

#### Northern Illinois University

# NIU Intellectual Property Procedures and Portfolio

Asst. Director for Commercialization and Innovation BOT RILLA report – November 15, 2018

## Office of Innovation (OI)



- Receive ~10 disclosures per year
  - Two rubrics used in evaluation for patenting
    - Research relevance and inventor engagement
    - Market analysis
      - Use third-party market assessment reports

#### Disclosure Advancement Criteria



- Generally, the OI advances a disclosure for patenting if:
  - The proof-of-concept data is sufficient for patent attorney to draft claims to capture the essence of the invention
  - 2. The invention has not been publicly revealed (or has been revealed within the last 12 months in the US)
  - 3. The invention is within the scope of the inventor's university responsibility and advances NIU's research mission

### Total Disclosures FY08-FY18



Disclosures Received → 96

Invention Disclosures by College and Department										
Busines	Education		Engineering		Health &		Liberal Arts		Other	
S	(4)		& Eng. Tech.		Human Sci.		& Sciences		(4.0)	
(1)	(1)		(31)		(2)		(51)	)	(10)	
	CEED	1		16	ALICD	1	DIOC	1	Ctudente	10
MGMT 1	SEED	1	ELE	16	AHCD	1	BIOS	4	Students	10
			MECH	12	Health Sci.	1	CHEM	33		
			TECH	3			COMS	1		
							CSCI	2		
							MATH	3		
							PHYS	6		
							PSYC	1		
						_	STAT	1		

# Disclosures Advanced for Patenting FY08-FY18



Disclosures Advanced for Patenting → 44

Decision to move forward for patent protection by College and Department								
Education	Engineering & Eng. Tech.		Liberal Arts & Sciences		Other			
(1)	(9)		(31)		(3)			
SEED 1	ELE	7	BIOS	1	Students	3		
	MECH	2	CHEM	23				
			CSCI	2				
			MATH	1				
			PHYS	4				

#### Patents issued FY08-FY18



Patents issued → 45

Patents by College and Department (\*additional patent applications are still pending issuance)

Engineering 8		Liberal Arts (29		Other (3)		
ELE	12	BIOS	2	Students	3	
MECH	1	CHEM	17			
		CSCI	3			
		MATH	2			
		PHYS	5			

#### Licenses



- For NIU, protecting IP is an avenue to protect faculty research and advancement of knowledge for public good. Licensing revenue streams are an incentive for the inventor(s) should a licensee and revenue stream be secured.
- NIU currently has two active licenses and one pending. All three are to startups (two NIU and one in Texas).
  - AUTM, 2017 70% of licenses are to startups and small companies

### Success story

- NIU and Invictus Medical, Inc.
  - Invictus licensed patents related to Wireless Communication Integrated Active Noise Reduction System
    - Reduces harmful noises in NICU incubator
    - Allows two-way communication between infant and caregiver
  - 2015 NSF Phase I STTR
  - Recently raised \$1.26M
    - IM is currently optimizing system for use in hospitals



Improving the lives of newborns



#### Questions



# QUESTIONS?