’s Comments/Announcements
- 7. Closed Session
- 8. [Reports of Board Committees and Board Liaisons](#)..... *Information*..... 8
 - a. Academic Affairs, Student Affairs and Personnel Committee (AASAP)
 - b. Finance, Audit, Compliance, Facilities and Operations Committee (FACFO)
 - c. Research and Innovation, Legal and Legislative Affairs Committee (RILLA)
 - d. Illinois Board of Higher Education
 - e. Universities Civil Service Merit Board
 - f. Northern Illinois Research Foundation
 - g. Northern Illinois University Alumni Association
 - h. Northern Illinois University Foundation
- 9. President’s Report No. 158
 - a. University Recommendations Forwarded by the Board Committees
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10. Chair’s Report No. 101

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b. President Lisa C. Freeman Contract Modifications to University Goals, Presidential Evaluation Timeline and Transition Provision.....	Action.....	83

11. Other Matters

12. Next Meeting Date

13. Adjournment

*Individuals wishing to make an appearance before the Board should consult the [*Bylaws of the Board of Trustees of Northern Illinois University, Article II, Section 4 – Appearances before the Board.*](#) Appearance request forms can be completed [online](#) in advance of the meeting or will be available in the Board Room the day of the meeting. For more information contact Crystal Doyle, ccoppel@niu.edu, Altgeld Hall 300, DeKalb, IL 60115, 815-753-1273.

Anyone needing special accommodations to participate in the NIU Board of Trustees meetings should contact Crystal Doyle, ccoppel@niu.edu or (815) 753-1273, as soon as possible.

Minutes of the
Board of Trustees of Northern Illinois University
Special Meeting
February 10, 2022

CALL TO ORDER AND ROLL CALL

The meeting was called to order at 2:23 p.m. by Board Chair Eric Wasowicz in the Board of Trustees Room, 315 Altgeld Hall. This meeting is being conducted in person and through videoconferencing communications pursuant to Public Act 101-0640, enacted June 12, 2020, and section 7(e) of the Illinois Open Meetings Act, allowing for virtual board meetings during a disaster declaration relating to public health concerns. As Chair of the Board, I have determined that an in-person meeting is not practical or prudent because of the disaster. As such, trustees may attend today's meeting by audio and visual conferencing and not by physical presence.

Recording Secretary Crystal Doyle conducted a roll call.

Vice Chair Rita Athas: Present	Trustee Dennis Barsema: Present
Trustee John Butler: Present	Trustee Montel Gayles: Present
Trustee Veronica Herrero: Absent	Trustee Bob Pritchard: Present
Trustee Jacob Sommer: Present	Board Chair Eric Wasowicz: Present

Also present: President Lisa Freeman; Vice President and General Counsel and Board Parliamentarian Bryan Perry; Board Liaison and Chief of Staff Matt Streb; Executive Vice President and Provost Beth Ingram; Vice President for Administration and Finance and Chief Financial Officer Sarah Chinniah; Vice President for Enrollment Management, Marketing and Communications Sol Jensen; Vice President for Research and Innovation Partnerships Jerry Blazey; Vice President for Diversity, Equity and Inclusion and Chief Diversity Officer Vernese Edghill-Walden; and University Advisory Council (UAC) Representatives Felicia Bohanon, and Peter Chomentowski.

VERIFICATION OF QUORUM AND APPROPRIATE NOTICE OF PUBLIC MEETING

General Counsel Bryan Perry indicated the appropriate notification of the meeting has been provided pursuant to the Illinois Open Meetings Act. Mr. Perry also advised that a quorum was present.

MEETING AGENDA APPROVAL

Chair Wasowicz asked for a motion to approve the meeting agenda. Trustee Gayles so moved, and Vice Chair Athas seconded.

Chair Wasowicz asked Ms. Doyle to conduct a roll call vote.

Vice Chair Rita Athas: Yes	Trustee Dennis Barsema: Yes
Trustee John Butler: Yes	Trustee Montel Gayles: Yes
Trustee Veronica Herrero: Absent	Trustee Bob Pritchard: Yes
Trustee Jacob Sommer: Yes	Board Chair Eric Wasowicz: Yes

The motion was approved.

REVIEW AND APPROVAL OF MINUTES OF DECEMBER 7, 2021

Chair Wasowicz asked for a motion to approve the minutes of December 7, 2021. Trustee Pritchard so moved, and Trustee Gayles seconded.

Chair Wasowicz asked Ms. Doyle to conduct a roll call vote.

Vice Chair Rita Athas: Yes

Trustee Dennis Barsema: Yes

Trustee John Butler: Yes

Trustee Montel Gayles: Yes

Trustee Veronica Herrero: Absent

Trustee Bob Pritchard: Yes

Trustee Jacob Sommer: Yes

Board Chair Eric Wasowicz: Yes

The motion was approved.

CHAIR'S COMMENTS/ANNOUNCEMENTS

Chair Wasowicz welcomed the members of the University Advisory Council who were present.

Chair Wasowicz began his comments recognizing the recent loss of an NIU student. He said his thoughts and prayers are with the student's family.

Chair Wasowicz stated that each Board of Trustees committee will be providing an update on the University Goal that pertain to their committee at future committee meetings. He noted that there are two goals that are not being addressed by a particular committee, goal number four, concerning Diversity, Equity and Inclusion and goal number one concerning Empowerment and Shared Responsibility. Chair Wasowicz stated that these goals are captured by all of the work that is done at the university and should be included in all we do. Further, President Freeman will be providing a goal one update in the future. Chair Wasowicz congratulated Sean Frazier and his team on winning the NCAA Division Award for Diversity and Inclusion for the second time and mentioned that NIU is the only school in the NCAA history to win this award twice. Chair Wasowicz also thanked Dr. Vernese Edghill-Walden for her work on helping NIU receive the award.

PUBLIC COMMENT

Chair Wasowicz introduced the public comment portion of the meeting. General Counsel Perry stated that there were no registered public comments.

PRESIDENT'S REPORT NO. 157

Chair Wasowicz asked President Freeman to present the President's Report No. 157.

President Lisa Freeman presented Agenda Item 7.a. Appointment of Dean, Graduate School and Associate Vice President, International Affairs

Chair Wasowicz asked for a motion to approve item 7.a. Vice Chair Athas so moved, and Trustee Pritchard seconded.

Chair Wasowicz asked Ms. Doyle to conduct a roll call vote.

Vice Chair Rita Athas: Yes

Trustee Dennis Barsema: Yes

Trustee John Butler: Yes

Trustee Montel Gayles: Yes

Trustee Veronica Herrero: Absent

Trustee Bob Pritchard: Yes

Trustee Jacob Sommer: Yes

Board Chair Eric Wasowicz: Yes

The motion was approved.

President Lisa Freeman presented Agenda Item 7.b. Recommendation for Faculty Tenure and/or Promotion Effective 2022-2023

Chair Wasowicz asked for a motion to approve item 7.b. Trustee Gayles so moved, and Vice Chair Athas seconded.

Chair Wasowicz asked Ms. Doyle to conduct a roll call vote.

Vice Chair Rita Athas: Yes	Trustee Dennis Barsema: Yes
Trustee John Butler: Yes	Trustee Montel Gayles: Yes
Trustee Veronica Herrero: Absent	Trustee Bob Pritchard: Yes
Trustee Jacob Sommer: Yes	Board Chair Eric Wasowicz: Yes

The motion was approved.

President Lisa Freeman presented Agenda Item 7.c. Fiscal Year 2023 Undergraduate Tuition Pricing Recommendation

Chair Wasowicz asked for a motion to approve item 7.c. Trustee Pritchard so moved, and Trustee Butler seconded.

Chair Wasowicz asked Ms. Doyle to conduct a roll call vote.

Vice Chair Rita Athas: Yes	Trustee Dennis Barsema: Yes
Trustee John Butler: Yes	Trustee Montel Gayles: Yes
Trustee Veronica Herrero: Absent	Trustee Bob Pritchard: Yes
Trustee Jacob Sommer: Yes	Board Chair Eric Wasowicz: Yes

The motion was approved.

President Lisa Freeman presented Agenda Item 7.d. Fiscal Year 2023 Graduate and Law Tuition Pricing Recommendation

Chair Wasowicz asked for a motion to approve item 7.d. Trustee Pritchard so moved, and Vice Chair Athas seconded.

Chair Wasowicz asked Ms. Doyle to conduct a roll call vote.

Vice Chair Rita Athas: Yes	Trustee Dennis Barsema: Yes
Trustee John Butler: Yes	Trustee Montel Gayles: Abstain
Trustee Veronica Herrero: Absent	Trustee Bob Pritchard: Yes
Trustee Jacob Sommer: Yes	Board Chair Eric Wasowicz: Yes

The motion was approved.

President Lisa Freeman presented Agenda Item 7.e. Fiscal Year 2023 Undergraduate, Graduate, and Law Student Pricing Recommendation

Chair Wasowicz asked for a motion to approve item 7.e. Trustee Pritchard so moved, and Trustee Butler seconded.

Chair Wasowicz asked Ms. Doyle to conduct a roll call vote.

Vice Chair Rita Athas: Yes	Trustee Dennis Barsema: Yes
Trustee John Butler: Yes	Trustee Montel Gayles: Abstain
Trustee Veronica Herrero: Absent	Trustee Bob Pritchard: Yes

Trustee Jacob Sommer: Yes

Board Chair Eric Wasowicz: Yes

The motion was approved.

President Lisa Freeman presented Agenda Item 7.f. Fiscal Year 2023 Room and Board Fee Recommendation

Chair Wasowicz asked for a motion to approve item 7.f. Vice Chair Athas so moved, and Trustee Pritchard seconded.

Chair Wasowicz asked Ms. Doyle to conduct a roll call vote.

Vice Chair Rita Athas: Yes

Trustee Dennis Barsema: Yes

Trustee John Butler: Yes

Trustee Montel Gayles: Abstain

Trustee Veronica Herrero: Absent

Trustee Bob Pritchard: Yes

Trustee Jacob Sommer: Yes

Board Chair Eric Wasowicz: Yes

The motion was approved.

President Lisa Freeman presented Agenda Item 7.g. Fiscal Year 2023 Student Health Insurance

Chair Wasowicz asked for a motion to approve item 7.g. Trustee Pritchard so moved, and Trustee Butler seconded.

Chair Wasowicz asked Ms. Doyle to conduct a roll call vote.

Vice Chair Rita Athas: Yes

Trustee Dennis Barsema: Yes

Trustee John Butler: Yes

Trustee Montel Gayles: Abstain

Trustee Veronica Herrero: Absent

Trustee Bob Pritchard: Yes

Trustee Jacob Sommer: Yes

Board Chair Eric Wasowicz: Yes

The motion was approved.

President Lisa Freeman presented Agenda Item 7.h. Relocation of Human Resource Services

Chair Wasowicz asked for a motion to approve item 7.h. Trustees Gayles so moved, and Trustee Butler seconded.

Chair Wasowicz asked Ms. Doyle to conduct a roll call vote.

Vice Chair Rita Athas: Yes

Trustee Dennis Barsema: Yes

Trustee John Butler: Yes

Trustee Montel Gayles: Yes

Trustee Veronica Herrero: Absent

Trustee Bob Pritchard: Yes

Trustee Jacob Sommer: Yes

Board Chair Eric Wasowicz: Yes

The motion was approved.

President Lisa Freeman presented Agenda Item 7.i. Payment Card Processing

Chair Wasowicz asked for a motion to approve item 7.i. Trustee Pritchard so moved, and Trustee Gayles seconded.

Chair Wasowicz asked Ms. Doyle to conduct a roll call vote.

Vice Chair Rita Athas: Yes

Trustee Dennis Barsema: Yes

Trustee John Butler: Yes

Trustee Montel Gayles: Yes

Trustee Veronica Herrero: Absent

Trustee Bob Pritchard: Yes

Trustee Jacob Sommer: Yes

Board Chair Eric Wasowicz: Yes

The motion was approved.

President Lisa Freeman presented Agenda Item 7.j. Honorary Doctorate Recommendation

Chair Wasowicz asked for a motion to approve item 7.j. Trustee Pritchard so moved, and Trustee Butler seconded.

Chair Wasowicz asked Ms. Doyle to conduct a roll call vote.

Vice Chair Rita Athas: Yes

Trustee Dennis Barsema: Yes

Trustee John Butler: Yes

Trustee Montel Gayles: Yes

Trustee Veronica Herrero: Absent

Trustee Bob Pritchard: Yes

Trustee Jacob Sommer: Yes

Board Chair Eric Wasowicz: Yes

The motion was approved.

President Lisa Freeman presented Agenda Item 7.k. Real Estate Property Sale – Sycamore Land

Chair Wasowicz asked for a motion to approve item 7.k. Vice Chair Athas so moved, and Trustee Pritchard seconded.

Chair Wasowicz asked Ms. Doyle to conduct a roll call vote.

Vice Chair Rita Athas: Yes

Trustee Dennis Barsema: Yes

Trustee John Butler: Yes

Trustee Montel Gayles: Yes

Trustee Veronica Herrero: Absent

Trustee Bob Pritchard: Yes

Trustee Jacob Sommer: Yes

Board Chair Eric Wasowicz: Yes

The motion was approved.

President Lisa Freeman presented Agenda Item 7.l. Request for Delegated Authority Regarding Purchase of High Performance Computing

Chair Wasowicz asked for a motion to approve item 7.l. Vice Chair Athas so moved, and Trustee Pritchard seconded.

Chair Wasowicz asked Ms. Doyle to conduct a roll call vote.

Vice Chair Rita Athas: Yes

Trustee Dennis Barsema: Yes

Trustee John Butler: Yes

Trustee Montel Gayles: Yes

Trustee Veronica Herrero: Absent

Trustee Bob Pritchard: Yes

Trustee Jacob Sommer: Yes

Board Chair Eric Wasowicz: Yes

The motion was approved.

OTHER MATTERS

There were no other matters.

NEXT MEETING DATE

The next regularly scheduled meeting of the Board of Trustees will be held March 24, 2022 at 9:00 a.m.

CLOSED SESSION

Chair Wasowicz stated that the Board needed to go into closed session and would not take up new business following the closed session. He asked for a motion to close the public meeting to conduct closed session to discuss the following subjects as authorized by the Open Meetings Act: personnel matters as generally described under sections 2(c)(1)(2)(3) and (21) of the open meetings act; collective bargaining matters as generally described under section 2(c)(2) of the open meetings act; real estate matters as generally described under section 2(c)(5) and (6) of the open meetings act; litigation and risk management matters as generally described under sections 2(c)(11) and (12) of the open meetings act; student disciplinary cases as generally described under section 2(c)(9) of the open meetings act and closed session minutes matters as generally described under section 2(c)(21) of the open meetings act.

Trustee Gayles so moved, and Trustee Pritchard seconded.

Chair Wasowicz asked Ms. Doyle to take a roll call vote to close the public meeting.

Vice Chair Rita Athas: Yes	Trustee Dennis Barsema: Yes
Trustee John Butler: Yes	Trustee Montel Gayles: Yes
Trustee Veronica Herrero: Absent	Trustee Bob Pritchard: Yes
Trustee Jacob Sommer: Yes	Board Chair Eric Wasowicz: Yes

The motion was approved.

The Board closed the public meeting at 3:03 p.m.

The meeting reconvened from closed session at 4:38 p.m.

Chair Wasowicz asked Ms. Doyle to conduct a roll call.

Vice Chair Rita Athas: Present	Trustee Dennis Barsema: Absent
Trustee John Butler: Present	Trustee Montel Gayles: Present
Trustee Veronica Herrero: Absent	Trustee Bob Pritchard: Present
Trustee Jacob Sommer: Absent	Board Chair Eric Wasowicz: Present

ADJOURNMENT

Chair Wasowicz asked for a motion to adjourn. Trustee Gayles so moved, and Vice Chair Athas seconded.

Chair Wasowicz asked Ms. Doyle to conduct a roll call.

Vice Chair Rita Athas: Yes	Trustee Dennis Barsema: Absent
Trustee John Butler: Yes	Trustee Montel Gayles: Yes
Trustee Veronica Herrero: Absent	Trustee Bob Pritchard: Yes
Trustee Jacob Sommer: Absent	Board Chair Eric Wasowicz: Yes

The motion was approved.

The meeting adjourned at 4:39 p.m.

Respectfully submitted,

Crystal Doyle
Recording Secretary

In compliance with Illinois Open Meetings Act 5 ILCS 120/1, et seq, a verbatim record of all Northern Illinois University Board of Trustees meetings is maintained by the Board Recording Secretary and is available for review upon request. The minutes contained herein represent a true and accurate summary of the Board proceedings.

REPORTS OF BOARD COMMITTEES AND BOARD LIAISONS

a. Academic Affairs, Student Affairs and Personnel Committee (AASAP)

The Academic Affairs, Student Affairs and Personnel Committee met on February 10, 2022. Five action items appeared on the agenda: Appointment of Dean, Graduate School and Associate Vice President, International Affairs; Recommendation for Faculty Tenure and/or Promotion Effective 2022-2023; Request for New Minor in Sport for Development and Social Change; Request for New Minor in Psychosocial Aspects of Sport and Physical Activity; and Recommendations for Faculty and Supportive Professional Staff Sabbatical Leaves for the 2022-2023 Academic Year, all were approved. The committee received four information items: Faculty Presentation on Sabbatical Leave; Annual Report on the Outcomes of Sabbatical Leaves; Health Informatics Technology Center (HITC) Update; and Tuition and Pricing Presentation.

b. Finance, Audit, Compliance, Facilities, and Operations Committee (FACFO)

The Finance, Audit, Compliance, Facilities and Operations Committee met on February 10, 2022. The committee was presented with two Financial Update items: Quarterly Financial Summary Fiscal Year 2022 Second Quarter as of December 31, 2021 and Periodic Report on Investments for Period Ending December 31, 2021. The committee approved 13 actions items: Fiscal Year 2023 Undergraduate Tuition Pricing Recommendation; Fiscal Year 2023 Graduate and Law Tuition Pricing Recommendation; Fiscal Year 2023 Undergraduate, Graduate, and Law Student Fee Pricing Recommendation; Fiscal Year 2023 Room and Board Fee Recommendation; Fiscal Year 2023 Student Health Insurance; Relocation for Human Resource Services; Payment Card Processing; Third Sector Intelligence Contract; DeKalb Campus Summer 2022 Roof Repairs Capital Project Approval; Stevenson and Grant Towers Building Envelope Repairs Capital Project Approval; Fiscal Year 2023 Consumable Commodities; Fiscal Year 2023 Facility Master Contract Renewals; and Fiscal Year 2023 Division of Information Technology Microsoft Enrollment Contract Renewal. Of these 13 action items, seven items were sent in front of the Special Meeting that same day. The committee also received two University Information items: Quarterly Summary Report of Transactions in Excess of \$100,000 for the Period October 1, 2021 to December 31, 2021 and Fiscal Year 2021 Report on Facilities and Infrastructure Capital Investment Activities.

c. Research and Innovation, Legal and Legislative Affairs Committee (RILLA)

The Research and Innovation, Legal and Legislative Affairs Committee met on February 10, 2022. The committee was presented with three information items: State Legislative Report, Federal Legislative Report, and the Sponsored Programs Administration Quarterly Report. Dr. Gerald Blazey, Vice President for Research and Innovation Partnerships reported that while state funding had not yet been released for NICCS, faculty remain engaged through monthly meetings that include research presentations. Dr. Blazey also reported that Dr. Courtney Gallaher had been appointed as NIU's Sustainability Coordinator. Ms. Katie Davison, Director of State Relations provided the State Legislative Report. Ms. Davison reported that she continues to advocate for the release of State funds for NICCS and that the Governor has planned a visit to NIU in March. She noted that under the Governor's proposed FY23 budget NIU would see a 5% increase. Dr. Anna Quider, Assistant Vice President for Federal Relations provided the Federal Legislative Report. Dr. Quider reviewed the current federal legislation and appropriations, noting that the House of Representatives passed the America COMPETES

Act of 2022, and that the federal government is operating under a continuing resolution until February 18th. She noted that Congress will most likely extend the resolution until mid-March. She also reported that President Freeman submitted public comments to the Department of Homeland Security in support of the Deferred Action for Childhood Arrivals (DACA). Ms. Dara Little, Assistant Vice President for Research and Sponsored Programs provided the FY22 Q2 Sponsored Funding Report.

d. Illinois Board of Higher Education

The IBHE met on June 8, 2021 and President Dietz from ISU announced his retirement and reported that Dr. Terri Goss Kinzy has been appointed as ISU's 20th president. Authority was granted to multiple community colleges to offer a variety of Associate of Applied science, subject to the institutions implementation and maintenance of conditions presented in their application. Authorization was granted to Black Hawk College to offer the Associate of Applied Science in Cybersecurity; Frontier Community College to offer the Associate of Applied Science in Certified Medical Assistant and the Associate of Applied Science in Electrical. Approval was granted to the following Independent Institutions for operating and/or degree granting authority: Adler University authorization to grant a Post-Baccalaureate Certificate in Sex Therapy in the Chicago Region; Embry-Riddle Aeronautical University to operate in the West Suburban Region; Northwestern Memorial Hospital authorization to operate in the Chicago Region; Roosevelt University to operate in the South Metro Region; and Chamberlain University authorization to grant a Master of Physician Assistant Studies in the Chicago Region. Approval was granted to the following public universities for new programs and centers: University of Illinois Springfield, Master of Science in Cybersecurity Management in the Central Region, Master of Science in Healthcare Informatics in the Central Region, Master of Science in Human Resource Management in the Central Region; University of Illinois Urbana Champaign, Master of Science in Biomedical Image Computing in the Prairie Region, and Master of Science in Integrative Biology in the Prairie Region. The IBHE further approved the consent agenda and other routine business items.

The IBHE met on August 10, 2021 and granted authority to multiple community colleges to offer a variety of Associate of Applied science, subject to the institutions implementation and maintenance of conditions presented in their applications. Authorization was granted to Elgin Community College to offer the Associate of Applied Science in Ophthalmic Technician; Frontier Community College to offer the Associate of Applied Science in Coal Mining Technology; John Wood Community College to offer the Associate of Applied Science in Web Development; Joliet Junior College to offer the Associate of Applied Science in Respiratory Care Therapy; Richard J. Daley College to offer the Associate of Applied Science in Computer-Aided Design Engineering Technology, the Associate of Applied Science in Computerized Numerical Control Engineering Technology, and the Associate of Applied Science in Welding Engineering Technology. Authorization was granted to Oak Point University to operate in the West Suburban Region with degree granting authority in: Master of Science in Nursing, Adult Gerontology Acute Care Nurse Practitioner; Master of Science in Nursing, Psychiatric Mental Health Nurse Practitioner; Post-Graduate Certificate, Adult Gerontology Acute Care Nurse Practitioner; and Post-Graduate Certificate, Psychiatric Mental Health Nurse Practitioner. Authorization was granted to Rosalind Franklin University of Medicine and Science to operate in the North Suburban Region with degree granting authority in the Master of Science in Nursing – Entry to Nursing Practice. Authorization was granted to St. John's College of Nursing to operate in the Southern Region with degree granting authority in the Bachelor of Science in Nursing. Approval was granted to the following public universities for new programs and centers: Southern Illinois University Carbondale, Master of Science in Aviation Management in the Southern Region, Center for Autism Spectrum

Disorders; University of Illinois Chicago, Bachelor of Science in Pharmaceutical Sciences; University of Illinois Springfield, Master of Public Policy; University of Illinois Urbana-Champaign, Bachelor of Arts in Liberal Arts and Sciences in Linguistics and Teaching English as a Second Language, Master of Science in Weather and Climate Risk and Analytics; and Western Illinois University, Master of Science in Teaching Mathematics.

The IBHE met on September 14, 2021 and approval was granted to the following Independent Institutions for operating and/or degree granting authority: Doctor of Philosophy in Behavior Analysis in the Chicago Region (institution not named in minutes). Approval was granted to the following public university for new programs and centers: Illinois State University, Master of Arts and Master of Science in English Education in the Central Region; University of Illinois Urbana-Champaign, Bachelor of Science in Liberal Arts and Sciences in Neuroscience and the Master of Science in Environmental Geology in the Prairie Region.

The IBHE met on December 14, 2021 and granted authority to multiple community colleges to offer a variety of Associate of Applied science, subject to the institutions implementation and maintenance of conditions presented in their applications: College of DuPage to offer the Associate of Applied Science in Web Development; Harry S. Truman College to offer the Associate of Applied Science in Barbering and Associate of Applied Science in Cosmetology; Heartland Community College to offer Associate of Applied Science in Electric Vehicle Technology and Associate of Applied Science in Trades Management; Illinois Valley Community College to offer the Associate of Applied Science in Dental Assisting; Kennedy-King College to offer the Associate of Applied Science in Networking Systems and Technologies; Olive-Harvey College to offer the Associate of Applied Science in Networking Systems and Technologies; Richard J. Daley College to offer the Associate of Applied Science in Industrial Supervision Engineering Technology and the Associate of Applied Science in Mechatronics Engineering Technology. Approval was granted to the following Independent Institutions for operating and/or degree granting authority: Rasmussen University, under the ownership structure of American Public Education, Inc. to continue to operate in the Chicago, Fox Valley, and South Metro Regions and to continue to grant the Medical Assisting Diploma, Associate of Applied Science Health Sciences, Associate of Science in Professional Nursing, and Associate of Applied Science Surgical Technologist in the Fox Valley and South Metro Region. Approval was granted to University of Illinois Chicago for the authorization of new programs and centers: Bachelor of Arts in Liberal Arts and Sciences in Global Asian Studies, Bachelor of Science in Environmental Engineering and the Bachelor of Science in Real Estate in the Chicago Region. Approval was granted to the University of Illinois Urbana-Champaign for the authorization of new programs and centers: Bachelor of Science in Accountancy + Data Science; Bachelor of Science in Finance + Data Science; Bachelor of Science in Information Sciences + Data Science; Bachelor of Science in Liberal Arts and Sciences in Astronomy + Data Science; Bachelor of Science in Computer Science + Education; Bachelor of Science in Liberal Arts and Sciences in Astrophysics; Master of Science in CyberGIS and Geospatial Data Science; Master of Science in Predictive Analytics and Risk Management in the Prairie Region and Western Illinois University was granted approval to authorize the Master of Music and Music Therapy in the Western Region.

The IBHE further approved the consent agenda and other routine business items.

e. University Civil Service Merit Board

The State Universities Civil Service Merit Board met on December 9, 2021. Several Merit Board members joined the meeting through remote connection between the System office and campus facilities throughout the state (NIU's Merit Board representative was located at the NIU Naperville campus (1120 East Diehl Road, Naperville, IL). At the meeting, the Merit

Board considered one discharge unrelated to NIU and several other matters. Included in these other matters were several routine reports and other significant matters, including a report from the Human Resource Directors Advisory Committee, a report from the Employee Advisory Committee, reports from the SUCSS Interim Executive Director and Legal Counsel, a review of recent activities of the System's Governance, Risk, and Compliance Audit Program, discussion and action on a proposed change to the Bylaws of the Merit Board, and discussion and action on proposed changes to the State Universities Civil Service Act (the Act). Both the Human Resource Directors Advisory Committee and Employee Advisory Committee expressed support for the changes to the Act as proposed; and, since the December meeting, several of those changes were introduced in HB 5408 (Sponsor Carol Ammons), which has passed the Illinois House, and is, as of this report date, in the Illinois Senate (Sponsor Scott Bennett). Finally, during the December 9th meeting, the Merit Board appointed Interim Executive Director Gail Schiesser to serve as the permanent Executive Director. The next meeting of the Merit Board was to have been held on Thursday, February 24, 2022, but it was postponed to April 7, 2022.

f. Northern Illinois Research Foundation

The Audit Committee and Board of Directors of the Northern Illinois Research Foundation held their quarterly meetings on December 6 and 13, 2021, respectively. The Audit Committee discussed the quarterly financial statements, upcoming NIRF insurance renewal, and Request for Information document creation for insurance brokerage firm engagement. The Board of Directors approved changes to the NIRF Bylaws, received quarterly updates from the Audit Committee and the NIU Office of Innovation, and listened to a presentation by Dr. Tao Xu from the NIU Department of Chemistry and Biochemistry. The next NIRF Board of Directors meeting is scheduled for March 22.

g. Northern Illinois University Alumni Association

Communications – The *Entrepreneurship* issue of Northern Now was released to rave reviews. Work is underway on the next issue, which will focus on Mission Based Leadership.

The single biggest highlight of the NIU Foundation and Alumni Association's communications work is the skyrocketing unique email open rate. In FY21 the email open rate was 15.89% and is currently at 23.5% as of January 2022. Additionally, we have seen significant growth in social media, particularly LinkedIn, where we are up more than 4,000 followers in the last seven months.

We believe the increased communication engagement is due to the growth of content we are producing. Our two writers have averaged three feature stories a week. The content feeds engagement.

Events – The end of the year marked the end of an exciting football season. With major events at multiple home games in Atlanta, Detroit, and Orlando, we leveraged the enthusiasm to engage many huskies.

The holiday party at the Old Post Office was also very successful, with rave reviews about the venue and the atmosphere.

Plans are underway for 2022. Markets targeted for event activities include SW Florida, Florida's east coast, Atlanta, Phoenix, DC, San Diego, San Francisco Bay Area, Denver, Milwaukee, Rockford, and of course, DeKalb and Chicago.

Volunteer Activity – Volunteer activities ebb and flow with campus student activity. Currently, with 511 unique alumni volunteers we are up over this time in FY20 (255

unique alumni volunteers) and FY21 (422 unique alumni volunteers). This is particularly impressive when you consider that we lost one of our most prolific partners, JobsPlus, when it was merged with Career Services.

We also welcomed a new team member, Eric Glasby, on February 1. Eric is the assistant director for student recruitment and university advocacy. He will work in those two areas, enhancing our ability to support university high-priority initiatives.

Advocacy is being held up by the pandemic, but we continue to update our plans accordingly. Monday, March 7th was our first virtual advocacy day, and Wednesday, March 9th will be a limited advocacy day in Springfield.

h. Northern Illinois University Foundation

Fundraising: Performance through February is very strong, with a total of \$17,742,000 raised, which is 79% of the \$22,500,000 goal for FY22. The major contributing factor is the impact of a planned gift of \$8.5M confirmed in the month of February, which represents the single largest bequest to NIU or the NIU Foundation to date. Details of the gift are still being worked through and will be shared at a later date.

Engagement: After 18 months limited to virtual engagement, the NIU Foundation and Alumni Association began holding in-person events again in the fall. Following a successful football season, with donor and alumni events in Atlanta, Ann Arbor, Detroit and Orlando, the annual Alumni Holiday Party, held at the Old Post Office in Chicago, enjoyed a record-setting crowd.

Upcoming Events of Note:

<i>Scholarship Luncheon (DeKalb)</i>	April 2
<i>Douro River Cruise (Portugal)</i>	April 4 – 15
<i>11th Annual NIUAA Golf Outing (Wheaton)</i>	May 23

Campaign Planning: The comprehensive campaign planning study, led by consultants Marts & Lundy, is on schedule and nearing its conclusion. Over the course of the past two months, the consultants have interviewed over 40 individual donors in the field, testing the preliminary case for support. Concurrently, academic and executive leaders participated in workshops to further identify campaign priorities and philanthropic programs of interest which will help inform, with the feedback from the interviews, the final case for support that will be shared publicly.

Once the preliminary study report is received in mid-March, University and Foundation leadership will meet to review the recommendations and confirm the campaign direction and goal. From there, a timeline for communication and pre-campaign execution will be developed, all leading up to the official lunch of the preliminary/leadership phase on July 1.

Special Presentation: NIU Alumni Association President, Joe Sener, is in attendance for the meeting to provide a presentation on two very important projects that are part of the Association's Strategic Plan:

- Alumni Leadership Circles (pilot sponsored by the College of Business)
- Points of Pride (pilot sponsored by the College of Engineering and Engineering Technology)

REQUEST FOR NEW MINOR IN SPORT FOR DEVELOPMENT AND SOCIAL CHANGE

Summary: New subdivisions of existing undergraduate programs, including minors, require the approval of the Board of Trustees. If the board approves this addition, the university will report it in the Annual Listing of Changes sent to the Illinois Board of Higher Education in June 2022. These requests come to the Academic Affairs, Student Affairs and Personnel Committee after receiving approval from the curriculum committees at the department, college and university levels and the concurrence of the provost.

Description: The University proposes to offer a Minor in Sport for Development and Social Change in the Department of Kinesiology and Physical Education within the College of Education.

Rationale: The minor in Sport for Development and Social Change is intended to provide background knowledge in the area of the effect and value of sports participation for spurring individual development and social change. Community sports bring people together and promote a healthy lifestyle. Sports development officers oversee the introduction and functioning of sports within a community. Professional opportunities for students with this background are becoming more prevalent in jobs in community relations, community outreach, diversity, equity and inclusion oversight, and engagement. This interdisciplinary minor will introduce students to some of these opportunities and potentially give them a competitive advantage when entering the job market.

The transdisciplinary minor, which includes coursework from two different colleges, eight different departments, and one of NIU's centers, allows students to explore timely sports-related issues in an ethical context as well as analyze sports from cross-cultural perspectives. The minor provides applied fundamentals for students seeking employment in the area and would be a well-aligned and timely complement to majors such as Sociology, Psychology, Nonprofit and NGO Studies, Sport Management, Kinesiology, and other majors and minors at NIU. Additionally, coursework in this minor would better prepare students for the number of issues related to diversity, equity, and inclusion in the sports industry. Finally, this minor aligns well with the recently established presidential goal of transdisciplinary work in social change.

Funding: Additional resources are not required to support the new minor.

Recommendation: The Academic Affairs, Students Affairs and Personnel Committee recommends Board of Trustees approval of the request for a New Minor in Sport for Development and Social Change.

REQUEST FOR NEW MINOR IN PSYCHOSOCIAL ASPECTS OF SPORT AND PHYSICAL ACTIVITY

Summary: New subdivisions of existing undergraduate programs, including minors, require the approval of the Board of Trustees. If the board approves this addition, the university will report it in the Annual Listing of Changes sent to the Illinois Board of Higher Education in June 2022. These requests come to the Academic Affairs, Student Affairs and Personnel Committee after receiving approval from the curriculum committees at the department, college and university levels and the concurrence of the provost.

Description: The University proposes to offer a Minor in Sport for Development and Social Change in the Department of Kinesiology and Physical Education within the College of Education.

Rationale: The minor in Psychosocial Aspects of Sport and Physical Activity is intended to provide background knowledge in the area of psychosocial aspects of sport and physical activity participation. This interdisciplinary minor will introduce students to some of the opportunities available in the field of sports psychology and give them a competitive advantage when entering the job market.

This transdisciplinary minor is designed to provide students with a better understanding of the psychosocial aspects of sport and physical activity participation and is supported by the departments of psychology, sociology and educational psychology and foundations. The degree leverages courses taught across colleges and departments. More specifically, students will learn about the psychological and sociological factors influencing participation in sport and physical activity and the effects that participation has on one's psychological and social development.

Funding: Additional resources are not required to support the new minor.

Recommendation: The Academic Affairs, Students Affairs and Personnel Committee recommends Board of Trustees approval of the request for a New Minor in Psychosocial Aspects of Sport and Physical Activity.

**RECOMMENDATIONS FOR FACULTY AND SUPPORTIVE PROFESSIONAL
STAFF SABBATICAL LEAVES FOR THE 2022-2023 ACADEMIC YEAR**

<u>Name</u>	<u>Department/School/Unit</u>	<u>Time Period</u>
COLLEGE OF BUSINESS (in alphabetical order)		
Lee, Jung Young	OMIS	Spring 2023
Subramony, Mahesh	Management	Fall 2022
COLLEGE OF EDUCATION (in alphabetical order)		
Creed, Benjamin	Leadership, Education Psychology & Foundations	Fall 2022-Spring 2023
Koss, Melanie	Curriculum & Instruction	Fall 2022-Spring 2023
Lampi, Jodi	Curriculum & Instruction	Spring 2023
York, Cynthia	Educational Technology, Research & Assessment	Spring 2023
COLLEGE OF ENGINEERING AND ENGINEERING TECHNOLOGY (in alphabetical order)		
Cho, Kyu Taek	Mechanical Engineering	Fall 2022
Korampally, Venumadhav	Electrical Engineering	Fall 2022
COLLEGE OF HEALTH & HUMAN SCIENCES (in alphabetical order)		
Li, Xiaohui "Sophie"	Family & Consumer Sciences	Fall 2022-Spring 2023
McCarthy, Amanda	Interdisciplinary Health Professions	Fall 2022-Spring 2023
COLLEGE OF LAW (in alphabetical order)		
McConkie, Daniel	Law	Fall 2022
Rigertas, Laurel	Law	Spring 2023
COLLEGE OF LIBERAL ARTS & SCIENCES (in alphabetical order)		
Balcerzak, Scott	English	Fall 2022
Blackstone, Neil	Biological Sciences	Fall 2022
Calvo-Byrd, Ana	Biological Sciences	Spring 2023
Fischer, Mark	Geology & Environmental Geosciences	Fall 2022
Gensini, Vittorio	Geographic & Atmospheric Sciences	Fall 2022
Hibbett, Ryan	English	Fall 2022
Hofstetter, Oliver	Chemistry & Biochemistry	Spring 2023
Klis, Anna	Economics	Fall 2022
La France, Betty	Communication	Spring 2023
Lenczewski, Melissa	Geology & Environmental Geosciences	Spring 2023
Littauer, Amanda	History	Spring 2023

Mincheva, Maya	Mathematical Sciences	Fall 2022-Spring 2023
Newman, Amy	English	Spring 2023
Smith, Matthew	World Languages & Cultures	Spring 2023
Thurber, Richard	Political Science	Spring 2023
Wallace, Doug	Psychology	Spring 2023
Warmke, Craig	Philosophy	Fall 2022
Yuan, Shupe	Communication	Fall 2022-Spring 2023

COLLEGE OF VISUAL & PERFORMING ARTS (in alphabetical order)

Gelman, Alexander	Theatre & Dance	Spring 2023
Schneider, Robert	Theatre & Dance	Fall 2022-Spring 2023
Staikidis, Kryssi	Art & Design	Fall 2022-Spring 2023
Trankina, Frank	Art & Design	Spring 2023

ALTERNATES (in alphabetical order)

Becker, Shannon	World Languages & Cultures	Fall 2022
Bonomo, Joe	English	Spring 2023
Coutrakon, George	Physics	Spring 2023
Demaray, Michelle	Psychology	Fall 2022
De Rosa, Deborah	English	Fall 2022
Un, Kheang	Political Science	Fall 2022
Zhou, Haiming	Statistics & Actuarial Science	Fall 2022-Spring 2023

Recommendation: The Academic Affairs, Student Affairs, and Personnel Committee recommends Board of Trustees approval of the request for Recommendations for Faculty and Supportive Professional Staff Sabbatical Leaves for the 2022-2023 Academic Year.

THIRD SECTOR INTELLIGENCE CONTRACT

Summary: Education Systems Center (EdSystems) at NIU is seeking approval of a three-year Purchase Order to vendor Third Sector Intelligence, Inc. (3Si) for technological support of an intergovernmental data warehouse. EdSystems will receive compensation from various governmental, state, and philanthropic agencies for its part in managing the data warehouse, out of which payment to 3Si will be made. Total payment to 3Si is not to exceed \$2.12M for calendar years 2022-2024.

Background: In 2018, the Robert R. McCormick Foundation, in collaboration with the City of Chicago (City), selected 3Si through a national competitive process to implement a new early childhood data warehouse for the City's Department of Family and Support Services (DFSS).

In 2021, the City of Chicago Mayor's Office endorsed the relocation of the data warehouse 3Si initially deployed for DFSS to NIU as part of a broader Chicago Early Childhood Integrated Data System (CECIDS). NIU has been selected by the City to serve as the technical administrator and lead for governance of the CECIDS project, which will incorporate data from DFSS, Chicago Public Schools, and five other Head Start grantees to provide a comprehensive view of early childhood delivery in the City of Chicago. NIU was chosen to serve on this project based on work EdSystems and other Outreach programs already conducted on early childhood data systems. The CECIDS project is a critical expansion of NIU's longstanding experience and expertise developing public sector data services, and is aligned with the broader Illinois Longitudinal Data System for which NIU is a key technical and governance partner. EdSystems will receive compensation from the State of Illinois, the City of Chicago, the McCormick Foundation, and/or additional grants in support of this ongoing project.

The DFSS data warehouse solution, dashboards for Head Start programs, and customized system knowledge 3Si has obtained are all foundational elements of the CECIDS project. EdSystems is seeking this approval so 3Si may serve as a subcontractor to NIU on the implementation of the CECIDS project, offering use of its existing warehouse platform. NIU will rely heavily upon 3Si in the initial three years of the CECIDS development but will assume increasing management of the technical administration of CECIDS during this period to minimize the need for external support in future years.

3Si costs for 2022 are estimated at \$530K; 2023 and 2024 are estimated not to exceed \$795K each, with costs fluctuating based on lower need for technological support, increased philanthropic support from other agencies, and expanding services beyond Chicago.

Funding: Institutional Funds – \$2,120,000

Recommendation: The Finance, Audit, Compliance, Facilities and Operations Committee recommends the Board of Trustees approval of the request for Third Sector Intelligence Contract.

DEKALB CAMPUS SUMMER 2022 ROOF REPAIRS CAPITAL PROJECT APPROVAL

Summary: Recent roofing assessments have revealed critical roof repair requirements for Faraday Hall, Chick Evans Field House and the Convocation Center which should be addressed in summer 2022 to prevent further water infiltration and deterioration. Packaging of these common roof repairs across multiple buildings into one project and contract effort will create efficiencies for improved contract pricing, not to exceed \$1.6M.

Background: Roof assessments are conducted through regular monitoring of leak reports and augmented with thermography scanning to identify, assess, and prioritize repair deficiencies.

The scope of work at Faraday Hall will include replacement of the perimeter roof which is original to the building from the 1960's and the upper central penthouse roof section. The scope of work at Chick Evans Field House will involve replacement of the flat roof sections of this building. Repairs to the barrel vault roof are planned in future years. The Convocation Center scope will include restoration of the low-level flat roofs located at the entrances and on either side of the main arena. Subsequent phases of roof repairs and replacements in this building are also required and will be scheduled for future years.

Funding: Institutional Funds – \$1,600,000

Recommendation: The Finance, Audit, Compliance, Facilities and Operations Committee recommends Board of Trustees approval of the request for DeKalb Campus Summer 2022 Roof Repairs Capital Project Approval.

STEVENSON AND GRANT TOWERS BUILDING ENVELOPE REPAIRS CAPITAL PROJECT APPROVAL

Summary: Stevenson and Grant residential towers have experienced regular water infiltration through the exterior concrete panels due to deterioration of the caulk joints. A detailed exterior assessment was completed in fall 2021 to determine the extent and priority for necessary repairs. This project will conduct required repairs for the eight towers of these two residential complexes during summer 2022.

Background: The scope of work will include precast panel repairs, mortar joint repairs, and replacement of caulk joints at all window and door openings. These repair tasks along with other related miscellaneous repairs will restore the exterior envelope of the building to a weather tight condition, protecting the interior building environment.

Funding: Institutional Funds – \$650,000

Recommendation: The Finance, Audit, Compliance, Facilities and Operations Committee recommends Board of Trustees approval of the request for Stevenson and Grant Towers Building Envelope Repairs Capital Project Approval.

FISCAL YEAR 2023 CONSUMABLE COMMODITIES

Summary: Commodity purchases for consumable items are required to support the operations of various university departments for FY23. These commonly include materials for cleaning, office supplies, postage, and fuel. The following open order renewals or new agreements will be processed in accordance with applicable Illinois procurement regulations and advertised, as required, on the *Illinois Procurement Bulletin*.

Background: The amounts listed are not-to-exceed (NTE) limits established for FY23. These amounts do not reflect obligations but serve as an upper limit for the cost of materials that may be procured under these agreements. Materials will be ordered on an as-needed basis to maintain in-house stocks or respond to individual requests throughout the FY23 period. Any individual orders under these agreements at or above \$250K will be preceded with a specific approval request to the Board. Vendors noted with an asterisk are part of the Illinois Public Higher Education Cooperative where these state-wide contracts leverage the collective buying of the universities.

Funding: Institutional Funds

*Home Depot Pro – Rockford, IL	\$400,000
*Office Depot Max, Inc. – Itasca, IL	\$300,000
U.S. Postal Service/Postmaster – DeKalb, IL.....	\$750,000
Vendor(s) for fuel to be determined.....	\$325,000
<i>Total</i>	<i>\$1,775,000</i>

Recommendation: The Finance, Audit, Compliance, Facilities and Operations Committee recommends Board of Trustees approval of the request for Fiscal Year 2023 Consumable Commodities.

Amount approved for FY22: \$2,270,000

FISCAL YEAR 2023 FACILITY MASTER CONTRACT RENEWALS

Summary: Master contracts for labor and materials supporting facility repairs/improvements are required to be established or renewed for FY23. These agreements provide an important streamlined contractual mechanism to engage companies effectively and efficiently while still maintaining price competitiveness. The following renewals or new awards will be processed in accordance with applicable Illinois procurement regulations and advertised, as required, in the *Illinois Procurement Bulletin*.

Background: As the level of deferred maintenance and repair demands continue to increase, the ability and agility to respond quickly when failures occur becomes critical. Navigating the requirements of the state procurement process can be challenging. These master contracts allow interested companies to be pre-screened and validated for their willingness to operate under state procurement rules (e.g., Business Enterprise Program qualifications/subcontract participation plans, adherence to standard state legal certifications, and financial/conflict of interest disclosures).

As facility requirements are identified and approved for execution (e.g., projects at or above \$250K to be separately approved by the Board), quotes will be solicited from various companies to ensure adequate price competition. The procurement process is streamlined when companies with the lowest quotes already have an established relationship with NIU under these contracts.

The amounts listed on the next page are not-to-exceed (NTE) limits established for FY23. These amounts do not reflect a commitment or obligation but are an upper limit for the amount of work that may be requested under these agreements should companies successfully respond with the lowest quote for solicited work. The continual addition of new companies to this listing provides for increased ordering flexibility and price competitiveness.

Funding: Institutional Funds

Recommendation: The Finance, Audit, Compliance, Facilities and Operations Committee recommends Board of Trustees approval of the request for Fiscal Year 2023 Facility Master Contract Renewals.

New awards – depending on criteria met, may be awarded to more than one qualified responder:

New miscellaneous services agreements (each)\$600,000

Renewals:

<i>Advanced Boiler Control Services – Crown Point, IL.....</i>	<i>\$450,000</i>
<i>AGAE Contractors, Inc. – Chicago, IL.....</i>	<i>\$600,000</i>
<i>Anderson Lock Ltd. – Des Plaines, IL.....</i>	<i>\$600,000 *</i>
<i>Campos Construction, Inc. – Rockford, IL.....</i>	<i>\$600,000 *</i>
<i>Cooling Land Concepts, LLC – Cherry Valley, IL.....</i>	<i>\$700,000</i>
<i>Curran Contracting Co. – DeKalb, IL.....</i>	<i>\$425,000</i>
<i>EDE Corp. – Chicago, IL.....</i>	<i>\$700,000</i>
<i>Elliott & Wood, Inc. – DeKalb, IL.....</i>	<i>\$750,000</i>
<i>Freeport Industrial Roofing, Inc. – Freeport, IL.....</i>	<i>\$400,000</i>
<i>G.D. Barri & Assoc., Inc. – Peoria, AZ.....</i>	<i>\$325,000</i>

<i>Hygieneering, Inc. – Willowbrook, IL</i>	\$600,000 *
<i>Independent Mechanical Ind., Inc. – Chicago, IL</i>	\$400,000
<i>Kelso Burnett Co. – Rockford, IL</i>	\$800,000
<i>Lake & Pond Solutions Co. – Greenville, WI</i>	\$300,000
<i>Otto Baum Co., Inc. – Morton, IL</i>	\$600,000
<i>Ringland Johnson, Inc. – Cherry Valley, IL</i>	\$550,000
<i>Rush Power Systems, LLC – Kirkland, IL</i>	\$475,000
<i>Schoening Painting & Decorating, Inc. – Rockford, IL</i>	\$550,000 *
<i>Sprinkmann Sons Corp. – Milwaukee, WI</i>	\$700,000
<i>Sterling Commercial Roofing, Inc. – Sterling, IL</i>	\$500,000
<i>Stone Group, Inc. – Chicago, IL</i>	\$600,000
<i>Swedberg Electric, Inc. – Sycamore, IL</i>	\$800,000 *
<i>Tecnica Environmental Services, Inc. – Lemont, IL</i>	\$775,000 *
<i>True North Consultants, Inc. – Naperville, IL</i>	\$600,000
<i>Veya, Inc. – Champaign, IL</i>	\$600,000 *
<i>Virgil Cook & Son, Inc. – DeKalb, IL</i>	\$800,000
<i>Weaver Construction, Inc. – Sycamore, IL</i>	\$600,000

*BEP vendors currently meeting CMS qualifications. Other vendors are required to demonstrate BEP participation with subcontracting plans.

**FISCAL YEAR 2023 DIVISION OF INFORMATION TECHNOLOGY
MICROSOFT ENROLLMENT CONTRACT RENEWAL**

Summary: The Division of Information Technology requests permission to issue an order for the FY23 Renewal of Microsoft Enrollment for Education Solutions software for resale during the license period July 1, 2022 – June 30, 2023. This is the ninth of nine, one-year renewal options based on RFP #KMC138285, which was originally awarded on June 6, 2013. The renewal award will be advertised in the *Illinois Procurement Bulletin*.

Background: The licensing under this contract allows NIU to run Microsoft Windows Desktop OS and Microsoft Office products, including their updates, for all university owned computers and provides five copies of Office for all students, faculty, and staff to run on their personal devices while they are enrolled or employed by the university. This contract also covers Windows Server licensing costs in NIU’s virtual environment and license costs for several features such as Multi-Factor Authentication and Self-Service Password Reset. This year’s request includes an increase to \$625K in order to support the university’s Teams Voice and information security strategies via an uplift to a higher level of Microsoft 365 licensing.

Funding: Institutional Funds

ScholarBuys – Carpentersville, IL \$625,000

Recommendation: The Finance, Audit, Compliance, Facilities and Operations Committee recommends approval of the request for Fiscal Year 2023 Division of Information Technology Microsoft Enrollment Contract Renewal.

Amount Approved for FY22: \$400,000

FACULTY PRESENTATION ON SABBATICAL LEAVE

The university awards sabbatical leaves for the purpose of supporting and encouraging research, scholarship, creativity and artistry that strengthen its academic programs. Proposals for sabbatical leaves prepared by tenured faculty and members of the supportive professional staff undergo a rigorous set of reviews at the department, college and university levels to determine if they meet the criteria that address the quality of the proposed sabbatical work, the capacity of the applicant to conduct the work and the likelihood of completion of the proposed project. In the sabbatical leave proposals, applicants include information about their program of research, scholarship, creativity and artistry, how that program would be advanced by the leave and the proposed outcomes of the sabbatical.

Associate Professor Justin Dodd, Department of Geology and Environmental Geosciences recently completed a sabbatical and will present a brief report on the scholarly work he completed during his leave.

ANNUAL REPORT ON THE OUTCOMES OF SABBATICAL LEAVES

Executive Summary of Sabbatical Report for 2018-2019 Academic Year

The purpose of the sabbatical leave program is to maintain and improve the quality of the educational programs of Northern Illinois University by creating opportunities for academic and eligible staff to acquire new methods and techniques, to broaden outlooks, and to improve professional competency and stature through study, research and other professional development activities. The university awards sabbaticals to faculty and SPS staff based on the following criteria: the quality of the proposed scholarship, the capacity of the applicant to conduct the proposed work, and the likelihood that the proposed project will be completed. At the request of the Northern Illinois University Board of Trustees, the university conducts an annual process to enumerate the products that result from sabbatical leaves, faculty and staff are asked to report on awards and honors; curricular developments; outreach; grants and projects; performances, exhibitions and recordings; presentations; publications; other outcomes; and works in progress.

This executive summary provides a summary of the outcomes for the 2018-2019 faculty and staff sabbatical recipients (a more detailed report is available). For the 2018-2019 academic year, 39 sabbaticals were awarded. Sabbaticals were accepted by faculty and staff from six colleges and university libraries: 23 were from the College of Liberal Arts and Sciences, four were from the College of Education, four were from the College of Visual and Performing Arts, three were from the College of Business, two were from the College of Health and Human Sciences, one was from the College of Engineering and Engineering Technology, one was from the College of Law, and one was from the University Library. Since 2018-2019, three recipients have retired, two have resigned, and one declined the sabbatical offer. One could not submit their report. The report contains information from the remaining 32 sabbatical recipients.

Sabbatical recipients' outcomes were organized into nine categories: publications, presentations, grants, artistic works, curriculum development, other significant contributions, awards and honors, outreach, and works in progress. The table below summarizes the number of outcomes for each category.

Sabbatical Outcomes by Category		
Category	N	%
Publications	154	29%
Presentations	161	31%
Grants	42	8%
Artistic Works	38	7%
Curriculum Development	45	9%
Awards and Honors	12	2%
Outreach	21	4%
Other Significant Contributions	16	3%
Works in Progress	34	7%
Total	523	100%

Sabbatical recipients submitted 42 grant applications, 5 internal and 37 external. Of the 37 external grants applications submitted, 25 were funded, and a total of nearly \$15.54 million was awarded. For internal grants, 5 were funded, and a total of \$34,005 was awarded.

Sabbatical recipients expressed their deep appreciation for the funding they received as part of these competitive awards and discussed how the resulting opportunities and experiences renewed their connections to their research, teaching, and service missions. Highlighted below are brief reflective statements discussing impact. The next section of this report provides a complete list of sabbatical recipients' reflections arranged by college.

Many of the recipients said that the time allowed them to develop new areas of research or to expand current areas of activity. A typical recipient commented:

The semester-long sabbatical rejuvenated my research program, leading to five publications in the following two years. As a comparison, I had published 14 papers in the 17 years of my academic career prior to the sabbatical, averaging slightly less than one publication per year. In addition, the leave allowed me to travel overseas and work with foreign coauthors which broadened my research scope and strengthen NIU's connections with our international partner universities. Further, one of the research projects that I started during the sabbatical attracted attention from the financial industry and I was invited to present the research at the prestigious CFA Society New York's Annual High Yield Bond Conference.

Another recipient said:

Besides the availability of time to engage in research, teaching and service in another country, the sabbatical provided me the opportunity for reflection and hindsight. During this break, I was not only able to re-think my research agenda for the future, I had the opportunity to look at school leadership in a different way and began to re-evaluate educational leadership theories that I have taken for granted but could not fit in the case of Ethiopia. Subsequently, working with faculty from University of Gondar, we have written paper (in press) on school leadership styles and teacher job satisfaction. One of the reviewers for this paper claimed that this paper was needed because there is very little rigorous research coming out of Ethiopia. I am glad to be able to tell the world about Ethiopia's school leadership. My experience in Ethiopia has impacted my assumptions about leadership leading to more research that is enriching my teaching and ability to advise doctoral students in my program. The cultural impact from my stay in the Ethiopia inspired me to join two other professors (Drs Thurmier and Cohen) to write a grant (Fulbright Hays) for cultural exchange in Tanzania and Kenya. It has been funded by Department of education.

Finally:

Beyond granular research, the additional time available during sabbatical provided an opportunity to more fully engage the high energy physics (HEP) collaborations of Fermilab projects Muons-to-Electrons ($\mu 2e$) and Muon $g-2$ ($g-2$). The $\mu 2e$ activity continued to refine the NIU design of the muon beam stop which is finally reaching procurement approvals in 2021. Additionally, NIU was invited by the collaboration to design a calibration tool, called the degrader, that can insert a unique target into the beamline. A DOE grant was secured to support a graduate student in the endeavor. Lastly, I was able to participate in the multi-day $\mu 2e$ -II workshop where initial planning was occurring for the next generation of the experiment in 5-10 years' time.

HEALTH INFORMATICS TECHNOLOGY CENTER (HITC) UPDATE

Planning continues for NIU's Health Informatics Technology Center (HITC), a state-of-the-art teaching and learning facility that will increase opportunities for transdisciplinary collaboration and position NIU as a leader in health education and research.

A team of NIU faculty, staff and administrators met in early 2021 to develop a vision for the Health Informatics Technology Center. Representatives from five colleges, Facilities Management and Campus Services, Division of Research and Innovation Partnerships, Division of Outreach, Engagement and Regional Development and the NIU Foundation recommended that design of the physical space be guided by the theme, "Information Technology: Building for a Healthier Tomorrow."

Co-chairs of the planning group: Belinda Roller, Director of Architectural and Engineering Services and Leslie Matuszewich, Associate Dean for Research and Graduate Affairs, College of Liberal Arts and Sciences will be presenting.

TUITION AND PRICING

Executive Vice President and Provost Beth Ingram and Vice President for Enrollment Management, Marketing and Communications Sol Jensen will present on tuition and pricing.

STATE LEGISLATIVE REPORT

Spring 2022 Legislative Session

Despite the emergence of the Omicron variant causing a surge of COVID-19 cases at the start of the new year, the 2022 spring session began on January 4. Legislators are primarily working remotely and will likely continue to do so into February. As a result, the NIU advocacy day has been postponed until March 9 to coincide with the Illinois Public University Higher Education Reception. An adjournment date of April 9 is still expected.

A truncated session means legislation is already moving swiftly. University content experts are being called to weigh in on several bills that may have an impact, positive or negative, on the university. Sponsors have been open to working with universities and are taking considerations into account. We are seeing legislation introduced following national trends attempting to address student debt and the ongoing teacher shortage. We are closely monitoring this legislation and having productive conversations with legislators. Our primary focus this spring session is advocating for increased investment in higher education. NIU will join its sister institutions in a coordinated campaign to increase appropriations for Illinois public universities. The campaign kicked off at a joint NIU/U of I Caucus meeting on January 21. Presidents Freeman and Killeen addressed the group that is made up of 81 legislators combined.

At their most recent board meeting, The Illinois Board of Higher Education (IBHE) approved their FY23 budget recommendation. The board recommended a 5% overall increase to higher education funding. As a step towards more equitable funding, IBHE recommends the \$58.4M overall increase be allocated based on the percentage of Pell students each institution serves. Using this formula, the IBHE recommends a \$7.2M increase from FY22 appropriations for NIU, an increase of 8%.

The University is in the process of preparing for its spring appropriations hearings, scheduled February 17 and March 11 for the House and Senate, respectively.

Spring 2020 Engagement and Upcoming Events

Several events planned for the spring have either been cancelled or moved to virtual meetings as a result of the winter spike in COVID-19 cases. We continue to engage with legislators as much as possible through alternative channels.

- January 21: Joint NIU/U of I Caucus meeting (virtual)
- February 2: Governor Pritzker's FY23 budget address
- February 15: Reception with Latino Caucus (tentative in person)
- February 16: Reception with Black Caucus (tentative in person)
- March 9: NIU Advocacy Day (tentative in person)
- March 9: Illinois Public University Higher Education Reception (tentative in person)
- June 28: Illinois Primaries

FEDERAL LEGISLATIVE REPORT

This report covers the period of November 2021 through mid-January 2022 and encompasses NIU equities across the federal government.

The Administration

President Biden's September 9, 2021, Executive Order entitled [Ensuring Adequate COVID Safety Protocols for Federal Contractors](#), which appeared to mandate that the employees of federal contractors be fully vaccinated for COVID-19, has been halted by the U.S. Supreme Court.

On January 4, the White House released [guidance](#) to federal agencies regarding implementing steps to mitigate national security risks for federally-funded research. NIU is working with our associations and colleagues across the state and country to analyze this guidance and consider the implications of its implementation.

U.S. Congress

As of this writing, Senate Democrats and the White House have slowed negotiations on the Build Back Better Act, a reconciliation bill that would form the cornerstone of President Biden's domestic policy agenda. The House of Representatives passed a \$2.2T version of this bill on November 19, 2021, but the House version does not presently have sufficient support to pass the Senate even at the lower-than-usual 51 vote threshold. Negotiations between Senate Democrats and the White House are expected to ramp up in late January or early February.

As reported at the last RILLA meeting, President Biden signed into law the \$1.2T Infrastructure Investment and Jobs Act in November 2021. It is the largest investment in the country's roads, bridges, pipes, ports, rail, public transit, and broadband in decades and by some estimates it will direct over \$17B to Illinois. While it does not include provisions specifically related to NIU's equities in higher education or research, we are working with our associations and colleagues across the state and country to analyze this legislation for opportunities.

In November 2021, the House and Senate agreed to go to conference on a package of legislation to enhance US research, innovation, and manufacturing. The Senate bill is called the US Innovation and Competition Act and the House package of legislation is led by the NSF for the Future Act. NIU has been engaged in the development of this legislation for nearly two years and intends to be engaged in the conference process as well.

Appropriations

While an official release date has not been announced, President Biden's FY23 President's Budget Request (PBR) is expected to be released in March. President Biden's annual State of the Union address is scheduled for March 1, and the PBR is typically released a few weeks later.

Congress continues its work on FY22 appropriations. The federal government is operating under a short-term continuing resolution that expires on February 18, 2022. The timeline for completing FY22 appropriations is unclear given that a bipartisan, bicameral agreement on top-line spending levels has not yet been reached. Further, given its anticipated multi-trillion-dollar cost and broad scope, some members of Congress would prefer to reach resolution on the Build Back Better Act before completing FY22 appropriations. Therefore, there is speculation that Congress will do another continuing resolution for FY22 through the end of March or early April 2022. Further delaying the completion of FY22 could impinge upon the FY23 appropriations process which would typically start in late February.

Federal Engagement with NIU

Recent federal engagements with NIU include:

- November 2 – President Freeman meets with Senator Durbin. President Freeman convened the presidents and chancellors of Illinois public universities to meet virtually with Senator Dick Durbin. They discussed support for doubling Pell Grants, research, immigration, and other issues. Press release is [here](#).
- November 8 – VP Blazey meets with NSF COO, Dr. Karen Marrongelle. VP Blazey met with NSF Chief Operating Officer Dr. Karen Marrongelle to discuss equity in research funding and other research issues facing NIU’s student and faculty researchers.
- November 29 – President Freeman Submits Public Comment on DACA. President Freeman submitted a public comment in response to the Department of Homeland Security’s Notice of Proposed Rulemaking (NPRM) for the Deferred Action for Childhood Arrivals (DACA) policy. Comment available [here](#).

SPONSORED PROGRAM ADMINISTRATION QUARTERLY REPORT

This report covers sponsored funding activity for the first and second quarters of FY22. NIU received 114 sponsored awards, totaling \$21.8M (Figure 1). Through December 31, 2021, research accepted \$7.7M, instruction received \$4.5M, and other sponsored activities (OSA) accepted \$9.5M. Overall funding and the number of awards are up compared to the same period last year by 47% and 28% respectively. Funding for Research is up 51%, Instruction by 203%, and OSA by 16%. The increase for instruction is due to Coronavirus Aid Relief, and Economic Security (CARES) Act/Elementary and Secondary Emergency Relief Fund (ESSER) funding of \$3.4M to the College of Education for the Illinois Tutoring Initiative.

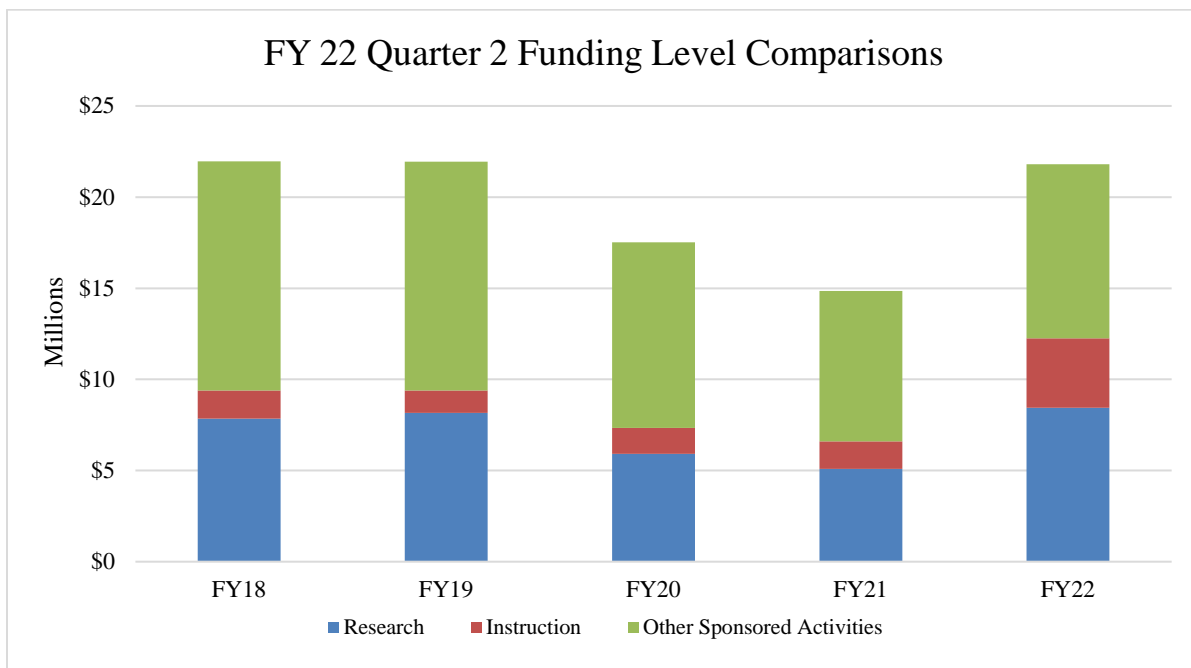


Figure 1

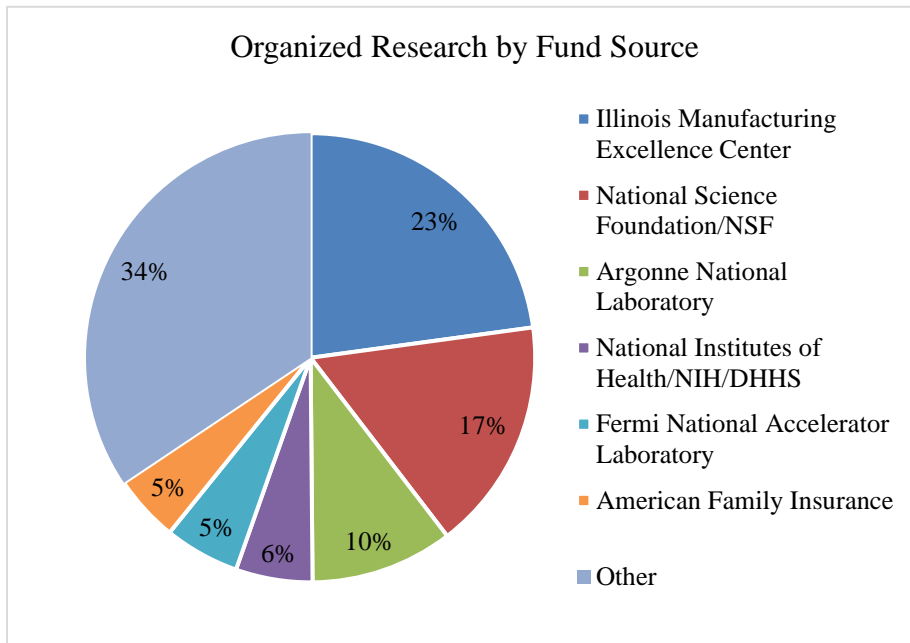


Figure 2
 Note: Cumulative information (Q1 and Q2) representing the originating fund source

competitive advantage of regionally-based manufacturing and technology-related firms. Five faculty from the Department of Physics were awarded five projects from Argonne National Laboratory and multiple faculty from the Department of Earth, Atmosphere, and Environment were awarded funding from American Family Insurance.

Top fund sources for Organized Research have mostly remained unchanged from last quarter's report (Figure 2). The Illinois Manufacturing Excellence Center and American Family Insurance have further contributed to this year's research funding. This quarter, the Illinois Manufacturing Excellence Center was the top funder for performing research services directed toward increasing the productivity and global

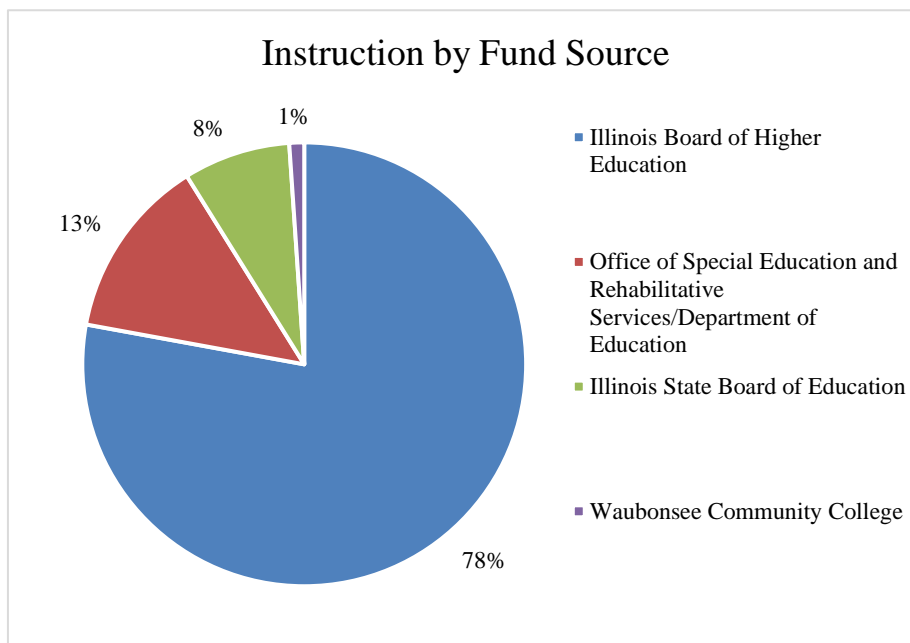


Figure 3
 Note: Cumulative information (Q1 and Q2) representing the originating fund source

academic recovery from the COVID-19 pandemic. Also in the College of Education, three training grants were awarded by the Office of Special Education and Rehabilitative Services/Department of Education for the Departments of Special and Early Education and Psychology. These grants provide critical training for those seeking careers as vision rehabilitation therapists and school psychologists.

The second quarter increase in funding for Instruction was almost exclusively for projects funded by the Illinois Board of Higher Education (IBHE) and Office of Special Education and Rehabilitative Services/Department of Education (Figure 3). The \$3.4M IBHE project through the College of Education aims to establish a service area in northwest Illinois for the tutoring and support of K-12 students with

Other Sponsored Activities by Fund Source

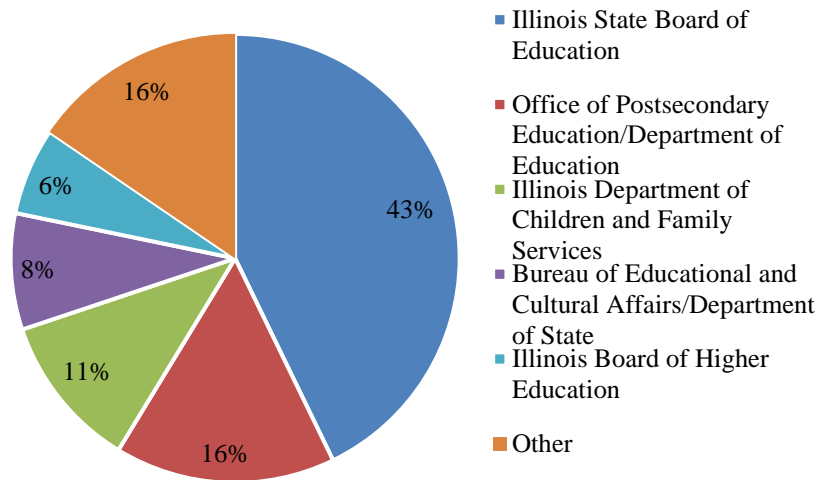


Figure 4

Note: Other category includes agencies contributing 1%-4% to the total. Cumulative information (Q1 and Q2) representing the originating fund source

Funding from the Illinois State Board of Education now accounts for almost half of all sponsored activities other than instruction or research (Figure 4). Contributing to this is the \$3.9M CTE Improvement Project through the Division of Outreach’s P-20 Center to provide professional learning opportunities, curricular resources, teacher recruitment materials, and a statewide conference for the Career Technical Education system throughout Illinois. Funding in this category continues to be broad in

scope spanning many institutional programs. For example, the Division of Academic Diversity, Equity and Inclusion was awarded \$25K to develop a Truth, Racial Healing & Transformation (TRHT) Campus Center at NIU while funding from the Office of Postsecondary Education/Department of Education and the Illinois Department of Children and Family Services provided continued support to NIU’s Center for Southeast Asian Studies and Child Welfare Center.

Funding across colleges and other university units reflects an increase of awards secured for the different types of funding categories (Table 1). The College of Education increased the amount awarded by 478% compared to the second quarter of FY21 due to the award from the Illinois Board of Higher Education (\$3.4M). Other Academic Units increased the awards for FY22 Q2 by 167% due to the Illinois Manufacturing Excellence Center award for \$1.7M, managed through the Division of Research and Innovation Partnerships. The Division of Outreach, Engagement, and Regional Development had an increase of 142% due to two grants totaling \$5M from the Illinois Department of Children and Family Services and Illinois State Board of Education. Finally, the College of Liberal Arts and Sciences also increased by 37%, with four grants from the Center for Southeast Asian Studies (\$621K), Center for the Study of Family Violence and Sexual Assault (\$417K), Department of Geographic and Atmospheric Sciences (\$370K), and the Department of Psychology (\$250K) accounting for more than half of (\$1.6M) of the increase.

College	Instructional Programs	Organized Research	Other Sponsored Activities	Total – QTR. 2 FY22	Total – QTR. 2 FY21	% Change
Business	-	-	-	-	-	-
Education	\$3,764,728	\$348,344	\$59,991	\$4,173,063	\$722,126	478%
Engineering & Engineering Tech.	-	\$252,565	\$58,183	\$310,748	\$799,097	-61%

Health and Human Sciences	\$352,949	\$232,961	\$34,550	\$620,461	\$1,343,316.22	-54%
Law	-	-	-	-	-	-
Liberal Arts & Sciences	\$250,000	\$5,000,274	\$ 2,188,445	\$7,438,719	\$5,442,246	37%
Visual & Performing Arts	-	\$34,981	\$8,900	\$43,881	-	-
Outreach, Engagement, & Regional Dev.	-	-	\$ 6,458,070	\$6,458,070	\$2,673,200	142%
Other Academic Units	\$161,481	\$1,849,358	\$ 109,419	\$ 2,120,258	\$794,014	167%
Other University Units	\$ -	\$ -	\$ 629,860	\$ 629,860	\$3,077,889	-80%
Total	\$ 4,529,158	\$7,718,483	\$ 9,547,418	\$21,795,060	\$14,851,888	47%

Table 1

A full list of all sponsored awards received for the second quarter follows.

ANTHROPOLOGY

Wenner-Gren Foundation for "Cultural Model Theory: Shaping a New Anthropology." Giovanni Bennardo.

Award: \$20,000.00.

July 1, 2021 - June 30, 2022. (Organized Research) Conference.

The project will bring together 18 international scholars to assess the contribution that Cultural Model Theory (CMT) makes to anthropology and how it has the potential to shape the future of the discipline.

ART MUSEUM

Illinois Arts Council for "Art Museum Support." Josephine E Burke.

Award: \$8,900.00.

November 15, 2021 - August 31, 2022. (Other Sponsored Activities) Other.

This award will support ongoing exhibits and operating costs for the NIU Art Museum.

CENTER FOR SOUTHEAST ASIAN STUDIES

Office of Postsecondary Education/Department of Education for "National Resource Center and Foreign Language and Areas Studies Fellowships." Judy L Ledgerwood/Eric A Jones.

Award: \$621,000.00. Cumulative \$2,484,000.00.

August 15, 2021 - August 14, 2022. (Other Sponsored Activities) Other.

Funds will continue to be used to support the Center for Southeast Asian Studies.

CENTER FOR THE STUDY OF FAMILY VIOLENCE AND SEXUAL ASSAULT

Cherokee Nation Businesses for "FY22 Subcontract Relating to Air Force Family Advocacy Programs." Joel S Milner/Joe A Ammar/Julie L Crouch.

Award: \$417,000.33.

September 30, 2021 - September 29, 2022. (Other Sponsored Activities) Public Service.

NIU will assist with the evaluation and enhancement of the Air Force Family Advocacy Program

and New Parent Support Program.

Office on Violence Against Women/Department of Justice for "Pursuing Justice and Healing Through a Domestic Violence Court: Examining the Association Between Court Processes, Victim Advocacy, Survivor Participation and Survivor Outcomes." Julie L Crouch/Suvarna Cumings-Menon.

Award: \$134,562.36.

November 1, 2021 - October 31, 2022. (Organized Research) Testing/Evaluation.

This proposal seeks to evaluate a domestic violence court to examine survivor experiences within the court and their association with outcomes of safety, empowerment, mental health, and willingness to engage with the criminal justice system in the future.

CHILD DEVELOPMENT AND FAMILY CENTER

DeKalb County Community Foundation for "NIU Child Development and Family Center - Green Make Over." Kristin E Schulz.

Award: \$11,550.00.

September 1, 2021 - August 31, 2022. (Other Sponsored Activities) Public Service.

We want to actively reduce our waste impact on our county by installing a dishwasher in each classroom and switching to more sustainable meal service.

COLLEGE OF EDUCATION

Illinois Board of Higher Education for "Illinois Tutoring Initiative." Laurie A Elish-Piper.

Award: \$3,414,728.00.

November 29, 2021 - June 30, 2023. (Instructional Programs) Instructional Programs.

The purpose of the project is to establish a service area in northwest Illinois for the tutoring and support of K-12 students with academic recovery from the COVID-19 pandemic.

CONTINUING PROFESSIONAL EDUCATION

Occupational Safety and Health Administration/Department of Labor for "Susan Harwood Training Program 2021-2022: Targeted Training Program." Pettee Guerrero.

Award: \$156,963.82.

September 30, 2021 - September 29, 2022. (Other Sponsored Activities) Public Service.

This project will fund the development and delivery of machine guarding training to prevent fatalities and amputations.

COUNSELING AND HIGHER EDUCATION

Grant (William T.) Foundation for "Merit Financial Aid and Inequality: Examining Variation in Policy and Effects on Social Mobility." Xiaodan Hu.

Award: \$50,000.00.

October 1, 2021 - September 30, 2022. (Organized Research) Applied Research.

The purpose of the project is to examine the influence of merit-aid programs on social mobility to guide state-level financial aid policymaking.

CENTER CHILD WELFARE AND EDUCATION

Illinois Department of Children and Family Services for "Educational Access Project FY22." Yasmina Sefiane.

Award: \$1,065,487.00.

July 1, 2021 - June 30, 2022. (Other Sponsored Activities) Public Service.

FY22 Educational Access Project.

DIVERSITY AND EQUITY

Association of American Colleges and Universities for "Truth, Racial Healing & Transformation (TRHT) Campus Center at NIU." Jocelyn Santana.

Award: \$25,000.00.

June 1, 2021 - May 31, 2022. (Other Sponsored Activities) Public Service.

The purpose of the project is to support activities for the development of a Truth, Racial Healing & Transformation (TRHT) Campus Center at NIU.

EDUCATIONAL TECHNOLOGY, RESEARCH AND ASSESSMENT

School District #205, Rockford for "Scientific Argumentation in Transportable Invention Space (SAITIS) 4.0 in Rockford School District." Pi-Sui Hsu/Eric M Lee.

Award: \$33,589.00.

October 13, 2021 - August 31, 2022. (Organized Research) Applied Research.

The purpose of the project is to develop and implement an after-school science program that incorporates Maker Education and scientific argumentation for middle-school students.

School District #205, Rockford for "Scientific Argumentation in Makerspaces: 2022 STEM Summer Camp." Pi-Sui Hsu/Eric M Lee.

Award: \$11,283.00.

October 13, 2021 - August 31, 2022. (Organized Research) Applied Research.

The purposes of this project are to implement an equity pedagogy through the design of a summer STEM (Science, Technology, Engineering, and Mathematics) program that incorporates Maker Education and scientific argumentation.

Safe Toddles, Inc. for "Evaluation of Pediatric Belt Cane for Mobility." Ximena D Burgin.

Award: \$160,100.00.

September 1, 2021 - August 31, 2023. (Organized Research) Applied Research.

The proposed project goal is to determine whether use of the pediatric belt cane increases independent walking for 14- to 60-month-old children with visual impairments.

FAMILY AND CONSUMER SCIENCES

Office of Postsecondary Education/Department of Education for "CCAMPIS 2018." Thomas W Pavkov.

Award: \$1.00. Cumulative \$800,181.00.

October 1, 2021 - September 30, 2022. (Other Sponsored Activities) Public Service.

This project allows the Child Development and Family Center to provide low-income student families with high-quality, campus-based childcare to allow the parents to seek higher education.

DEPARTMENT OF EARTH, ATMOSPHERE AND ENVIRONMENT

Louisiana State University for "Planning a Flood Resilient Future for New Orleans, LA." Alex M Haberlie.

Award: \$12,674.00.

September 1, 2021 - August 31, 2022. (Organized Research) Applied Research.

The work will examine if certain regions of New Orleans have a higher risk of flooding. Remote sensing datasets and analyses will be used to identify rainfall hot spots around New Orleans to help inform interested parties about increased flooding risk.

American Family Insurance for "Northern Illinois University Weather/Climate Modeling and Analysis." Walker S Ashley/Victor Gensini.

Award: \$370,292.40. Cumulative \$475,000.00.

November 1, 2021 - October 31, 2023. (Organized Research) Applied Research.

Funding will support the transfer of knowledge in existing weather and climate data foundations, expansion of both weather and climate datasets, model research and development, and the exploration of climate simulation/scenario analysis.

INDUSTRIAL AND SYSTEMS ENGINEERING

Triangle Package Machinery Company for "Quality and Reliability Improvement for Triangle Package Machinery Company." Niechen Chen/Ji-Chul Ryu.

Award: \$84,625.10.

October 15, 2021 - October 15, 2022. (Organized Research) Applied Research.

The scope of NIU team's work includes a redesign of the vertical drive and the development of a machine status monitoring and prediction system.

KINESIOLOGY AND PHYSICAL EDUCATION

Illinois Department of Juvenile Justice for "Fitness Leadership Experience (FLEX)." Jennifer M Jacobs/Zachary Wahl-Alexander.

Award: \$59,991.00. Cumulative \$118,581.00.

August 16, 2021 - August 15, 2022. (Other Sponsored Activities) Public Service.

The purpose of the program is to establish mentoring relationships at a youth center to provide educational programming that promotes fitness and health.

MECHANICAL ENGINEERING

Milwaukee Tool for "Evaluation of vibration reducing gloves and materials." Donald R Peterson.

Award: \$18,182.70.

December 1, 2021 - February 15, 2022. (Other Sponsored Activities) Testing/Evaluation.

The goal is to evaluate vibration reducing gloves and resilient materials in accordance with ISO 10819 international standard.

General Motors Company for "Formability Study on 304L and 316L Foils Under Plane Strain Condition." Jenn-Terng Gau.

Award: \$39,999.81.

September 3, 2021 - March 3, 2022. (Other Sponsored Activities) Public Service.

Funds are requested to investigate the formability of 304L and 316L foils under plane strain condition.

NURSING

DeKalb County Community Foundation for "Pain Hygiene Education Program (PHEP)." Anitha Saravanan.

Award: \$4,300.00.

January 1, 2022 - December 31, 2022. (Organized Research) Applied Research.

The PHEP program is aimed to evaluate the effectiveness of an integrative pain hygiene education in older adults dwelling in the Dekalb community to improve pain, function, self-efficacy, and quality of life.

P-20 CENTER

Illinois State Board of Education for "CTE Improvement Project." Kristin A Brynteson.

Award: \$3,948,231.00.

October 21, 2021 - June 30, 2025. (Other Sponsored Activities) Public Service.

This contract will provide professional learning opportunities, curricular resources, teacher recruitment materials and a statewide conference for the Career Technical Education system throughout Illinois from 2022-2024.

PHYSICS

Argonne National Laboratory for "NIU to Argonne Joint Appointment: Dr. Andreas Glatz. FY21." Andreas Glatz.

Award: \$102,994.59. Cumulative \$235,543.67.

August 16, 2021 - May 15, 2022. (Organized Research) IPA or Joint Appointment.

Funds are being used to support a joint appointment between NIU and Argonne National Laboratory for Dr. Andreas Glatz.

Argonne National Laboratory for "Nuclear Resonance Time-domain Interferometry." Dennis E Brown.

Award: \$30,660.64. Cumulative \$87,319.87.

October 31, 2021 - October 31, 2022. (Organized Research) Applied Research.

Will perform quasielastic scattering experiments to extract relaxation times of the vibrational diffusive motion of atomic systems, measure very soft phonon lattice modes and spin dynamics, and measure extremely small energy shifts on the order of 1 neV.

Argonne National Laboratory for "Synthesis and Characterization of Superconducting Pnictide Materials." Omar H Chmaissem.

Award: \$34,719.45. Cumulative \$153,993.50.

January 1, 2022 - December 31, 2022. (Organized Research) Applied Research.

Diffuse scattering studies will be performed at the Advanced Photon Source at Argonne National Laboratory and utilizing the novel diffuse scattering instrument Corelli at the Spallation Neutron Source at Oak Ridge National Laboratory.

Euclid Techlabs, LLC for "Development of a Prototype High-Dose Rate Electronic Brachytherapy Machine." Philippe Piot/Daniel Mihalcea.

Award: \$45,240.00.

September 15, 2021 - January 25, 2022. (Organized Research) Applied Research.

NIU's Accelerator and Beam Physics group will collaborate on the project "Development of a Prototype High-Dose Rate Electronic Brachytherapy Machine" submitted by Euclid TechLabs LLC to the Department of Energy.

Euclid Beamlabs, Inc. for "A Compact and Retrofittable Electronic Brachytherapy Source for Cancer Radiotherapy." Philippe Piot.

Award: \$25,000.00.

September 27, 2021 - June 15, 2022. (Organized Research) Applied Research.

Funds will be used to develop a Compact and Retrofittable Electronic Brachytherapy Source for Cancer Radiotherapy.

Cornell University for "Optical Transport and Beam Manipulation for Optical Stochastic Cooling." Philippe Piot.

Award: \$148,010.18. Cumulative \$248,382.00.

October 1, 2021 - September 30, 2022. (Organized Research) Basic Research.

Funds are requested to develop subsystems (laser amplifier and electron-beam bypass beamlines) associated with the Optical Stochastic Cooling technique.

Universities Research Association, Inc. for "Weak Gravitational Lensing Analysis Using Year 6 DES Data." Prudhvi Raj Varma Chintalapati/Vishnu V Zutshi.

Award: \$15,000.00.

October 1, 2021 - July 31, 2022. (Organized Research) Basic Research.

The aim of this project is to probe the origin of the accelerating universe and help uncover the nature of dark energy by measuring the 14-billion-year history of cosmic expansion with high precision utilizing The Dark Energy Survey (DES) experiment.

Argonne National Laboratory for "Lu Joint Appointment NIU-ANL." Xueying Lu.

Award: \$1,773.54. Cumulative \$136,086.73.

August 16, 2021 - May 15, 2022. (Organized Research) IPA or Joint Appointment.

Funds are being used to support a joint appointment between NIU and Argonne National Laboratory for Dr. Xueying Lu.

Argonne National Laboratory for "Physics & Application of Patterned Superconducting Nanostructures - GRA Support." Zhili Xiao.

Award: \$42,903.00.

January 1, 2022 - December 31, 2022. (Organized Research) Applied Research.

Funds will support a NIU graduate research assistant to develop, fabricate, and characterize

superconducting nanowire detectors.

PSYCHOLOGY

National Institute of Mental Health/NIH/DHHS for "Mitigating Risk from Macroeconomic Stress on Child Mental Health." Liz Shelleby.

Award: \$142,797.00. Cumulative \$339,891.00.

November 1, 2021 - October 31, 2022. (Organized Research) Applied Research.

This project will examine the potential for ParentCorps, a school-based preventive intervention found to promote positive parenting and child mental health, to buffer the effects of macroeconomic shock on children's mental health and family adjustment.

Society for the Study of School Psychology for "Depression and Cybervictimization Among Middle School Students: An Analysis of the Roles of Social Anxiety, Social Media Rumination, and Gender." Logan N Riffle/Michelle Demaray.

Award: \$3,354.00.

June 1, 2021 - May 31, 2022. (Organized Research) Applied Research.

This project will examine the mediating effects of social anxiety and social media rumination in the association between cyberbullying victimization and depression among middle school students, as well as examine the differences between boys versus girls.

Office of Special Education and Rehabilitative Services/Department of Education for "Project Prevent and Address Bullying Behavior at All Tiers (PPABB)." Michelle Demaray/Jesse W Johnson/Christine E Malecki/Julia A Ogg.

Award: \$250,000.00. Cumulative \$500,000.00.

October 1, 2021 - September 30, 2022. (Instructional Programs) Instructional Programs.

This project will recruit, train, and graduate 12 school psychology and 12 special educator scholars with specialized interdisciplinary training in addressing bullying with expertise in applied behavior analysis.

SCHOOL OF ART AND DESIGN

Council for International Exchange of Scholars for "Fulbright Research Scholar Fellowship: Landscape, Cultural Heritage, and Modern Hungarian Textile Design." Rebecca Houze.

Award: \$14,525.00.

March 1, 2022 - February 28, 2023. (Organized Research) Basic Research.

This project compares the careers of two contemporaries with similar interests, but different social and political circumstances. Hosted by the Institute for Art History, Research Centre for the Humanities, in Budapest.

SCHOOL OF HEALTH STUDIES

DeKalb County Community Foundation for "Northern Illinois University Presents the CATCH Program in DeKalb County Middle Schools." Henna Muzaffar.

Award: \$4,510.00.

January 1, 2022 - December 31, 2022. (Organized Research) Applied Research.

This grant request is for pilot testing middle school CATCH (Coordinated Approach to Child's Health) program in one school, with the goal to deliver the program to all area middle schools to

improve students' dietary and physical activity patterns.

SOCIOLOGY

Nestle Purina Petcare for "Kids and Canines Collaborate: A Collaboration Between Teachers Pet and Safe Humane." Keri B Burchfield.

Award: \$26,119.00.

January 1, 2022 - December 31, 2022. (Organized Research) Applied Research.

This will be a project to develop and test a curriculum for an animal-assisted intervention with vulnerable youth and shelter dogs.

SPECIAL AND EARLY EDUCATION

Office of Special Education and Rehabilitative Services/Department of Education for "Preparation of Vision Rehabilitation Therapists and Orientation & Mobility Specialists to Prepare Individuals with Visual Disabilities for the 21st Century Workplace." Stacy M Kelly.

Award: \$100,000.00. Cumulative \$400,000.55.

October 1, 2021 - September 30, 2022. (Instructional Programs) Instructional Programs.

The purpose of the project is to train vision rehabilitation therapists (VRT) and orientation and mobility (O&M) instructors to provide services for adults and transition-aged adults with visual disabilities.

Office of Special Education and Rehabilitative Services/Department of Education for "Project Fusion: Bringing Together Scholars in Teacher of the Visually Impaired and Orientation and Mobility Programs to Serve Children with Visual Impairments in the 21st Century Classroom." Stacy M Kelly.

Award: \$250,000.00. Cumulative \$1,000,000.00.

August 15, 2021 - August 14, 2022. (Instructional Programs) Instructional Programs.

The purpose of this program is to train graduate students as special education teachers and orientation and mobility specialists to serve the needs of children who are blind or visually impaired with high-intensity needs in preschool through 12th grade.

STATISTICS

Argonne National Laboratory for "Design, Hosting and Analysis of 2021 ALCF Annual User Survey." Haiming Zhou.

Award: \$20,600.00.

October 26, 2021 - March 15, 2022. (Other Sponsored Activities) Other.

The purpose of this proposal to manage the design, testing, distribution, collection, analysis, and report preparation for the 2021 Annual Survey of the internal and external users of the ANL Leadership Computing Facility.

VICE PRESIDENT FOR RESEARCH AND INNOVATION PARTNERSHIPS

Illinois Manufacturing Excellence Center for "IMEC Five Year Master Agreement." Jerry Blazey.

Award: \$1,760,345.34.

October 1, 2021 - September 30, 2022. (Organized Research) Applied Research.

The purpose of the five-year project is to perform research services directed toward increasing the productivity and global competitive advantage of regionally-based manufacturing and technology-related firms.

QUARTERLY FINANCIAL SUMMARY FISCAL YEAR 2022 SECOND QUARTER AS OF DECEMBER 31, 2021

Background: With the December 31 conclusion of the second quarter of the 2021-2022 fiscal year (FY22), the University is now able to update the Board of Trustees on NIU's financial performance relative to the current year budget and prior year actuals.

Through the second quarter of FY22, the University received \$135.9M more revenue (\$343.8M) than it spent (\$207.9M). This net revenue total is 12% (\$14.5M) more than the prior year, FY21. Tuition and Student Fee revenue decreased 2.3%, or \$3.7M through the second quarter compared to one year ago. Propelled by a rebound in auxiliary income and federal COVID-19 relief funding, overall revenue exceeded the second quarter of FY21 by \$39.9M (13.1%). Sales and Rental and Room Income combined to outperform the second quarter of FY21 by \$19.1M (62.7%). Overall revenues for the quarter are well ahead of the pro-rated budget (\$18.2M or 5.6% ahead of budget), demonstrating draws against federal relief funding that took place over the first six months of the fiscal year.

On the expense side, overall spending relative to FY21 increased by \$25.4M, or 13.9% driven by Scholarships spend related to COVID-19 relief funding. Non-scholarship expenses increased \$7.3M, or 4.9% from one year ago. Personnel Services spending is trending above prior year (\$2.1M, 2%), reflecting non-represented increments and bargained-for wage increases. Contractual Services spending is ahead of FY21 (\$2.1M, 6.6%) when it finished at its lowest level since at least FY16. Variations in Debt Service through the first half of the fiscal year are timing related as the University is scheduled to realize significant savings resulting from 2020 and 2021 bond refinancing's. For all non-Scholarship expenditures, the first quarter finished 4.4% (\$7.2M) below budget.

Second quarter performance suggests that the University is on target to at least meet FY22 budget expectations of a \$10M deficit. Tuition and Student Fees are trending slightly below budget (-2.1%, \$3.4M). While auxiliary revenues have rebounded from FY21, they trail budget (-7.9%, \$4.2M) in Sales and Rental and Room Income categories. Personnel Services expenses (-6.0%, \$6.9M) and Contractual Services (-9.5%, \$3.5M) are trending below budget, more than offsetting lagging tuition and auxiliary revenues. The ongoing availability of federal pandemic relief funding will continue to drive Gifts, Grants, and Contracts revenues and fuel Scholarship spending for the remainder of the year. Because this continues to be a dynamic environment, the University will continue to present quarterly budget updates to the Finance, Audit, Compliance, Facilities and Operations Committee of the Board of Trustees, noting quarterly budget performance, summarizing variances, and providing year-to-date forecasts.

Revenues

- 1. Tuition and Student Fees:** All tuition, including differential tuition, course and materials fees, athletics fees, bus fees, and health service fees.
- 2. Gifts, Grants, and Contracts:** Includes on-campus scholarships, research grants and associated indirect cost pools, Pell Grants, and sub-contract fees for research.
- 3. Other Fees and Fines:** Includes parking fees, residential living-learning community fees, parking fines, and transcript and commencement fees.

4. **Sales:** Includes board plan and retail food sales, concessions sales, advertising/sponsorship, sales of services to off-campus entities (including, but not limited to catering, childcare, and conference services).
5. **Rental and Room Income:** Includes room fees for residential students, rental of on-campus and off-campus meeting and conference space, hotel revenues, and Northern View apartment revenues.
6. **Gate Receipts and Commissions:** Includes athletic ticket sales, performing arts ticket sales, commissions, and concert/event revenues from the Convocation Center.
7. **Miscellaneous and Investment Income:** Includes athletics' game guarantees, NIU share of conference tournament revenues, rental of facilities, and interest income from investments, gains and losses on disposals of fixed assets, and year-end transfers to mandated reserves in the auxiliary facilities system.
8. **State of Illinois:** Represents the annual state appropriation to public universities.

Expenses

1. **Cost of Sales:** Includes the cost of all items purchased for resale on campus such as food for dining units, parts and supplies to be charged out through the campus work order system, as well as paper for pay-to-print copiers and printers.
2. **Personnel Services:** Includes all salaries paid to faculty, supportive professional staff, civil service, graduate assistants, extra help, and student employees. Also includes required payments for Medicare and employee health insurance.
3. **Contractual Services:** Includes annual software support, subscriptions, conference registrations, non-employee travel reimbursements, service contracts, repairs and maintenance, grounds, building service work charges, speaking fees, and other arrangements with outside contractors to perform services for the University.
4. **Commodities:** Includes purchased items of a low cost that typically are consumed in a single year. Examples include paper for printing, lab supplies, napkins, and cleaning supplies.
5. **Travel:** Includes airfare, hotel rooms, mileage, meals (all up to limits set by the state and the University), and ancillary expenses for employees to travel to-and-from campus on official University business.
6. **Automotive:** Includes the cost to rent cars, vans, and busses from the University transportation department.
7. **Scholarships:** Includes scholarships awarded, including Pell Grant funds disbursed (which, when netted against Pell Grant funds received, results in zero effect on the University's cash position).
8. **Telecommunications:** Includes the cost of providing phone, internet, and other digital services to the University.
9. **Equipment and Library Books:** Includes the cost of all items over \$100 with an estimated useful life of two years or more, as well as library books, journal subscriptions, manuscripts, films, music, and video materials.
10. **Capital Repairs:** Includes the cost of centralized capital repair activities.
11. **Debt Service:** Includes debt service payment on bonds, certificates of participation, and performance contracts.

12. Miscellaneous Expense: Includes refunds issued for on-campus external programming, deposit refunds, and other expenses not classified elsewhere.

Following is a financial summary of the University's operations as of the end of the second quarter of FY22 compared to the previous fiscal year and the FY22 budget.

Northern Illinois University
as of December 31, 2021
(\$ in thousands)

	Current Year FY22			Prior Year FY21		Current Year FY22		
	Second Quarter			Second Quarter		Annual		
	YTD Actuals	YTD Budget	Variance	YTD Actuals	Variance	YTD Forecast	Budget	Variance
Revenue								
Tuition & Student Fees	\$ 157,397	\$ 160,787	\$ (3,390)	\$ 161,093	\$ (3,696)	\$ 168,228	\$ 168,228	\$ -
Gifts, Grants, & Contracts	50,785	26,572	24,213	29,580	21,205	74,538	74,538	-
Other Fees and Fines	2,767	2,202	566	1,822	945	3,999	3,999	-
Sales	18,145	20,019	(1,874)	11,685	6,460	29,863	29,863	-
Rental & Room Income	31,416	33,789	(2,373)	18,782	12,634	33,018	33,018	-
Gate Receipts & Commissions	574	2,245	(1,671)	130	444	3,535	3,535	-
Miscellaneous & Investment Income	4,614	1,278	3,336	2,036	2,578	7,463	7,463	-
State of Illinois	78,081	78,712	(632)	78,730	(649)	87,804	87,804	-
Total Revenue	\$ 343,779	\$ 325,605	\$ 18,174	\$ 303,859	\$ 39,920	\$ 408,449	\$ 408,449	\$ -
Expenses								
Cost of Sales	\$ 1,731	\$ 1,910	\$ (180)	\$ 1,174	\$ 556	\$ 4,028	\$ 4,028	\$ -
Personnel Services	108,605	115,529	(6,924)	106,468	2,137	232,479	232,479	-
Contractual Services	33,399	36,909	(3,509)	31,326	2,073	82,513	82,513	-
Commodities	2,569	2,509	60	1,709	859	5,836	5,836	-
Travel	1,330	570	759	171	1,158	3,225	3,225	-
Automotive	567	500	67	318	249	1,037	1,037	-
Scholarships	50,230	30,680	19,550	32,184	18,046	62,395	62,395	-
Telecommunications	194	247	(53)	233	(39)	543	543	-
Equipment & Library Materials	3,911	3,777	134	3,783	128	7,948	7,948	-
Capital Repairs	350	510	(161)	293	56	1,238	1,238	-
Debt Service	4,938	2,309	2,630	3,355	1,583	16,829	16,829	-
Miscellaneous Expense	56	95	(38)	1,469	(1,413)	378	378	-
Total Expenses	\$ 207,878	\$ 195,544	\$ 12,334	\$ 182,485	\$ 25,393	\$ 418,449	\$ 418,449	\$ -
Net Revenue	\$ 135,901	\$ 130,061	\$ 5,840	\$ 121,374	\$ 14,527	\$ (10,000)	\$ (10,000)	\$ -

PERIODIC REPORT ON INVESTMENTS FOR PERIOD ENDING DECEMBER 31, 2021

In accordance with the University's Investment and Cash Management policy, this report on cash and investments is submitted at the end of each calendar quarter to the Board of Trustees. This report is required by Board of Trustees *Regulations* (Section V, Subsection D). The following schedules are included:

- Cash and Investment Holdings Summary as of December 31, 2021
- Investment Earnings for the quarter ended December 31, 2021

The Investment Committee is responsible for monitoring compliance with the University's Investment and Cash Management Policy. The investment goals, as stated in the policy, are to insure the preservation of principal and maintain compliance with applicable state laws, rules, regulations, debt covenants and Board of Trustees *Regulations* while meeting cash flow needs and earning a yield acceptable to conservative investment managers.

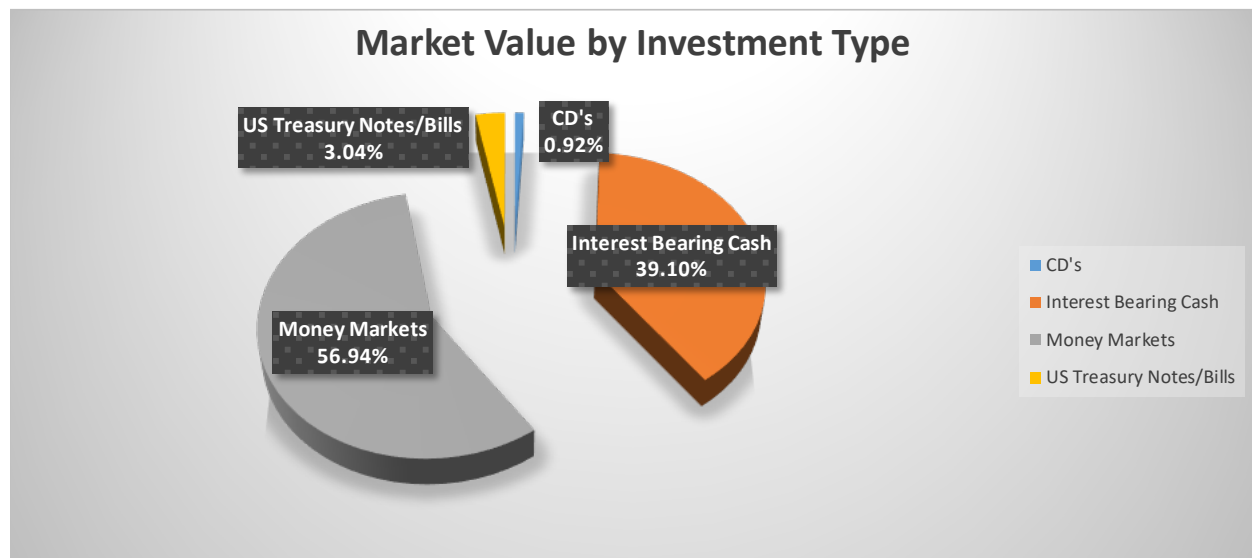
The *Cash and Investment Holdings Summary* at December 31, 2021 shows NIU's total holdings at just over \$165M. Of the total holdings balance, approximately \$113M is available for daily operations providing for more than 90 days cash on hand. The remaining balance is restricted per collateralization requirements and contractual obligations. The total holdings balance is up \$35M from the balance at December 31, 2020. This increase is a result of timely receipt of state appropriations and continued receipt of additional pandemic-related Federal relief funding.

The *Investment Earnings* report shows income of \$30K and an overall annualized rate of return of 0.08% for the second quarter. This represents a decrease in interest earned of approximately \$37K when compared to the same quarter of FY21. The decrease in interest earned is primarily due to declining market investment yields. Most operating funds were invested in money markets and investment in savings accounts because cash and cash equivalents were earning higher yields than long term investment instruments during the second quarter of FY22.

The coronavirus pandemic continues to be a headline in economic forecasts after a recent uptick in COVID-19 cases as a result of the omicron variant. However, indicators of economic activity and employment continue to strengthen, and the sectors most adversely impacted by the global pandemic continue to show improvement in recent months. Inflation levels remain elevated, exceeding the 2% goal established by the Federal Open Market Committee. The Federal Open Market Committee decided to maintain the federal funds interest rate in the target range of 0 to 1/4 percent but economists do anticipate three to four rate hikes in 2022. Management is continuously monitoring market changes that will affect the university's cash management and investment goals. Management is committed to the fiscal sustainability of NIU and is reviewing all available options for strengthening the university's cash position.

NORTHERN ILLINOIS UNIVERSITY
CASH and INVESTMENT HOLDINGS SUMMARY
December 31, 2021
For Fiscal Year 2022

Investment Type	Purchase Price/ Ending Bal *	Book Value **	Market Value ***
CD's:			
Local Funds	\$ 1,515,230	\$ 1,515,230	\$ 1,515,230
Federal Agency Notes:			
Local Funds	\$ -	\$ -	\$ -
Interest Bearing Cash Accounts:			
Local Funds	\$ 64,480,323	\$ 64,480,323	\$ 64,480,323
Money Markets:			
Contributory Trust	\$ 9,839,000	\$ 9,839,000	\$ 9,839,000
Local Funds	83,878,363	83,878,363	83,878,363
Project Funds	189,087	189,087	189,087
	\$ 93,906,450	\$ 93,906,450	\$ 93,906,450
US Treasury Notes/Bills:			
Local Funds	\$ 5,044,354	\$ 5,006,165	\$ 5,006,650
TOTAL INVESTMENT HOLDINGS	\$ 164,946,357	\$ 164,908,168	\$ 164,908,653
Non-interest Bearing Cash	\$ 285,165	\$ 285,165	\$ 285,165
TOTAL CASH & INVESTMENT HOLDINGS	\$ 165,231,522	\$ 165,193,333	\$ 165,193,818
TOTAL REPORTED FOR 12/31/2020	\$ 130,246,206	\$ 130,200,060	\$ 130,202,363



* Amounts per Bank and Investment Statements

** Purchase price, net of accumulated amortization of premiums and discounts

*** Estimated price for which an investment would sell in the marketplace

Assets reported in the CASH and INVESTMENT HOLDINGS SUMMARY comply with the Illinois Public Funds Investment Act (30 ILCS 235)
and the NIU Investment and Cash Management Policy

**NORTHERN ILLINOIS UNIVERSITY
INVESTMENT EARNINGS
For Fiscal Year 2022
October 1, 2021 - December 31, 2021**

	December 31 Ending Balance	Qtr 2 Average Daily Balance	Percent of Portfolio	Qtr 2 Income Earned	Annualized Rates of Return				
					FY2022		FY 2021		
					2nd Qtr	1st Qtr	4th Qtr	3rd Qtr	
Short-Term Investment Accounts									
Illinois Funds	\$ 83,878,363	\$ 64,586,993	41.82%	\$ 6,192	0.038%	0.020%	0.034%	0.073%	
Investment Accounts - Financial Institutions	\$ 64,480,323	\$ 64,347,617	41.67%	\$ 21,372	0.132%	0.119%	0.129%	0.167%	
Contributory Trust	\$ 9,839,000	\$ 9,838,808	6.37%	\$ 647	0.026%	0.026%	0.029%	0.031%	
Investment Accounts - Project Funds	\$ 189,087	\$ 261,313	0.17%	\$ 4	0.006%	0.006%	0.006%	0.006%	
CD's	\$ 1,515,230	\$ 1,515,203	0.98%	\$ 38	0.010%	0.041%	0.050%	0.075%	
ST Investment Accounts Total	\$ 159,902,003	\$ 140,549,934	91.01%	\$ 28,253	0.080%	0.072%	0.077%	0.116%	
Fixed Income Securities									
Local Funds	\$ 5,044,354	\$ 13,888,390	8.99%	\$ 1,400	0.040%	0.048%	0.053%	0.297%	
Fixed Income Securities Total	\$ 5,044,354	\$ 13,888,390	8.99%	\$ 1,400	0.040%	0.048%	0.053%	0.297%	
Non-interest Bearing Cash	\$ 285,165	-	-	-				-	
COMBINED TOTAL	\$ 165,231,522	\$ 154,438,324	100.00%	\$ 29,653	0.08%	0.07%	0.08%	0.12%	

Assets reported in INVESTMENT EARNINGS comply with the Illinois Public Funds Investment Act (30 ILCS 235)
and the NIU Investment and Cash Management Policy

**QUARTERLY SUMMARY REPORT OF TRANSACTIONS IN EXCESS OF
 \$100,000 FOR THE PERIOD OCTOBER 1, 2021 TO DECEMBER 31, 2021**

In accordance with Board of Trustees Regulations (Section V, Subsection B.5), this report of all obligations of financial resources greater than \$100,000 but less than \$250,000 is submitted at the end of each calendar quarter to the Board of Trustees. Transactions included in this quarterly report reflect the maximum approved amount that orders may not exceed and does not include commercial card obligations. Following is a summary of the number of transactions, fund source, and total dollar amount, and transaction details for obligations incurred during the quarter ended December 31, 2021.

Purchase Amount	No. of Transactions	Appropriated*	Non-Appropriated	Total
Over \$100,000	13	\$920,896	\$1,904,741	\$2,825,007

TRANSACTIONS DETAIL:

Purchases:

1. The Center for Governmental Studies requested permission to enter in to a three-year lease for office space located 105 West Madison Street in Chicago for the period November 1, 2021 through October 31, 2024. (Madison RE LLC, Chicago, IL) 213,130
2. The P-20 Center requested permission to enter into a communications and events partnership for the CTE Improvement Project for the period November 1, 2022 through June 30, 2025. This partnership will provide technical assistance, professional learning: Microcredentials branding and support, development of materials, conference, marketing, and communication strategies, evaluation report products, and other materials. (Collaborative Communications Group Inc., Washington, D.C.) 680,000
3. Athletics requested permission to issue a purchase order for Mid-American Conference football officials for six home games. (Mid-American Conference, Cleveland, OH) 140,205
4. The Division of Information Technology requested permission to replace the UPS (universal power supply) system. This system modernizes and reduces the footprint of the data center in Swen Parson. (Industrial Electric Supply, Burr Ridge, IL) 100,556
5. Athletics requested permission to purchase the annual MAC bowl assessment for FY22. (Mid-American Conference, Cleveland, OH) 130,000*

6. The Department of Chemistry and Biochemistry requested permission to purchase a Nuclear Magnetic Resonance (NMR) Spectrometer suitable for advanced research. NMR Spectroscopy is utilized to observe the molecular structure of materials. This instrument was an upgrade to the department's current NMR capabilities, and will allow for height throughput, better resolution, and more economical operations. This purchase was funded partly by a Grant and partly by NIU funds. The intent to award the NIU portion as a sole source was advertised on the *Illinois Public Higher Education Procurement Bulletin*. The remaining amount, grant funded, is exempt from the Illinois Procurement Code according to 30 ILCS 500/1-13(b)(8). 146,426*

Capital Improvement Projects:

1. The existing west stands of the stadium have been showing signs of deteriorations and a scope of critical repairs had been determined and prioritized by a condition assessment completed in FY21. To better facilitate annual affordability, the full extent of repairs identified will be spread over a five-year period, with the more critical repairs planned in earlier phases. The scope of this initial project addressed the highest priority items involving structural integrity and water tightness. This includes tasks such as masonry tuckpointing and crack repairs, expansion joint repairs, and spalling concrete repairs. Work is to be completed by outside contractors and in-house workforce, under the coordination of a NIU project manager. 238,250
2. This repair project scope includes removal and replacement of eight chilled water coils in the building supply air handlers along with new isolation valves and supports to improve serviceability in the future. The coils are original to the building, are at the end of their useful life, and several are leaking and have been capped off until replacement can be made. This work needs to be completed during the winter heating season to ensure reliable cooling capacity is available for the next cooling season. There is a total of 32 chilled water coils between the building air handlers, eight coils were already replaced in FY21. The remaining original coils are planned to be replaced in subsequent years. 128,500*
- Work will be completed by in-house workforce under the coordination of a NIU project manager.
3. Based on general overview of standalone boilers conducted in 2017, a more detailed assessment will be performed to guide long-term capital investment planning for existing heating systems located at Monat, Wellness & Literacy, Broadcast Center, Convocation Center, and Rockford campus. The scope for this project is to develop detailed design and cost estimates for each of the standalone boiler locations per the assessment recommendations to allow for project planning in upcoming fiscal years. Following completion of this project, separate approvals will be submitted for the necessary improvements at the various locations. Work will be completed by an outside consultant and in-house workforce under the coordination of a NIU project manager. Split-funded. 117,120*
78,800

- | | | |
|----|---|---------------------|
| 4. | The scope of this project is to enhance the university’s energy management capability by installing submetering equipment for consumption utility systems (chilled water, domestic water, steam condensate, electrical, and natural gas) on a representative selection of campus buildings (DuSable Hall, Founder’s Memorial Library, Gilbert Residence Hall, and New Residence Hall). | 120,800*
125,950 |
| | This energy management and data analysis capability will support a more precise understanding for utility consumption at the building level and allow for meaningful evaluation of energy conservation measures to be piloted for the campus. With approximately \$12M spent annually on utilities, it is advantageous to assess energy conservation improvements on a limited scale before applying ideas more broadly to the rest of the campus. Work will be completed by an outside consultant, outside contractors, and in-house workforce under the coordination of an NIU project manager. Split-funded. | |
| 5. | The chillers and associated other equipment in the West Plant and at the Convocation Center require annual maintenance and repairs to ensure that west campus will have chilled water for the next cooling season. The repairs will include annual routine maintenance work and eddy current testing, hot box replacements, pump and valve repairs and other miscellaneous items. These upgrades will inherently contribute towards improving the efficiency of the equipment. The maintenance and repairs must be completed during the winter of the Plant. Work will be completed by in-house workforces under the coordination of a NIU project manager. Split-funded. | 100,650*
143,150 |
| 6. | The chillers and associated other equipment in the Chilled Water Plant, which serves east campus, and additionally at the Engineering Building and LaTourette Hall, which are standalones, require annual maintenance and repairs to ensure that east campus will have chilled water for the next cooling season. The repairs will include annual routine maintenance work and eddy current testing, cooling tower media replacement, leak repairs and other miscellaneous items. These upgrades will inherently contribute towards improving the efficiency of the equipment. The maintenance and repairs must be completed during the winter of the Plant. Work will be completed by in-house workforces under the coordination of a NIU project manager. Split-funded. | 177,400*
50,700 |

*Appropriated/Income Funds

FISCAL YEAR 2021 REPORT ON FACILITIES AND INFRASTRUCTURE CAPITAL INVESTMENT ACTIVITIES

Overview

This fiscal year bore the full impact of the pandemic as most classes were executed via online instruction, residential students were housed individually in rooms, and most faculty/staff worked remotely. While this experience demonstrated the university's ability to adjust and perform in a virtual environment, it also demonstrated the value of traditional face-to-face instruction and the criticality of student interaction. The importance of the built environment for creating experiential learning was renewed as many longed for a return to the campus and the interactions it facilitates. This experience has clearly demonstrated the importance of sustaining the physical campus to support this critical aspect of the university mission.

This report reviews and summarizes a holistic capital investment perspective based on the layered investment strategy of maintenance, major repairs, and mission enhancement capital improvement projects. A historical view is presented from information collected from FY00 through FY21. This view is also categorized by the two major classifications of campus buildings as noted below. This differentiation is critical to understanding the source of funds required to support each type of building and the supported University operation.

- **General Revenue:** Consists predominantly of academic and administrative operations, associated buildings and proportional supporting infrastructure. This conservatively represents about \$1.2B of Plant Replacement Value (PRV) which serves as a common denominator for comparing buildings.
- **Auxiliary Revenue:** Consists predominantly of athletics, housing/dining, recreation, and Holmes Student Center operations, associated buildings, and proportional supporting infrastructure. This also conservatively represents about \$1.2B of PRV.

The format of this report is consistent with previous capital investment reports with the intent to (1) provide a strategic view of all investments made in facility assets, (2) present an indication of the level of investment required, and (3) continue to illustrate the overall health of the campus physical plant. A more specific description of capital projects executed is provided in appendix A showing highlights of significant actions approved between FY12 and FY21. Appendix B is the semi-annual accounting of all current active projects >\$100,000. These appendices do not provide strategic perspective with the information provided but help illustrate examples for the types of work supported by the various investment categories.

Information presented represents a best effort at collecting and interpreting historical financial data into a meaningful format and developing an investment strategy/model to guide future focus. The facility sustainment investment model, initially described in the FY17 report, continues to be utilized as a method of comparison to industry standards and a required investment level. The basis of this model is built on the premise that about 2% of PRV is the required maintenance and repair investment to keep up with the expected rate of deterioration. Industry facility management experts have concluded that investment levels of between 2% and 4% are generally required depending on the type of facility, level of use, and current state of deferred deficiencies. If investments levels are too low, the backlog of deferred requirements continues to build as deficiencies accumulate, and overall repairs become more costly to restore to acceptable conditions as deficiencies worsen. Like with any valuation criteria, this PRV calculation will vary by time. For the sake of simplicity when

illustrating this budget model, an average of \$2B total PRV was used for this report and is considered a reasonable representation of average value for this 21-year time span.

This sustainment investment model continues to hold some recognition and influence for the financial modeling being done by the Illinois Board of Higher Education (IBHE) and helped some state politicians improve their overall understanding for the capital investment issue beyond the overly simplistic metric of a deferred maintenance backlog. Recognizing that a minimum annual investment level is required just to stop a deferred maintenance backlog from growing is a significant step towards understanding this complicated capital investment issue.

Key updates in this report:

- **Rebuild Illinois Capital Plan:** In FY20, the State authorized a six-year investment plan for public infrastructure requirements. Funds from this authorization have begun to be released in FY21 allowing the Capital Development Board (CBD) to proceed with some projects NIU had prioritized for addressing critical deferred deficiencies. Collectively, with authorizations prior to FY20, there are \$30M worth of NIU capital renewal projects and \$7.7M released for the new Health IT Center all in various planning/design stages with CDB.
- **Comparison of Preventive Maintenance (PM) and Corrective Repair (CR) efforts:** Efforts to formalize a PM program have been substantially completed and the focus on accomplishing the required PM actions continued. Although deliberate expense reductions have occurred across all capital investments, efforts were made to continue critical PM actions to help ensure building functionality and safety.
- **Building Inventory summary:** As the pandemic drives uncertainty with revenue flows supporting facility sustainment, buildings and infrastructure continue to age with 58% of building spaces being greater than 50 years.

Maintenance Investments

Maintenance is fundamental investment required to keep facilities operational and functional. The actions represented in this investment category include (1) preventive maintenance (PM) which helps ensure building systems function for the intended life, and (2) corrective repairs which allow for smaller critical (and sometimes interim) repair actions to keep the building functioning daily. To help maintain a focus on preventive maintenance, the university has been systematically developing a formal PM program. This effort began in FY17 and was substantially completed in FY21 with documented PM requirements for about 8,500 individual items across 126 different types of equipment. The magnitude of this multi-year effort to document preventive maintenance requirements is illustrated in Figure 1 below.

To be clear, the chart represents preventive maintenance that should be accomplished to best maintain building systems; how much PM work can be accomplished depends on the available sustainment funding and on the amount of corrective repairs which may be necessary to keep building systems functioning. The magnitude of corrective repairs required to be addressed is a direct reflection from the lack of major repair investments in the past. As major repairs continue to be deferred, corrective repair demands increase and become more significant. Consequently, PM efforts often must be deferred/not performed to accommodate unavoidable corrective repairs.

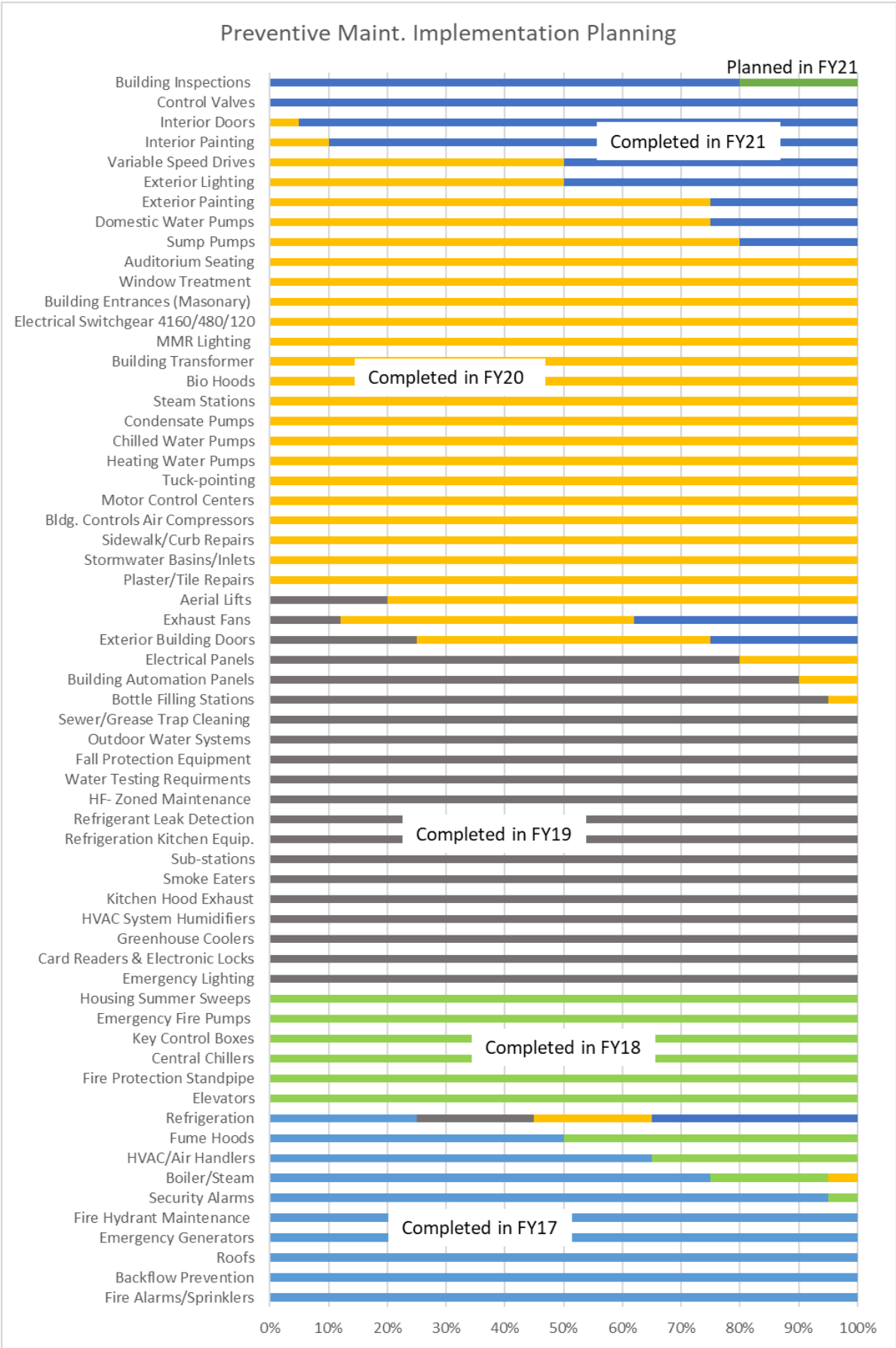


Figure 1

Figure 2 reflects the amount of preventive maintenance and corrective repairs performed over the past few years. In well maintained systems, the ratio between PMs and corrective repairs should be about 80/20 where more preventive maintenance efforts are expended and suppressing the need for unplanned corrective repairs. Unfortunately, NIU's balance is reversed due to decades of under investment in facility sustainment. It will take many years of steady major repair investing (i.e., execution of the current Rebuild Illinois capital investments authorization) to shift this balance to a point where more time might be expended on PM actions. While there has been a slight increase in the proportion of effort spent on PMs in FY21, there has also been an overall decrease of in-house capacity due to budget constraints. Nonetheless, the attention for executing PMs is being maintained.

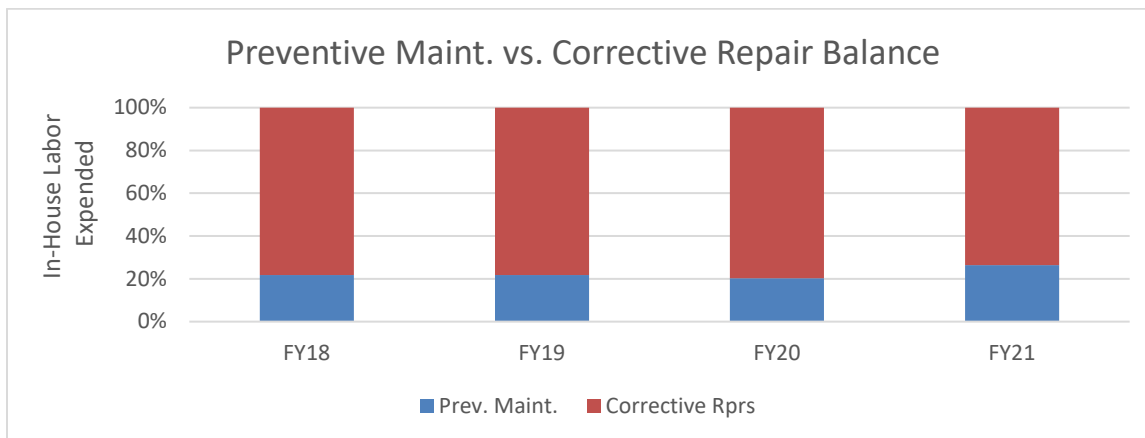


Figure 2

How much should be invested in maintenance depends on the necessary PM actions and historic levels required to address smaller corrective repairs. Presented in Figure 3 below is a historical view of maintenance investment made on general revenue facilities/infrastructure between FY00 through FY21. While 2% of PRV is the overall investment target for both maintenance and repair, 0.5% is reasonable target for maintenance only. This investment level is particularly important as larger repair investments continue to be deferred.

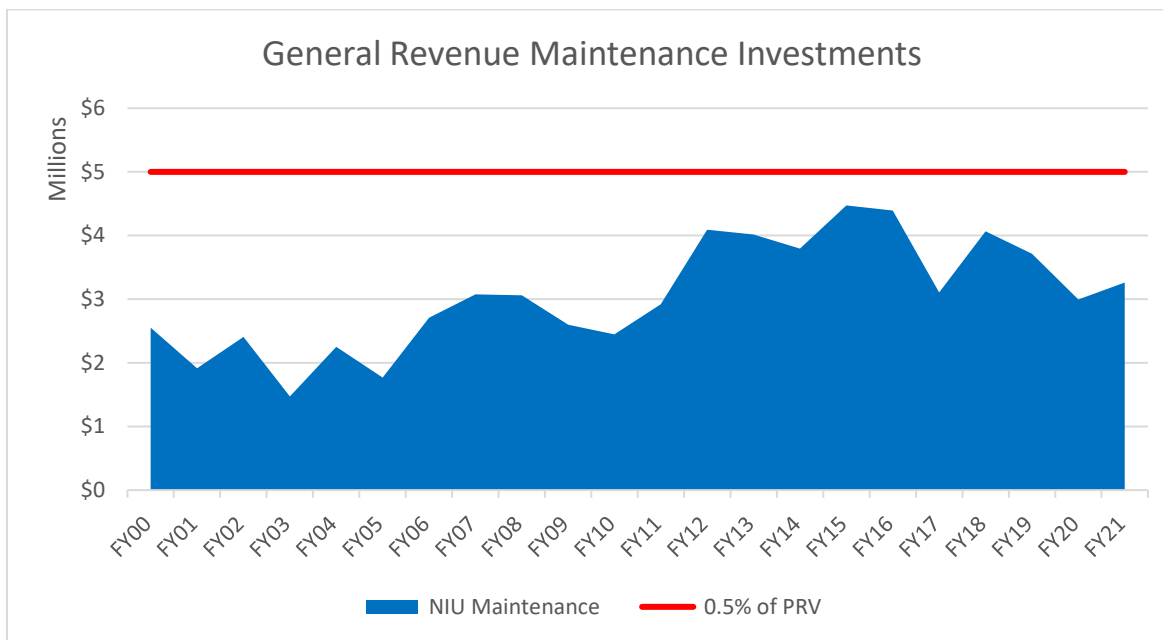


Figure 3

In general, the University has succeeded in increasing General Revenue maintenance investments over the past 21 years. From a low point in FY03 of under \$2M, this investment has more than doubled to create a better investment level for this fundamental layer of facility sustainment. In FY17, this funding level dipped to \$3M due to the need to weather the uncertainty of state funding between FY16 and continuing into FY17. Unfortunately, the maintenance investment declined again to \$3M in FY20 particularly due to the onset of the pandemic and the need to preserve cash for the uncertainties in this period. FY21 began to climb slightly higher but was still suppressed due to the pandemic uncertainties.

In a similar manner, the facility maintenance investment supporting Auxiliary Operations was plotted in Figure 4. This shows an overall improved level of investment between FY00 and FY15 which may be due to less volatility in funding support as the typical bond-based financing terms require more attention to protecting these facility assets. However, a significant decline has been seen in this investment profile since FY15 due to overall budgetary pressures caused by enrollment decline. In FY20 and FY21, this suppressed investment level continued due to pandemic uncertainties.

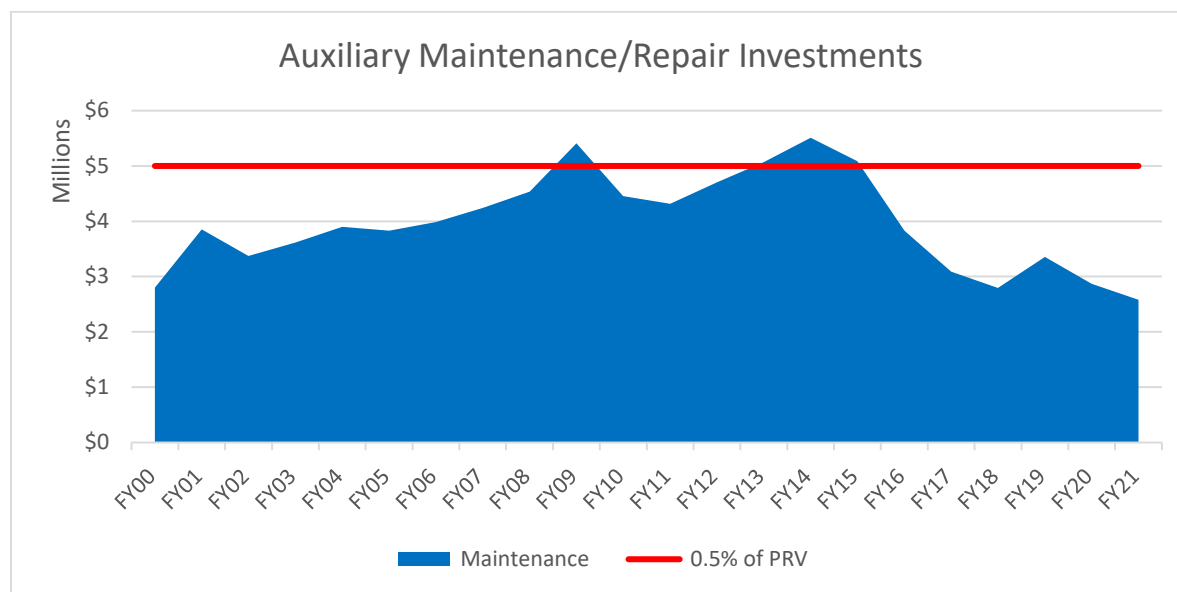


Figure 4

Major Repair Investments

Major repairs are the next critical investment priority after maintenance. Major repairs commonly include significant restoration/replacement of building components to return the system to near original operating capability. The combined investment of both maintenance and repair is key to maximizing a facility’s service life.

Viewing the campus under the General Revenue and Auxiliary portions as shown previously, each portion of the campus represents about \$1B of PRV for a total of \$2B over this ~22-year span. A 2% investment in maintenance and repair would amount to a total of \$40M, or \$20M for each portion. In Figure 5, the maintenance and repair General Revenue investment history is presented since FY00, and, on average, the investment level has been only 0.5% despite the inclusion of sporadic support of State repair funding. The void between the investment levels made over these years and the 2% of PRV target represents over \$330M in under-investment in this 22-year period alone.

Since deferred maintenance is a very imprecise measurement and has no comparison standard to indicate acceptable levels, the investment level trending shown by this PRV sustainment model is a better indication of the overall campus condition. In this case, NIU's average investment level only reaches 25% of the model recommendation. This clearly indicates that the campus is not keeping pace with deterioration and is at higher risk of unplanned system failures which could affect the university mission.

Currently, there are \$30M worth of Capital Renewal funded repair projects at early stages of execution with the Capital Development Board.

Framing the University's facility investment requirements in this clear manner has helped to bring recognition to the plight faced by Illinois' public universities and started to generate additional funding support. In FY19, approximately \$11.8M of state funds were identified to support critical boiler replacements and steam line distribution repairs. Entering FY20, NIU had been authorized \$52.9M for miscellaneous capital renewal improvements under the Rebuild Illinois capital plan. While the availability of these funds was known to be dependent on new tax revenue generation and had subsequent additional uncertainty due to the pandemic, approximately \$9M had been released to support critical capital renewal priorities. Currently, there are \$30M worth of capital renewal funded repair projects at early stages of execution with the Capital Development Board. This provides more assurance that NIU will begin to see these state funded repair investments materialize in the coming fiscal years as projected in Figure 5. The investment spike in FY23 is a bow wave based on actual projects in early planning/design stages which are likely to be executed around this period.

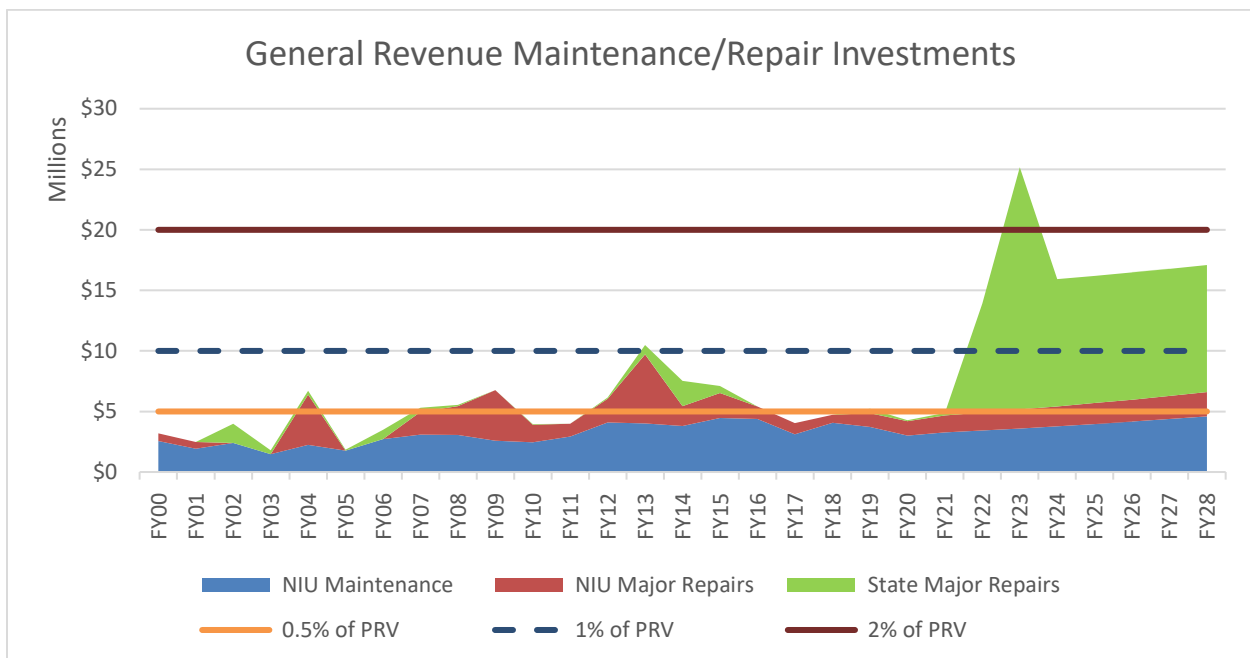


Figure 5

While the level of historic and current investments has not been sufficient to keep up with the constant accumulation of facility deficiencies, the anticipated improved investment level for FY22 through FY27 might reach higher than 1.5% of PRV (or 75% of the model) which is a respectable investment level compared to the past. Sustaining this investment level combined with periodic capital/mission enhancement investments (as noted later in this report) and the demolition/sale of

surplus structures, may stop the backlog of deferred deficiencies from growing and possibly start to reduce the backlog.

In a similar manner, the total facility maintenance/repair investment supporting Auxiliary buildings was plotted in Figure 6. On average, particularly with an investment spike in FY13 through FY14 supported by Build America Bond financing, this investment level comes to 0.6% which is slightly above the average General Revenue investment level of 0.5%. As previously addressed under the construct of the 2% of PRV investment model, FY20 Auxiliary investments fell short of the 2% industry standard by \$16M. When considered cumulatively over this 22-year period, the under-investment from the 2% of PRV objective represents over \$305M. As discussed previously, this cumulative deferred maintenance amount is not as informative as understanding the long-term trend of investing, on average, only 25% of the recommended level for maintenance and repair needs. As noted for the General Revenue portion, the Auxiliary portfolio of buildings is also not keeping pace with deterioration rates and will be under increased risk of unplanned building failures.

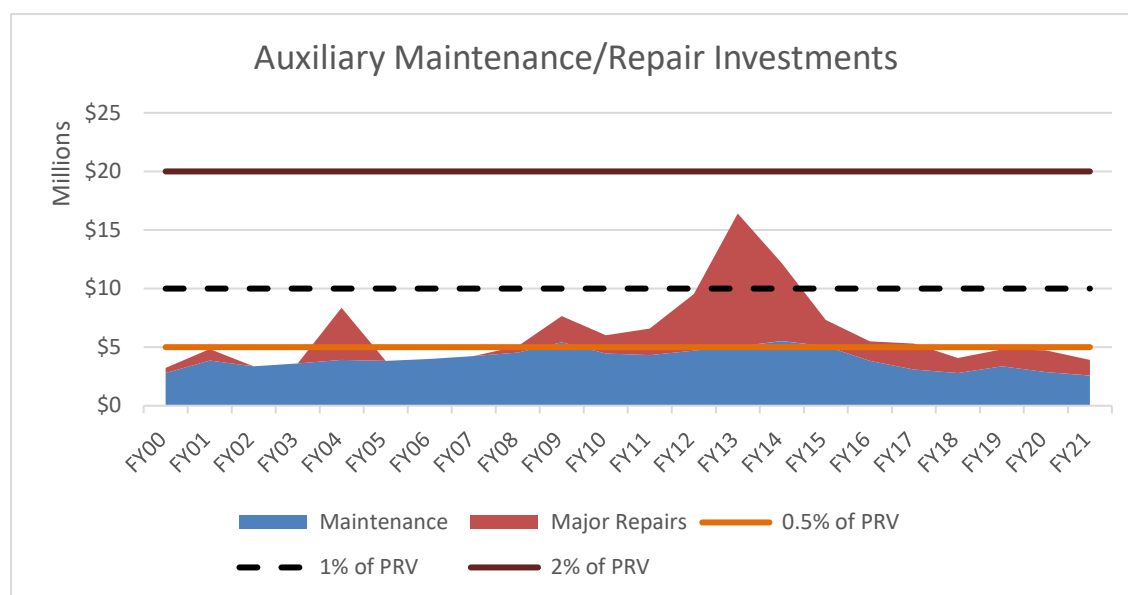


Figure 6

Mission Enhancement Investments

Mission enhancement investments in facilities are defined as improvements that are new or add to an existing capability. As such, these investments may or may not have a direct impact on sustaining a building for its intended life span. This could include modernization updates to a building’s appearance, reconfiguring spaces to better accommodate the supported mission, or adding other capabilities not previously present in the structure. While still important investments for enhancing a building’s appeal and general functionality, this category is differentiated from the maintenance/repair investments which are meant to sustain facilities.

Mission enhancement investments in General Revenue buildings are plotted in Figure 7 below. The 2% of PRV investment model is shown as a reference for the magnitude for this investment. However, the entirety of the mission enhancement investment should not be construed as contributing towards achieving this sustainment investment target. Major renovation projects may address facility deficiencies in a portion of the project scope. Similarly, new construction would not address existing facility deficiencies unless accompanied by demolition.

Assuming for a moment that the entirety of mission enhancement investments did contribute towards a building’s sustainment, the combination of maintenance, repairs, and mission enhancement investments over this 22-year period averages to only about 1% of the PRV (50% of

target level). This cumulative gap of over \$230M from reaching the 2% investment target further illustrates the magnitude of under-investment for the General Revenue facilities and infrastructure. Mission enhancement investments for FY21 consisted mostly of smaller NIU-funded projects which totaled about \$400K.

The Figure 7 chart also forecasts the authorizations from the Rebuild Illinois Capital Plan, specifically pertaining to the construction of the Health Informatics & Technology Center project. Since \$7.7M of funds had been released to CDB for planning/design efforts, the actual construction investment will likely not be realized until FY23, at best.

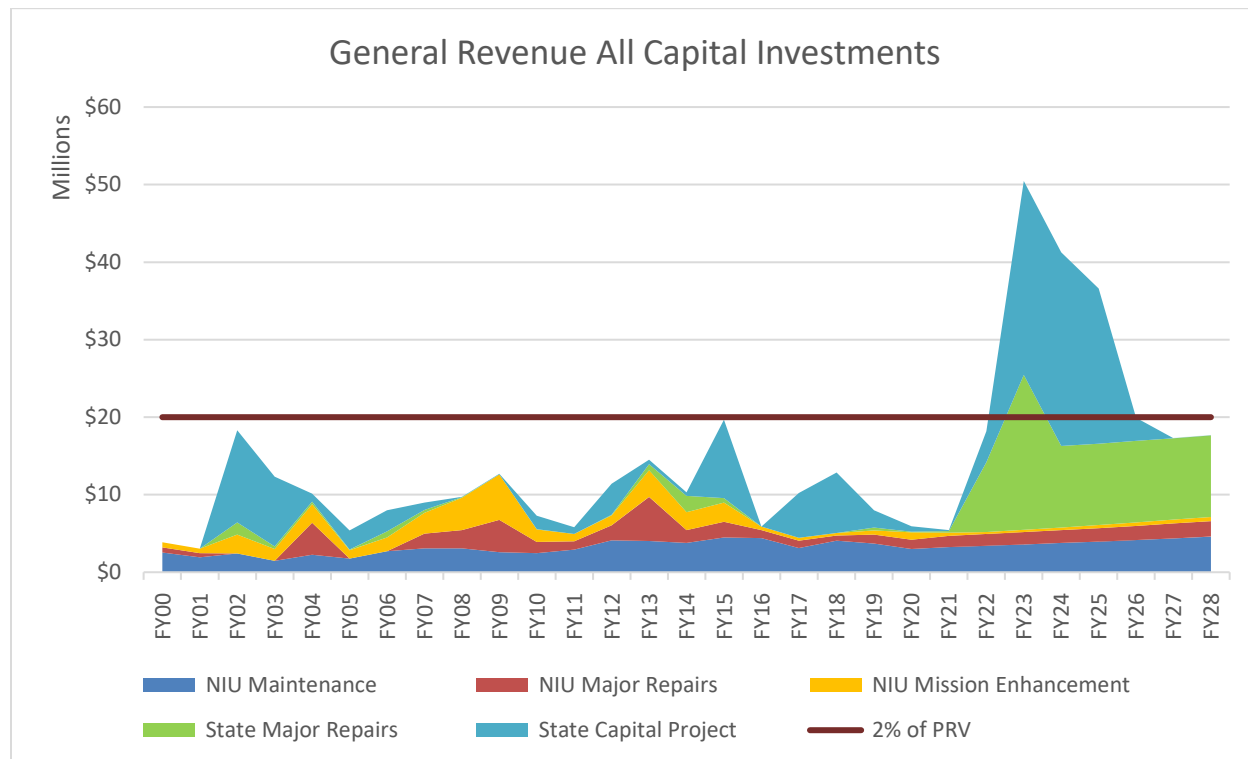


Figure 7

Mission enhancement investments in Auxiliary/Bond Revenue buildings are plotted in Figure 8 below¹. Again, the percent of PRV investment model is shown as a reference only to illustrate the magnitude for this investment. Assuming for a moment that the entirety of maintenance, repair, and mission enhancement investments would contribute towards sustaining a building/infrastructure, the total investments over this 22-year period would average to 1.2% of PRV. While slightly better than the General Revenue side, this cumulative gap of over \$177M from reaching the 2% investment target also further illustrates the magnitude of under-investment in Auxiliary buildings/infrastructure.

The largest period of investment in the Auxiliary portion of the campus occurred during the FY11 through FY16 period and was spurred by the financing from the Build America Bonds. This supported projects such as the Gilbert Hall renovation, Grant North towers renovation, Outdoor Recreation Center construction, fire sprinkler installation for Stevenson Hall, and the Lucinda Ave

¹ The financed construction investment of the New Residential Hall was not able to be incorporated into this historical assessment at this time and will be evaluated in future assessment reports as more information is gathered.

extension following the Douglas Hall demolition. The remaining Build America Bond funding was expended mostly in FY19 and FY20 for the Holmes Student Center ground floor renovation.

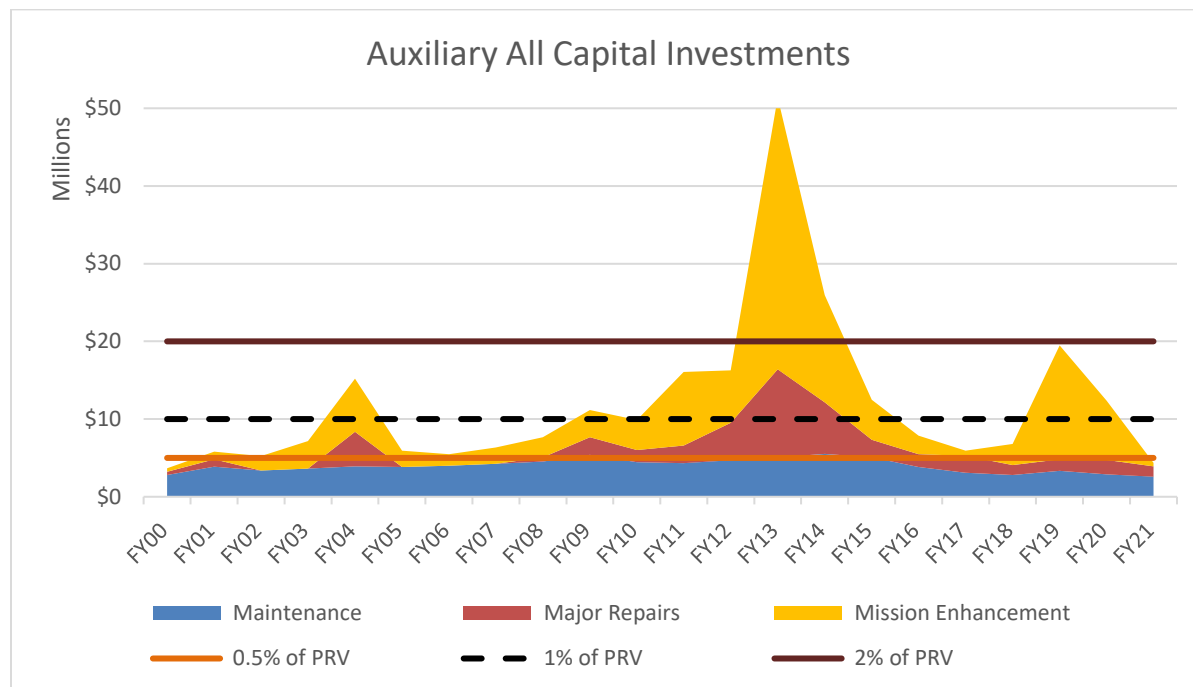


Figure 8

Building Inventory

The university campus is comprised of approximately 85 major buildings with an average age of 50 years. Sixty-four buildings are considered General Revenue support and 21 buildings are considered Auxiliary support.

While the number of buildings differs between the two financial systems, the space contained is roughly equal with the General Revenue buildings holding about 3.95 million square feet and the Auxiliary buildings holding about 3.85 million square feet. Of the entire approximately 7.8 million square feet of university buildings, 58% (49 buildings) is greater than 50 years of age and at a higher rate of deterioration. This represents a 2% increase from FY20.

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The combination of aging infrastructure and continuing under investment for facility sustainment requirements, as addressed earlier in this report, is creating a growing financial liability. This liability can only be addressed by increasing investments, reducing the facility inventory, or a combination of both actions. Reducing the inventory could be accomplished through consolidation and disposing of excess structures. Disposal actions may be through demolition or sale of buildings and property determined to be surplus to the university needs.

In FY20, the former President House had been sold under the relatively new authority provided by the State Property Control Act (30 ILCS 605). The intention of the revised Act was to further address deferred maintenance liabilities by requiring sale proceeds to be used only for this purpose. In FY21, the Hoffman Estates campus was determined as surplus to the university's needs and is

being marketed for sale in FY22. Other properties such as the Art Annex in DeKalb and land in Sycamore are pending sale in FY22.

Structures not appropriate for sale and best situated for demolition would include the Lincoln Hall residential complex and Adams Hall. Both buildings are vacant and awaiting demolition possibly in combination with the new construction associated with the Health Informatics & Technology Center project authorized under the Rebuild Illinois capital program. Further opportunities to consolidate and right-size functions will be evaluated to identify additional structures which may be disposed. In the long term, this action will allow the university to place more resources towards remaining structures and other operations enhancing the university mission.

Conclusion

This report continues to illustrate how assessing capital investments is more than just taking a pulse on the amount of deferred maintenance. Since the topic is complex and multi-dimensional, it is best viewed by the types of investments being made, the long-term trends with these investments, and the investment levels required to keep pace with deterioration. The extended period of past systematic underinvestment has created a dire need for repair and modernization investments. Figure 9 illustrates how more investments have been made on maintenance/repair requirements as compared to mission enhancement improvements. While both are important investments, efforts to reduce expenditures due to pandemic uncertainties have affected mission enhancement improvements more than repairs which tend to be more critical for keeping facilities functional.

Fortunately, there are clear and positive indications of increased state investments which will significantly help address critical deficiencies in the coming years. It will be important to continue to increase capital investment efforts to not only address deferred deficiencies, but also address the deterioration yet to come. The current Rebuild Illinois initiative cannot be viewed as a one-time fix and must be viewed as an investment which needs to be sustained. Buildings and infrastructure have been stressed literally to the breaking point and repeated cycles of underinvestment in the future will likely lead to more failures impacting the university mission to a greater degree than experienced in the past.

In the meantime, the University will need to continue to systematically prioritize facility investments and look for opportunities to reduce the sustainment liability through thoughtful use of space.

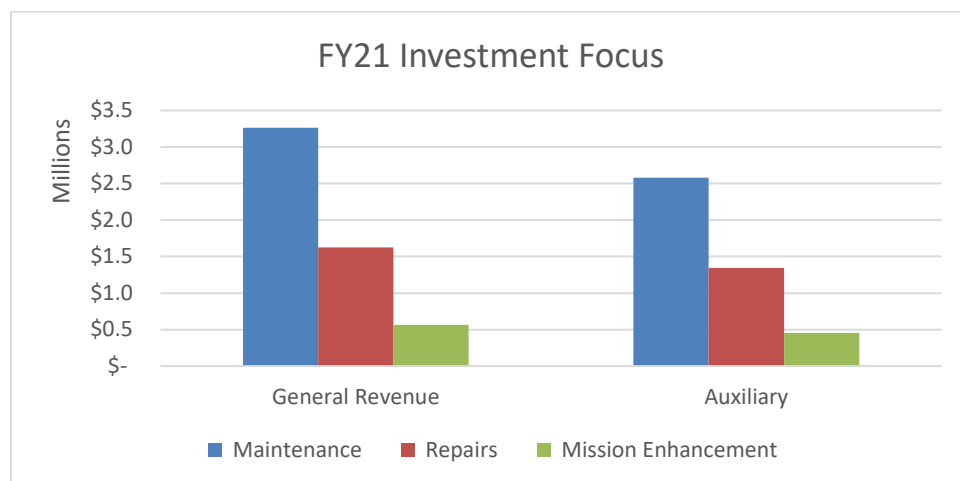


Figure 9

Appendix A Historical Highlights of Approved Projects

In FY21, with campus efforts continuing to be focused around managing the ongoing pandemic, cautious optimism continued with minimal enrollment decreases. Some NIU funded projects of note completed during this year include critical roofing repairs on Chick Evans Fieldhouse, Telephone Security and Wirtz Hall, and Stevenson Towers \$1.4M roof replacement was finally able to get under way with the first of four towers completed in June, the remaining three tower to follow in early and late FY22. Five campus parking lots had repairs made and security camera improvements to the Neptune Residential Complex were completed. Additionally, continued flow of state funding for long awaited capital renewal projects was welcomed, in the first half of FY21, NIU received welcome news from the Capital Development Board (CDB) that funding had been released to begin planning and design efforts for the Health Informatics and Technology Center which was a long-awaited Capital Project. Additionally, funds for exterior repair projects at Swen Parson and the Visual Arts Building were released in April and in May \$500K was released to address emergency repairs on a roof replacement project for the Music Building. Previous FY19 approved capital renewal funded projects Campus Boiler Replacements and Steam Tunnel Repairs got back on track with the Campus Boiler Replacement prepared for rebid due to a failed initial effort and the Steam Tunnel Repairs project readying for bidding by the end of the calendar year. In the first half of the year, the Board approved a \$350K largely IDOT grant funded Central Campus Roadway Improvements project. Nearing the end of the year, the Board also approved two more projects, a \$316K Lighting Upgrades project for the Convocation Center arena and gym spaces to increase lighting reliability, and the \$500K Music Building Partial Roof Replacement which as noted above will be state funded.

In FY20, the year began with the optimism for significantly increased state funding for both capital and capital renewal investments stemming from the Rebuild Illinois capital investment initiative. However, the timing for anticipated tax revenues which were further impacted by the pandemic hitting mid fiscal year delayed this expected investment boost. The previously FY19-approved and in-process capital renewal-funded projects for Campus Boiler Replacements and Steam Tunnel repairs were both delayed a few months but continued progressing with the CDB. In the first half of the year, the Board approved two NIU-funded projects. The first was the \$1.1M Recreation Center changing room remodeling. This project proceeded through the design phase but was put on hold due to budget uncertainties resulting from the pandemic. The second was \$1.5M for the Holmes Student Center tower elevator upgrades. This project was also put on hold due to the same budget uncertainties. In the second half of the FY, the Board approved two projects: a \$350K renovation for a Burma Art Collection storage area in the Founders Memorial Library and a \$400K renovation for the College of Business Creativity and Empathy Lab in Barsema Hall. These projects were mostly grant/donation funded and were not impacted financially by the pandemic.

In FY19, increased attention for state capital renewal funding began to produce additional investments for the University. The first phase of the boiler replacement project had been authorized with about \$6.2M of state funding which was augmented with about \$9.8M of funds from the University Auxiliary Reserves. Additionally, about \$5.5M of state funding authorization was provided for steam line repairs. To compensate for higher-than-expected construction bids responding to the Holmes Student Center renovation project, the Board approved an increase of the budget from \$20M to \$20.9M. The Board also approved moving forward with design of the Northern Illinois Center for Community Sustainability (NICCS) a \$22.9M partially State/grant funded project of which \$7.9M is to be provided by NIU. There were no other projects approved over \$1M approved by the board. There were four projects approved over \$250K: Convocation Center Nelson Suite remodel for \$300K; steam isolation valve and expansion joint replacement project for \$501K of which \$476K is funded through CDB; Yordon Performance Center remodel

for \$275K; and Stevenson Dining Area remodel for \$450K. There were twelve projects between \$100K and \$250K, totaling \$2.1M. There were eleven projects approved between \$50K and \$100K totaling \$734K. In addition, there were 101 other smaller projects approved totaling \$1.2M.

In FY18, continuing enrollment and budget uncertainties played a role in the number and dollar value of capital projects approved in FY18. The Board reconfirmed approval of the budget and use of Build America Bond funds for Holmes Student Center renovation at \$20M. The Board approved only one additional project over \$1M: \$1.27M to replace the video boards and scoreboards at the Convocation Center. There were only two projects approved over \$250K: \$468K for the renovation of Founders Café and \$275K for the renovation of the Nelson Suite at the Convocation Center. There were eight projects between \$100K and \$250K that totaled \$1.26M and six projects between \$50K and \$100K that totaled \$449K. In addition, there were approximately 75 other projects totaling \$1.7M. There was one major emergency repair to the steam tunnel on Normal Road at LaTourette Hall.

In FY17, the State Budget impasse and the University's budget constraints played a major role in the number and dollar value of capital projects approved in FY17. The Board approved only one project over \$1M: the reallocation of \$5.4M in Build America Bonds to begin remodeling, maintenance, repairs, and updates to Neptune Residential Complex. There were three projects over \$250K: \$750K for Grant South Steam Vault replacement; \$625K for Stevenson Towers (B & C) Roof Replacement; and \$500K to facilitate and complete the move-in to Stevens Building. The anticipated completion of Stevens by the State of Illinois Capital Development Board is spring 2018. There were six projects between \$100K and \$250K that totaled \$1.1M and six projects between \$50K and \$100K that totaled \$424K. There were 52 projects less than \$50K totaling \$850K. Total investment in FY17 was 67 projects totaling \$9M in approvals. There were nine boiler, heating plant, steam tunnel, steam piping, and valve related repairs. Five other projects also related to mechanical systems and equipment on campus were completed. There was one major emergency repair to the water main at Neptune Residential Complex.

In FY16, the Board approved three projects in excess of \$1M: the reallocation of \$20M in Build America Bonds for the renovation of Holmes Student Center; \$1.9M for renovation of New Hall Dining and Residence; and \$1.25M for a phased roof replacement of Gabel Hall. There were five projects over \$400K: \$750K for Grant Steam Vault; \$700K for the Boiler Assessment and Phase I Design; \$625K for Stevenson Towers (A & D) Roof Replacement; \$440K for Lucinda Avenue Realignment; and \$400K for DuSable Steam Vault Repairs. There were four projects between \$200K and \$400K. Most notable was the repair of the heating system for the Child Development Lab for \$246K. There were 23 project approvals between \$25K and \$200K that totaled \$1.5M, and there were 28 projects under \$25K approved. There were twelve projects approved for General Revenue buildings. Most of these projects were major repairs to critical building systems such as heating, ventilating, air conditioning, leaking roofs, and deteriorated walls.

In FY15, the Board approved one project in excess of \$1M: \$1.5M for planning the renovation of Holmes Student Center and Neptune Residential Complex. There were two projects over \$500K: Grant Central roof replacement at \$812K and renovation of the Animal Research Facilities located in Psychology/Computer Science and Montgomery Hall at \$850K. Maintenance project approvals totaled \$3.03M for general campus improvements with an additional \$373K approved for two emergency repairs. There were five projects between \$250K and \$500K, most notably two projects at Huskie Stadium: \$460K for a new turf field and \$300K for additional LED advertising ribbon boards. There were 21 project approvals between \$100K and \$250K that totaled \$4.76M and there were 49 project approvals under \$100K, 30 were under \$25K. There were 17 repair and remodeling projects approved for academics, research, smart classrooms, and support spaces. Notable projects include the renovation of the Animal Research Facilities for Psychology and Biology, nine smart

classrooms and classroom upgrades, additional compact storage for the Anthropology Museum, the first electric car charging station on campus, and this year one new facility was added, a new salt shed for Grounds Department to help manage purchasing and storing of winter de-icing salt.

In FY14, the Board approved two projects in excess of \$1M: \$2.25M for a new Public Safety radio system and \$1.38M for electrical infrastructure. In addition, campus maintenance projects totaled \$1.59M for general campus improvements. There was one project between \$250K and \$500K: \$450K for new “beta version” small bus transit system for central campus. There were ten project approvals between \$100K and \$250K that totaled \$1.7M, and there were 50 projects under \$100K approved, 30 of which were under \$25K. There were 20 repair and remodeling projects approved for research, learning, smart classroom, and support spaces. Notable projects include three smart classrooms, the new walk-in cooler for Geology research in Davis Hall, and a new computerized video message board system for the College of Education.

In FY13, the Board approved two projects in excess of \$1M: \$2.58M for electrical infrastructure and \$1.25M for campus alert systems. In addition, campus maintenance projects totaled \$1.65M for general campus improvements. There was one item between \$500K and \$1M approved by the Board: \$650K for new electronic advertising fascia boards at Huskie Stadium. There was one project between \$250K and \$500K: \$271K for emergency repairs of the entry plaza/mechanical room deck at Montgomery Hall. There were 15 project approvals between \$100K and \$250K that totaled \$2.4M, and there were 23 projects under \$100K approved, nine of which were under \$25K. There were ten repair and remodeling projects approved for research, learning, smart classroom, and support spaces. Notable projects include the new entry door system for Founders Library, four smart classrooms, and a renovated temporary Anthropology lab space for use during the renovation of Stevens Building.

In FY12, the Board approved two projects in excess of \$1M: \$3.3M for Huskie Stadium scoreboard and \$1.8M for campus 100% wireless coverage. In addition, the Capital Development Board (CDB) approved a budget increase of \$2.9M for Stevens Building Renovation. There was one project between \$500K and \$1M: the CDB approved a budget increase of \$572K for roof replacements on seven campus buildings. There was one project approval between \$250K and \$500K: \$385K for campus alert phase III. There were ten project approvals between \$100K and \$250K that totaled \$1.8M, there were 27 projects under \$100K approved, 14 of which were under \$25K. There were eight repair and remodeling projects approved for research, learning, classroom, and support spaces. Notable projects include the new DOD supercomputer for Computer Science, 100% wireless coverage for campus, three smart classrooms, and a new laboratory for Computer Science.

Appendix B
Semi-Annual Progress Report of Active Capital Projects
with a Budget over \$100,000

All projects listed herein have received the needed approvals and are currently in process. The Authorization Date is identified for all NIU-funded projects; the fiscal year is identified for all Capital Development Board (CDB)-funded projects. Status reports are provided on any project, regardless of initiation date, until all work has been completed.

A. CAPITAL DEVELOPMENT BOARD PROJECTS

I. Projects in the Planning Phase

1. Northern Illinois Center for Community Sustainability

Total Project Budget: \$20,000,000

Funding Sources: Grant Funding & Institutional Local Funds

Authorization Date: December 2018

Expected Date of Completion: ~3 years from release of planning funding/delay due to planning funding not being released

Project Milestone: Awaiting release of state funding

Status: Funds have not yet been released. Following release, NIU will be working with CDB to define the scope of work, develop a tentative schedule, and solicit proposals for design firms to work on this project.

2. Music Building & Gabel Hall Roof Repairs

Total Project Budget: \$3,360,400

Funding Sources: Capital Renewal State Appropriation

Authorization Date: Pending

Expected Date of Completion: 18-24 months after release of funding

Project Milestone: Awaiting release of state funding

Status: This project is the first priority of deferred maintenance projects in line for the University's allocated capital renewal state funding from the annual IBHE submission. It is anticipated that funding may be released in spring of 2022.

3. Anderson Hall Structural Repairs & Still Hall/Still Gym Masonry Repairs

Total Project Budget: \$6,164,600

Funding Sources: Capital Renewal State Appropriation

Authorization Date: Pending

Expected Date of Completion: 18-24 months after release of funding

Project Milestone: Awaiting release of state funding

Status: This project is the second priority of deferred maintenance projects in line for the University's allocated capital renewal state funding from the annual IBHE submission. It is anticipated that funding may be released in spring of 2022.

II. Projects in the Design Phase

1. Art Building Structural Repairs & Exterior Building Improvements

Total Project Budget: \$3,753,600

Funding Sources: FY21 Capital Renewal State Appropriation

Authorization Date: April 2021

Expected Date of Completion: Construction work anticipated to begin early 2023 with an approximate duration of 6-12 months.

Project Milestone: Construction bid documents to be released by September 2022

Status: The design contract was completed in October and efforts are in progress.

2. Swen Parson Roof Replacement & Masonry Repairs

Total Project Budget: \$5,138,200

Funding Sources: FY21 Capital Renewal State Appropriation

Authorization Date: April 2021

Expected Date of Completion: Construction work anticipated to begin in early 2023 with an approximate duration of 6-12 months.

Project Milestone: Design phase to begin in January

Status: The design contract was completed in December and efforts are in progress.

3. New Construction Health Informatics & Technology Center Building

Total Project Budget: \$77,000,000

Funding Sources: FY20 State Appropriation

Authorization Date: January 2020 (for \$7.7M)

Expected Date of Completion: 3-5 years following beginning of Design Phase

Project Milestone: Design phase anticipated to begin early 2022

Status: In spring/summer of 2020 an internal planning committee worked together to provide recommendations for a theme and key building features to enhance academic operations. Project programming and schematic design efforts are anticipated to begin in early 2022.

III. Projects in the Construction Phase

1. Stevens Building Addition & Renovation

Total Project Budget: \$37,300,135

Funding Source: FY10 - CDB Amended FY17 & FY17 - NIU Appropriated Funds

Authorization Date: March 2017

Expected Completion: Summer 2022

Project milestone: Awaiting schedule confirmation for art installation

Status: Construction work was completed and building reoccupied in May 2018. Building HVAC refinements were completed in 2020. The remaining open item is a State of Illinois commissioned artwork required by state law. CDB is in the process of finalizing schedule for this installation with the artist and hoped to be completed sometime in 2022.

2. Music Building Partial Roof Replacement

Total Project Budget: \$500,000

Funding Sources: FY22 Capital Renewal State Appropriation

Authorization Date: May 2021

Expected Date of Completion: November 2021

Project Milestones: Final billing in process

Status: Repairs completed. Working reimbursement of NIU funds expended from state capital renewal authorization.

3. DeKalb Campus Repair Steam Tunnel Distribution

Total Project Budget: \$5,024,000

Funding Sources: FY19 State Appropriated

Authorization Date: September 2018

Expected Date of Completion: December 2022

Project Milestone: Award of construction contract anticipated early 2022

Status: Project is currently out for bidding with bids due in December 2021.

4. DeKalb Campus West Heating Plant & Boiler Replacement Phase I

Total Project Budget: \$18,400,000

Funding Sources: Institutional – Auxiliary Reserves & FY19 State Appropriated
Authorization Date: August 2017/CDB Board October 2021
Expected Date of Completion: Summer/Fall 2023
Project Milestones: Design-Build contract award anticipated by January 2022
Status: Design-Build contract awarded. Detailed design and construction activities to follow in 2022 through spring/summer 2023.

B. NORTHERN ILLINOIS UNIVERSITY PROJECTS

I. Projects in the Planning Phase

1. West Campus 2021/2022 Winter Repairs: Chillers

Total Project Budget: \$228,100

Funding Source: Institutional Auxiliary Reserve Funds & Appropriated Income Funds

Authorization Date: December 2021

Expected Date of Completion: Prior to Summer 2022

Project Milestones: Prepare for approval before end of calendar year

Status: Repair work to begin January 2022.

2. East Campus 2021/2022 Winter Repairs: Chillers

Total Project Budget: \$243,800

Funding Source: Institutional Auxiliary Reserve Funds & Appropriated Income Funds

Authorization Date: December 2021

Expected Date of Completion: Prior to Summer 2022

Project Milestones: Prepare for approval before end of calendar year

Status: Repair work to begin January 2022.

3. Standalone Boilers Assessment & Design

Total Project Budget: \$195,200

Funding Source: Institutional Auxiliary Reserve Funds & Appropriated Income Funds

Authorization Date: December 2021

Expected Date of Completion: Early summer 2022

Project Milestones: Approvals in process

Status: Procurement award efforts to begin January 2022.

4. Neptune North First Floor Human Resources Services relocation

Total Project Budget: \$750,000

Funding Source: Institutional - Local Funds

Authorization Date: Pending BOT approval March 2022

Expected Date of Completion: Fall 2022

Project Milestones: Finalizing scope requirements

Status: Planning complete. Design and construction pending BOT approval.

5. DeKalb Campus FY22 Roofing Repairs

Total Project Budget: \$1,200,000

Funding Source: Institutional - Local Funds

Authorization Date: Pending BOT approval March 2022

Expected Date of Completion: Summer 2022

Project Milestones: Project approval

Status: Following roof scanning and assessments completed late summer 2021, select roof repairs were prioritized for FY22 execution (Chick Evans Fieldhouse, Convocation Center, Faraday and Montgomery) and targeted for summer 2022 construction season.

II. Projects in the Design Phase

1. **DeKalb Campus Chilled Water System Assessment**
Total Project Budget: \$169,000
Funding Source: Institutional - Local Funds
Authorization Date: December 2021
Expected Date of Completion: June 2022
Project Milestones: Anticipate work to begin in January following contract execution
Status: Contract execution in process.
2. **Recreation Center Changing Room remodel – PROJECT PAUSED**
Total Project Budget: \$1,100,000
Funding Source: Institutional - Auxiliary Reserves
Authorization Date: September 2019
Expected Date of Completion: Currently on hold. Potential FY23 execution
Project Milestones: Scope review in process
Status: Design development was completed March of 2020. Project was put on hold at the beginning of the pandemic. Reduced scope options are currently being evaluated.
3. **Stevenson & Grant Residence Halls Building Envelope Repairs**
Total Project Budget: \$525,000
Funding Source: Institutional - Auxiliary Reserves
Authorization Date: December 2016
Expected Date of Completion: FY23/FY24 as funding allows
Project Milestones: Scope development in process
Status: Previously limited progress due to pandemic budget uncertainties. Design firm is currently finalizing an assessment to determine scope of work and associated cost estimate. Following assessment, scope will be finalized and prepared for construction bidding.
4. **Holmes Student Center Hotel Tower Building Envelope Repairs**
Total Project Budget: \$250,000
Funding Source: Institutional - Auxiliary Reserves
Authorization Date: December 2016
Expected Date of Completion: Potentially FY23/FY24 as funding allows
Project Milestones: Scope development in process
Status: Previously limited progress due to pandemic budget uncertainties. Design firm is currently finalizing an assessment to determine scope of work and associated cost estimate. Following assessment, scope will be finalized and prepared for construction bidding.

III. Projects in the Construction Phase

1. **Founders Memorial Library Chilled Water Coil Replacements**
Total Project Budget: \$128,500
Funding Source: Appropriated/Income Funds
Authorization Date: December 2021
Expected Date of Completion: May 2022
Project Milestones: Work to begin follow material deliveries
Status: Material orders being placed. Work to beginning in early 2022.
2. **DeKalb Campus Building Access Control Upgrades**
Total Project Budget: \$1,400,000
Funding Source: Grant Funding
Authorization Date: September 2021
Expected Date of Completion: June 2021

Project Milestones: Material receipt due to supply chain delays
Status: Originally planned for completion prior to the beginning of the spring semester. Installation is delayed due to slow supply channels. Projecting material receipt by March.

3. Huskie Stadium Summer 2022 West Stands Exterior Maintenance Repairs

Total Project Budget: \$240,000
Funding Source: Institutional - Auxiliary Reserves
Authorization Date: October 2021
Expected Date of Completion: Summer/Fall 2022
Project Milestones: Contract bidding
Status: Contract bidding to occur this spring with construction planned over summer.

4. Dorland Building Freezer Condenser/Evaporator Replacement Phase II

Total Project Budget: \$164,500
Funding Sources: Institutional - Auxiliary Reserve Funds
Authorization Date: August 2021
Expected Date of Completion: Spring 2022
Project Milestones: Material receipt
Status: Work to be completed following delivery of equipment, with intent to complete by spring of 2022.

5. New Hall Complex FY22 Rotation Painting

Total Project Budget: \$169,000
Funding Sources: Institutional – Auxiliary Operations Funds
Authorization Date: August 2021
Expected Date of Completion: June 2022
Project Milestones: Incremental painting task through FY22
Status: Work progressing as coordinated with Housing operations staff.

6. Naperville 2021 Parking Lot Repairs

Total Project Budget: \$105,000
Funding Sources: Institutional – Local Funds
Authorization Date: August 2021
Expected Date of Completion: August 2021
Project Milestones: Final payments completed
Status: Work has been completed and final contractor payments have been made. This is the first and final report on this project.

7. Convocation Center Arena & Auxiliary Gym LED Lighting Upgrades

Total Project Budget: \$316,000
Funding Sources: Institutional Auxiliary Reserves
Authorization Date: June 2021
Expected Date of Completion: Spring 2022
Project Milestones: Materials receipt and coordination around events
Status: Execution schedule adjusting due to material delays and need to work around planned events.

8. Stevenson Complex Domestic Cold Water Booster Pumps Replacement

Total Project Budget: \$124,000
Funding Sources: Institutional - Auxiliary Reserve Funds
Authorization Date: April 2021
Expected Date of Completion: Spring 2022

Project Milestones: Final payment
Status: Work is in progress and is approximately 95% complete.

9. Parking Garage FY21 Repairs & Preventative Maintenance

Total Project Budget: \$106,000
Funding Sources: Institutional – Local Funds
Authorization Date: April 2021
Expected Date of Completion: August 2021
Project Milestones: Final payment processing
Status: Work is complete and final payments are in process. This will be the last report for this project.

10. DeKalb Campus FY21 Parking Lot Repairs

Total Project Budget: \$240,000
Funding Sources: Institutional Local Funds
Authorization Date: April 2021
Expected Date of Completion: August 2021
Project Milestones: None
Status: Repairs were performed over the summer on Lot E Anderson Hall, Lot S38 Gabel/Campus Childcare, Lot 44 Barsema Hall, Lot 15E Campus Recreation and Lot P Stevenson. This will be the final report on this project.

11. Art Building South Entrance Exterior Stair Repairs

Total Project Budget: \$100,000
Funding Sources: Appropriated Income Funds
Authorization Date: April 2021
Expected Date of Completion: September 2021
Project Milestones: None
Status: Completed. This will be the final report for this project.

12. Monat Building Roof Repairs

Total Project Budget: \$246,800
Funding Source: Appropriated/Income Funds
Authorization: March 2021
Expected Date of Completion: May 2022
Project Milestones: Spring installation of AC unit
Status: Roof restoration work was completed in October and November, with final payments in process. Remaining work for replacement AC unit on roof must wait for warmer weather.

13. DeKalb Campus FY21 Roof Repairs

Total Project Budget: \$168,000
Funding Source: Institutional Auxiliary Reserve Funds & Appropriated Income Funds
Authorization: March 2021
Expected Date of Completion: Summer 2021
Project Milestones: None
Status: Work was completed on the roofs of Chick Evans Fieldhouse and Telephone/Security Building. This will be the final report for this project.

14. Parking Garage Passenger Elevator Controls Upgrade

Total Project Budget: \$230,000
Funding Source: Bond Series 2010 Project

Authorization: March 2020

Expected Date of Completion: April 2022

Project Milestones: Repair completion

Status: Delays in equipment deliveries have delayed progress and original schedule. All materials now on hand. Work is 50% complete.

15. West Campus 2021 Steam Outage Repairs

Total Project Budget: \$173,300

Funding Source: Institutional Auxiliary Reserve Funds & Appropriated Income Funds

Authorization: March 2021

Expected Date of Completion: June 2021

Project Milestones: None

Status: Work was scheduled around the planned steam shutdown in June and has been completed. This is the final report on this project.

16. East Campus 2021 Steam Outage Repairs

Total Project Budget: \$153,550

Funding Source: Institutional Auxiliary Reserve Funds & Appropriated Income Funds

Authorization: March 2021

Expected Date of Completion: May 2021

Project Milestones: None

Status: Work was scheduled around the planned steam shutdown in May and has been completed. This is the final report on this project.

17. DeKalb Campus Central Campus Roadway Improvements

Total Project Budget: \$357,600

Funding Source: Institutional Local Funds & Federal Grant Funds

Authorization: January 2021

Expected Date of Completion: Fall 2022

Project Milestones: IDOT contract signed December 2021

Status: The project was initially bid in the July/August and rebid in November due to high prices. Construction work is planned for summer of 2022.

18. DeKalb Campus Fire Escape Repairs

Total Project Budget: \$129,050

Funding Source: Institutional Auxiliary Reserve Funds & Appropriated Income Funds

Authorization: January 2021

Expected Date of Completion: May 2022

Project Milestones: Completion

Status: Work is 75% complete.

19. General Revenue Buildings COVID-19 HVAC Updates & Repairs

Total Project Budget: \$238,150

Funding Source: Appropriated Income Funds

Authorization: January 2021

Expected Date of Completion: June 2022

Project Milestones: Material receipt

Status: Work is 75% complete and slowed by material availability and staffing limits.

20. Wirtz Hall Roof Drain & Insulation Replacement

Total Project Budget: \$194,350

Funding Source: Appropriated Income Funds

Authorization: November 2020

Expected Date of Completion: August 2021

Project Milestones: None

Status: Work was started late fall 2020, but was halted due to cold weather, restarted in late spring and was completed over the summer. This is the last report on this project.

21. West Plant 2020/2021 Winter Repairs: Chillers

Total Project Budget: \$184,350

Funding Source: Institutional Auxiliary Reserve Funds & Appropriated Income Funds

Authorization: November 2020

Expected Date of Completion: March 2021

Project Milestones: None

Status: Work is complete. This is the last report on this project.

22. West Plant 2020/2021 Winter Repairs: Boilers

Total Project Budget: \$165,050

Funding Source: Institutional Auxiliary Reserve Funds & Appropriated Income Funds

Authorization: November 2020

Expected Date of Completion: April 2021

Project Milestones: None

Status: Work is complete. This is the last report on this project.

23. Chilled Water Plant 2020/2021 Winter Repairs

Total Project Budget: \$132,050

Funding Source: Institutional Auxiliary Reserve Funds & Appropriated Income Funds

Authorization: November 2020

Expected Date of Completion: March 2021

Project Milestones: None

Status: Work is complete. This is the last report on this project.

24. Stevenson Towers A, B, C & D Roof Replacement

Total Project Budget: \$1,400,000

Funding Source: Institutional Auxiliary Reserve Funds

Authorization Dates: March 2020

Expected Date of Completion: September 2022

Project Milestones: 50% of construction completed

Status: Previously placed on hold due to pandemic budget uncertainties, construction work began over the summer of 2021 and two towers have been completed. The remaining two towers are planned to be completed spring/summer of 2022.

25. Holmes Student Center Tower Elevator Controls Upgrade

Total Project Budget: \$1,500,000

Funding Source: Institutional Auxiliary Reserve Funds

Authorization Date: December 2019

Expected Date of Completion: August 2022

Project Milestones: Material receipt

Status: Project originally put on hold due to pandemic budget uncertainties. Work is resuming and awaiting material delivery confirmation prior to scheduling elevator outages.

DEKALB CAMPUS - SOCCER AND BASEBALL FIELDS ARTIFICIAL TURF INSTALLATION

Summary: Replacement of the existing artificial turf at the NIU Soccer Field and installation of new artificial turf at Ralph McKinzie (baseball) Field are considered necessary improvements for the fields to remain appropriate and competitive for collegiate level sports. The current soccer field turf is past useful-life, and the benefits of artificial turf are needed to improve the playability of the baseball field. Due to industry lead-time requirements, project approval is necessary by March to facilitate summer work prior to the fall soccer season. Work on the baseball field will be completed this fall prior to the spring playing season. This project will have an estimated cost of \$1,260,000.

Background: Facilities that support the health and safety of student athletes is a critical priority for Intercollegiate Athletics. The current soccer turf is nearly 14 years old which is double the expected life span for artificial turf athletic fields. The field has been properly maintained, but it is no longer a viable option for use by the university's NCAA Division 1 soccer programs for practices, games, and youth camps. Ensuring a quality and safe playing surface is critical to the future success of Men's and Women's soccer programs, including the recruitment and retention of players and coaches.

Replacing the baseball infield is the first segment of a longer-term improvement plan for the Walt and Janice Owens Ballpark and will be supported in part by donations along with other institutional funding. Exchanging the natural grass and dirt surface for artificial turf will increase the number of useable practice and game days on the field which will minimize interruptions due to inclement weather. It will also reduce the per game and annual maintenance costs associated with maintaining a natural grass playing surface and allow for a more consistent and safer playing surface throughout the season.

Both soccer and baseball facilities are resources used to enhance community relations through annual summer youth camps and venue rentals, which welcome roughly 1,000 children and families to the DeKalb community each year. These programs introduce potential future students to both NIU and the surrounding area. NIU Intercollegiate Athletics was able to secure a long-term rental agreement to host summer youth camps and tournaments on campus over the next seven years. Camp and tournament organizers strongly consider how artificial turf fields help support consistent playing schedules and this will help ensure steady revenue opportunities in off-seasons.

Funding: Institutional and Foundation Funds - \$1,260,000

Recommendation: The University recommends the Board of Trustees approval for the DeKalb Campus – Soccer and Baseball Fields Artificial Turf Installation.

APPOINTMENT OF INTERIM TO VICE PRESIDENT FOR ADMINISTRATION AND FINANCE/CHIEF FINANCIAL OFFICER POSITION

Summary: NIU seeks to utilize an external search to pursue an interim appointment to this position.

Background: The current Vice President for Administration and Finance and Chief Financial Officer (VPAF/CFO) will be transitioning from the University on April 20, 2022. The departure leaves a significant gap that requires urgent action due to the timing during the Fiscal Year. The last quarter of the Fiscal Year is critical in terms of budget planning and end of year transactions/reconciliation. Further, the staff in the Division of Administration and Finance do not have the capacity to absorb the significant workload and oversight that the VPAF/CFO manages on a day-to-day basis.

In order to secure an expert with the appropriate background, education and skillset to oversee the Division of Administration and Finance while a permanent search is being conducted, the university is requesting approval to appoint an Interim VPAF/CFO. The Office of the President intends to utilize Collegiate Enterprise Solutions, LLC dba Registry for College and University Presidents (“The Registry”) to seek an interim VPAF/CFO. The Registry is a membership organization that places veteran executives into interim senior leadership positions in higher education. The Registry is an approved vendor for interim executive placement services through the Illinois Public Higher Education Cooperative (IPHEC) which NIU is a member institution.

Recommendation: The University recommends that the Board of Trustees approve the request to seek an Interim VPAF/CFO through Collegiate Enterprise Solutions, LLC dba Registry for College and University Presidents. Such approval would include delegated authority to the President to select and appoint an appropriate recommended candidate from The Registry as an independent contractor to the position of Interim VPAF/CFO.

**USE OF EXECUTIVE SEARCH FIRM FOR VICE PRESIDENT FOR
ADMINISTRATION AND FINANCE/CHIEF FINANCIAL OFFICER
POSITION**

Summary: At the December 10, 2020 Board meeting, the Board authorized the President to select executive search firms for the search for the Appointment of Specific Dean and Executive Level Positions. As has been the practice, the President will periodically report back to the Board regarding selection and status of such searches. Further, provisions of Public Act 97-0814 and the Northern Illinois University policy on the use of external search firms approved by the Board of Trustees on May 23, 2013, allow the use of an external search firm for senior executive level positions when needed.

In Fiscal Year 2022, the University will begin a search for the position of Vice President for Administration and Finance and Chief Financial Officer (VPAF/CFO). This senior leadership position is critical to the success of the university and the expertise of a search firm will be utilized to ensure the most qualified and diverse pool.

Pursuant to the provisions of Public Act 97-0814 and due to the approval received on December 10, 2020 by the Board of Trustees, the University is informing the Board of the intent to utilize a search firm from the Illinois Procurement Bulletin – Public Institutions of Higher Education list to perform the search for the VPAF/CFO.

**NORTHERN ILLINOIS UNIVERSITY POLICY
ON THE USE OF EXTERNAL HIRING SEARCH FIRMS**

Pursuant to the Northern Illinois University Law, as amended by Illinois Public Act 97-814, it is the policy of Northern Illinois University that charges for the services of an external hiring search firm may not be paid from any source of university funds, except:

- (1) In the hiring of the President of the University, or
- (2) In the case of when the Board of Trustees and the President demonstrate a justifiable need for guidance from an individual or firm with specific expertise in the field of hiring.

In furtherance of this authority, the President may implement this policy through the development of further guidelines and procedures for determining when the use of an external hiring search firm is justified. The Board and/or the President may delegate their respective responsibilities under this policy to appropriate university officials as deemed necessary.

Any NIU hiring department or entity that wishes to utilize the services of an external hiring search firm must make a request to the Office of the President for appropriate review under this policy before engaging the services of such search firm. In determining whether a request qualifies for the justified use of an external hiring search firm, the President will consider the following applicable criteria:

- The nature and scope of the position being filled (e.g., senior level versus non-senior level);
- The nature and scope of the search (e.g., nationwide versus local or regional);
- The university's goals and priorities surrounding the search;
- The availability of personnel, monetary resources and other university resources to dedicate to the search;
- An external search firm's ability to help recruit and screen candidates;
- An external search firm's ability to enhance the quality of a candidate pool;
- An external search firm's ability to help conduct and coordinate the logistics of a search;
- The expertise/specialization of an external search firm in conducting searches
- An external search firm's ability to bring fresh and new perspectives from outside of the university.

Northern Illinois University understands that the determination of whether a search is justified in using the services of an external hiring search firm depends upon the unique circumstances of the search being conducted. The President is not prohibited from considering other factors that may be deemed relevant and important for a search.

Upon completing a review, the President will determine whether justifiable need exists for the selection of an external hiring search firm and shall make a recommendation to the Board of Trustees for final action on the matter at any regular or special meeting of the full Board of Trustees. In its consideration of the matter, the Board will consider the above-referenced applicable criteria, as well as any other factors that may be deemed relevant and important. The Board's decision will be final.

STRATEGIC ENROLLMENT MANAGEMENT PLAN

Executive Vice President and Provost Beth Ingram and Vice President for Enrollment Management, Marketing and Communications Sol Jensen will provide a presentation on NIU's Strategic Enrollment Management plan

Agenda Item 9.c.5.
March 24, 2022

Information

COLLEGE OF EDUCATION OVERVIEW

Dean Laurie Elish-Piper will present an overview of the College of Education.

PROGRESS UPDATE – AY2021-22 UNIVERSITY GOALS

The NIU Board of Trustees approved the University Goals for AY2021-22 (FY22) on November 18, 2021. By design, these goals are organized according to the six themes presented in our Strategic Action Planning Framework, future-focused with both annual and multi-year objectives, and reflective of our need to position NIU for long-term success while continuing to address existing and emerging challenges. Shared leadership is an essential element of the university's goals, and cross-functional teams are being empowered to address complex institutional issues and advance initiatives that align with NIU strategic priorities.

Dr. Vernese Edghill-Walden will report to the Board of Trustees about the extensive collaboration and team-based work being done to support the university's goal's related to diversity, equity, inclusion and belonging. Specifically, she will present the coherent, overarching leadership framework being implemented to support NIU efforts to make meaningful and lasting changes in university policies, practices and structures that promote and sustain inequity. In addition, Dr. Edghill-Walden will highlight NIU's partnership with Safe Passage as an example how the university is elevating community collaboration and engagement to serve our students, region and state.

The presentation will provide a progress update relevant to university goals 1A, 4A and 5C.

**PROPOSED AMENDMENTS TO ACADEMIC YEAR 2021-22 (FISCAL
YEAR 2022) UNIVERSITY GOALS**

Background Information: On November 18, 2021 the Board of Trustees approved the Academic Year 2021-22 (Fiscal Year 2022) University Goals, and a plan for the Board to evaluate the President's performance against these goals. The enrollment target provided at that time was identified as preliminary and the university committed to providing the Board with an updated enrollment goal at the March meeting when Strategic Enrollment Management Plan 2.0 was presented. That revision is as follows:

Enrollment target for AY2022-23:

- Fails to meet expectations/fails to show progress < 15,600
- Meets expectations/shows progress 15,600 – 16,100
- Exceeds expectations > 16,100

Recommendation: The University requests Board of Trustees approval of the Proposed Amendment to the Academic Year 2021-22 (Fiscal Year 2022) University Goals.

PRESIDENT LISA C. FREEMAN CONTRACT MODIFICATIONS TO UNIVERSITY GOALS, PRESIDENTIAL EVALUATION TIMELINE AND TRANSITION PROVISION

Summary: The Board has completed four evaluations of the President, the last being in December 2021. The Board's evaluations of Dr. Freeman are posted for public access on the NIU website and were discussed by the Board during its open session meetings. A critical component in the Board's evaluation of Dr. Freeman is working with her on the yearly development and progress of University goals. The Board and President believe that modifying the dates in Dr. Freeman's current Presidential Employment Agreement regarding when the proposed University goals and objectives are due to the Board and the timing of the Presidential evaluation will better align the University goal-setting process and subsequent Presidential evaluations with the receipt of key University data, metrics, and outcomes that are essential to the aforementioned processes.

At the Meeting of the Board of Trustees to be held on Thursday, March 24, 2022, the Board will vote to modify the dates in Dr. Lisa C. Freeman's Presidential Employment Agreement, so that no later than forty-eight (48) hours before the regularly scheduled November Board of Trustees Meeting, the Board will post Dr. Freeman's Presidential evaluation, and at the November Board Meeting, the Board will vote on any performance incentive award for the President in accordance with the terms of the Presidential Employment Agreement. In addition, the Board shall vote on the University goals for the next fiscal year no later than the regularly scheduled December Board of Trustees Meeting. Other dates related to the Goal-Setting Process and Presidential Evaluation will be agreed upon by the Board and President to meet the aforementioned timelines.

At the Meeting of the Board of Trustees to be held on Thursday, March 24, 2022, the Board will also vote to modify the terms of the current Presidential Employment Agreement to conform the agreement to State law (5 ILCS 415/10), which requires the President's return to faculty provision to include language that compensation shall not exceed the annual compensation of the highest paid employee in the department, and that the annual salary shall be commensurate with her assigned duties and rank as determined by the Dean of the college, and with the approval of the interim or acting president and the Board of Trustees.

The Board will take up the matter of Dr. Lisa C. Freeman's contract modification at its Meeting on March 24, 2022. There are no changes to the financial components of the contract, and no other contract modifications are being voted on by the Board of Trustees.

Recommendation: As Chairman, I'm recommending to the NIU Board of Trustees that they approve the modifications in Dr. Lisa C. Freeman's Presidential Employment Agreement, to change the dates that the proposed University goals are due to the Board and the timing of the Presidential evaluation, effective July 1, 2022, and the language regarding the return to faculty provision.