



Meeting
of the
Board of Trustees

June 14, 2018

A G E N D A

**BOARD OF TRUSTEES
of
NORTHERN ILLINOIS UNIVERSITY
9:00 a.m. – Thursday – June 14, 2018
Board of Trustees Room
315 Altgeld Hall**



1. Call to Order and Roll Call
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 - b. Finance, Audit, Compliance, Facilities, and Operations Committee (FACFO)
 - c. Illinois Board of Higher Education
 - d. Northern Illinois University Foundation
 - e. Northern Illinois University Alumni Association
 - f. Northern Illinois Research Foundation
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- 11. Next Meeting Date
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- 13. Adjournment

*Individuals wishing to make an appearance before the Board should consult the [Bylaws of the Board of Trustees of Northern Illinois University, Article II, Section 4 – Appearances before the Board](#). Appearance request forms will be available in the Board Room the day of the meeting. For more information contact Liz Wright, Acting Recording Secretary to the Board of Trustees, Altgeld Hall 300, DeKalb, IL 60115, at ewright1@niu.edu 815-753-9501.

Anyone needing special accommodations to participate in the NIU Board of Trustees meetings should contact Liz Wright, 753-9501, as soon as possible.

Meeting of the
BOARD OF TRUSTEES
OF NORTHERN ILLINOIS UNIVERSITY
March 8, 2018

1. CALL TO ORDER AND ROLL CALL

The meeting was called to order at 9:02 a.m. by Board Chair Wheeler Coleman in the Board of Trustees Room, 315 Altgeld Hall. Recording Secretary Kathy Carey conducted a roll call. Members present were Trustees Dennis Barsema, Robert Boey, John Butler, Giuseppe LaGioia, Tim Struthers, and Board Chair Wheeler Coleman. Members absent were Veronica Herrero and Eric Wasowicz. Also present were, Acting President Lisa Freeman, Board Liaison Matt Streb, Acting General Counsel Greg Brady, Acting Executive Vice President and Provost Chris McCord, Vice President for Administration and Finance Sarah McGill, Vice President, Anne Kaplan, and University Advisory Council (UAC) Representatives Barbara Andree, Catherine Doederlein, Alex Gelman, and Linda Saborio.

2. VERIFICATION OF QUORUM AND APPROPRIATE NOTIFICATION OF PUBLIC MEETING

Acting General Counsel Brady indicated the appropriate notification of the meeting was provided pursuant to the Illinois Open Meetings Act. Mr. Brady also advised that a quorum was present.

3. MEETING AGENDA APPROVAL

Chair Coleman asked for a motion to approve the meeting agenda and indicate there would be a motion later for a consent agenda of agenda items 8.b.1 through 8.b.12, and 8.c.3. Trustee Struthers moved and Trustee LaGioia seconded. The motion passed.

4. REVIEW AND APPROVAL OF MINUTES OF OCTOBER 19, 2017 AND NOVEMBER 16, 2017

Chair Coleman asked for a motion to approve the minutes from the meeting of October 19, 2017. Trustee LaGioia moved and Trustee Boey seconded the motion. The motion passed.

Chair Coleman asked for a motion to approve the minutes from the meeting of November 16, 2017. Trustee Butler moved and Trustee Struthers seconded the motion. The motion passed.

5. CHAIR'S COMMENTS/ANNOUNCEMENTS

Chair Coleman began by inviting attending members of the University Advisory Committee who wished to speak at the meeting.

Cathy Doederlein began, good morning and thank you. This is Cathy Doederlein, President of Supportive and Professional Staff Council. I apologize as I'll have to step out in a little bit because we have our monthly meeting for SPS Council the second Thursday of every month. So I apologize for that. But I did just want to share kind of the topic of mind item for SPS at this time which primarily relates to the state university civil services systems merit board procedure update which impacts how supportive professional staff that are in roles that are flagged as civil service or operating staff roles are shifted into those positions. The university, the cabinet and HR have been very open to the question and concerns from Supportive Professional Staff about those matters. And essentially just kind of curiosity about how that potential shift for individuals, not the entire classification, but for individuals into operating staff roles, kind of what the impact has. So we appreciate that ongoing conversation. We know that it is a state level decision, but there's definitely some concerns that we have as SPS and look forward to the opportunity to continue to dialog about those. But just thank the university for continuing to hear our concerns in that matter.

Barbara Andre commented, good morning. My name is Barbara Andre and I'm the president of the Operating Staff Council. I thought I'd stand up so I can see the array here. I do have a statement for the Board of Trustees. Since we all see now that outsourcing is coming to NIU it is important to remember the people, generally civil service employees, who are being most affected. Just using the work affected is not descriptive enough to what is happening to loyal, skilled, and long term employees by eliminating their jobs. Often there are very limited options they have to choose from; testing for a new classification, bumping another employee, which creates another level of trauma. Being laid off because there seems to be no other option or choosing to retire if that is even possible. Imagine being a long time employee given only one a month or two months to make this transition when there is no other choice for them. The university has made a decision and the people have to deal with it. This is just another phase in what's been happening for a long time across campus in the civil service section, which I can address directly. Faculty and SPS have their own stories to tell. Attrition has been used to downsize office, building, and food service staff which then puts a larger burden on whoever is left to do the work. If supervisors don't handle this stressful time well, those who remain become frustrated, overworked and feel unappreciated. For example, if employees are not given any or very limited release time to become involved in shared governance committees this lead to the stifling of voice of civil service on campus. The excuse is operational needs, when in fact it is often a lack of management skill. This leads the employee to look elsewhere either on campus or off campus taking their valuable institutional knowledge with them. It's a spiral downward that needs to stop. I encourage Human Resources Services to be proactive with employees who are being ousted by outsourcing. Give every employee all the information they need to make informed choices, not just tell them to check a website. Do not just send e-mails because most of the building and food service workers have little or no access to NIU e-mail or computer terminals on a daily basis. People hear messages in different ways. Give them handouts to read or talk to them one-on-one or in a small group. We are very grateful for the expertise and service that the ombudsperson and the operating staff personnel advisor have demonstrated in getting answers and actions done for employees with questions. NIUs reputation and mission could be greatly harmed if these supportive, skilled workers are swept under the rug. We are not the bottom of the pyramid. We are the foundation and dynamic underpinning of how this university gets things done for students, faculty and administration. Please show us the respect that we have always earned and deserved. Thank you for your time and thoughtful consideration.

Linda Saborio commented, as Faculty Senate President, I just wanted to let you know that the Faculty Salary Task Force met late yesterday afternoon and the co-chairs of the salary study received feedback from the task force members and the final report will be available for all to view. We have a webpage dedicated just to this report and that should be available sometime next week. Thank you.

Alex Gelman added, aside from recommending that we adopt yea as a proper substitute for aye, in that spirit I'd like to share with you that this is the fifteenth year of a successful effort on the part of the Study Abroad office and the School of Theater and Dance. Ten junior theater majors from the School of Theater and Dance are currently in Moscow studying at the famed Moscow Art Theater School. Art has always been a stronger predictor of the future than politicians have and art and education diplomacy has often outpaced the efforts of professional diplomats. I remind you of the ping pong event in China that, for those of us who are old enough to remember, it's significant that NIU is at the heart of this effort in Moscow. Our students are benefiting from this greatly, but I don't think it's too much of a stretch to suggest that the effects far reach much farther than the individual success of the students.

Chair Coleman responded, I personally would like to say Cathy, Barbara, Linda and Alex every meeting we look forward to your thoughtful comments. We appreciate it. As a board your comments typically provide us additional insight on the pulse of what's going to on at the university. So we appreciate your comments, thank you.

6. PUBLIC COMMENT*

Acting General Counsel Brady indicated that there were 16 requests for public comment.

Chair Coleman responded, I'd like to do is remind each speaker today that you will be allowed up to five minutes to address this body. With sixteen requests, when you hit the five-minute mark, I will indicate such and then I would request that you please wrap up your remarks.

Acting General Counsel Brady began, the first requestor is Mayor Jerry Smith.

Jerry Smith began, good morning. Jerry Smith, DeKalb, NIU Class of '66. I'm here this morning to thank your most senior member of the board, Bob Boey. As a member of our community for over fifty years, I've seen Bob in a variety of capacities. First getting to know him as we served together on the DeKalb County Economic Development Corporation where we both served as president. We've gotten to know Bob and his wife Doris over the years and while wife Ging and Doris have often been confused for one another, I can safely say that Bob and I never been accused of having, I've never been accused of having physical similarities. Now as the mayor of the city of DeKalb, I certainly have a keener appreciation of those who step up and serve voluntarily on boards and commissions. As a matter of fact, Bob still has a seat on DeKalb's Community Enhancement Commission and we thank him for that. As a proud NIU grad, I know that Bob considers himself a fellow Huskie and will continue to support this city and this campus. On behalf of our family, on behalf of the city of DeKalb, and on behalf of thousands who have benefitted from Bob Boey's long time service to this university, my heartfelt thanks. Thank you Bob.

Trustee Boey responded, thank you Jerry. It's a pleasure and it's a pleasure to see everyone here. I will miss this particular event especially dearly. Anyway thank you all for all your attention and your kind concerns and one last time, have a great day. Thank you.

Acting General Counsel Brady noted, Mr. Chair the second requestor is Kristen Foley.

Kristen Foley asked, I have a request before I begin. There's thirteen of us who are here on the same topic. If you want us to go at the end if there's other people or if we could, we have arranged speaker list of how we would like to go if I could give that, if you would indulge us into that.

Chair Coleman responded, I don't see a problem as long as they're registered.

Kristen Foley began, I'm the mom of a freshman. Hi Jack, he's in the overflow room downstairs. I wanted to say first of all thank you for listening last meeting and especially thank you for your comments. I know that you don't have to comment after you hear people speak and your thoughtful comments really meant a lot to me and the students that were here. I had signed up to speak again today and I really hope that I would be back in the capacity to say thank you for getting the situation resolved. Unfortunately we're not there yet. So I wanted to kind of fill you in on where we are in the process. And I didn't mention last time, my son is an uninitiated member of Phi Kappa Theta. Right now the university is currently working with Phi Kap's to resolve the issue. We had filed an appeal of the disciplinary hearing. There was kind of incentive now following the appeal process, there's kind of been a right turn. There's an ad hoc committee who is working with the alums in the national group right now to come to a resolution. The members of the committee are Dr. McCord, Mike Stang, and Kelly Olson. Ms. Olson was actually the hearing officer for our disciplinary hearing and from our perspective now she was the trial court judge, now she's been bumped up to the appellate court to review the decision. I think that's slightly problematic, but right now we've had meetings with the university, we've had phone conversations with the university. The university is offering three years social probation which means the men can't have any social events, can't attend or host a social event for three years with or without alcohol and that also includes social events with their alumni. So they can't have any Founder's Day social events and they can't have any homecoming social events with their alumni. The other thing that the university is suggesting is that this house go completely dry for three years. No alcohol in the house by any member even if you are 21. No one is allowed to bring alcohol in the house even if you are an alumni if you are older than 21. So from my son's perspective as a freshmen, he now would not be able to attend a social event until his second semester of senior year. He wants to

very much live in the fraternity house because being part of a social fraternity was part of the collegiate experience that he really wanted and why he came to NIU. I have raised my concerns about living in a group environment where you are subject to having your living space searched by the government and having them pilfer through worst case scenario, pilfer through your sock drawer looking for alcohol. I think that's an extreme requirement. The other issues that are left to be determined and to be worked out between the fraternity and the university are many and one of the main problems is that that requires the students to sit down and actually you know trust that the university's willing to work with them. Some of the issues that have come about recently that really arose the trust is the fact that every Greek member is on a secret watch list and student newspaper actually in their Best of DeKalb issue has stated that the best kept secret in DeKalb is this watch list. There is the change in appeal process which kind of erodes the trust that the students, that the university is committed to following through with what they say they're going to do and finally last night and I'm not sure why it happened, but an e-mail from the school was sent to Phi Kap national advising them that members of the chapter were going to be here today to speak to the Board of Trustees. I really don't understand why national had to be advised that members were coming here, but again I think things like that kind of erode the trust that the students have with the administration. These students are still considered unrecognized by the school and as such they could not participate in rush and they also can't participate in philanthropies this spring and that was a big issue. They want to participate in sorority philanthropy and they're being told they can't. Right now the university has only dealt with the alumni and national and I was included on one of the conversations and there hasn't been any communications or meetings with the students yet. They know about what's going on through advisors and my meeting with them at chapter, but they have not been looped in part of the process yet. So what I'm really asking for today and you're going to hear, we have a group of speakers, what I'm asking for is a good faith showing from the school that you are willing to work with these students and you are willing to establish a better relationship with the Greek community. You are going to hear a good faith effort presented to you from members of the Greek community. I am asking that as a good faith effort you remove the process of having a watch list. And I know you can't take action on that today because it's not on your agenda, but I would encourage you to at least have a discussion about it and you could even through consensus by show of hands decide that you want to remove that process as a good faith showing to start building better relationships with the Greek community. Thank you very much for your time and consideration.

Michael Shackel commented, I also want to reiterate some of the things that Kristen had to say. Thank you again for your careful consideration of our remarks last time and your remarks as well. I'd also like to thank the members of the administration that are also here and I particularly like to thank the students that showed as well today. I am an '85 grad and a '91 grad of the university; a two-time member of the Alumni Association Board of Directors. I know that's going over some old news from the last time. In fact it was nice to see Mr. Smith here and his wife may remember our service together on that Alumni Association Board of Directors. I am here probably as a direct result of the fact that my students are directly involved that fraternity and sorority that we've been talking about. But I'm going to appeal to you again, as you might recall, I am a school board member, president of a top 35 high school in this state. We're all school board members here. You're school board members. You're just a little bit of a bigger school board than the one I currently serve. We have duties. Our duty is to provide leadership. Our duty is to collaborate with the administration to provide vision to the university. We're here also to represent our constituents and that's what I'm here to talk about today, the constituent group that are the students. As we talked about last time and I'm glad Mr. Mayor that you're here as well because I'd like you to hear this as well. I'm here to talk from about the prospective also as a concerned alumnus of this university. You know there are some issues that the university is facing. Not all the university's fault and certainly not all the city of DeKalb's fault. There is a perception here of the university being an unsafe area. But there's also a perception amongst prospective students here quite frankly that there is not an opportunity for the student engagement piece, meaning that the university is not a place that is open for the other important lessons that students learn outside the classroom. And whether that's correct or not, and I'm not here to be critical of anybody here, it's a reality. Again, last week I spoke to a graduate of this university, a friend of mine at a social event with a student who picked Illinois State University over NIU for many of the same things that

I talked about. It breaks my heart. I have a fellow board member who is a graduate of this university who is actually teaching a class, which he does every year here, has a son that picked another university because of many of these issues. And again I'm not here to berate anybody over those, I'm just here that there is the academic world and there's the real world right? And as a school board, as a board of trustees, we kind of run in those worlds right? And so as we're talking about the student experience here particularly the fraternity and sorority issues, I want just to remind you to be cognizant of that and cognizant of how that perception of what's happening goes out to the public and particularly to the students that we want to recruit to this university. Let's talk a little bit about the students and I'm sure you're going to hear a lot about this. I'm not going to hit this hard. I know you got a lot of people here and I certainly appreciate it from my own experience the public speaking part of this. But what are the students learning through this experience. I would remind you of that. What's the positive in this situation that they're learning? How are they going to feel about this university when they leave here? And what I mean by that, the long term effects of that, what is going to be their contribution back to the university? As I reminded some of the board members last time about their gifts which they're very appreciative and what some of the other universities do, particularly we heard about the financial issues that are facing the university here, these are the people that are going to be the molders of the long term or the supporters of the long term future of this university. We certainly want them engaged. What are the families going to say as well? Again, if they have a bad experience here, and again I want to be perfectly clear, I'm a law and order guy. I really believe there is some responsibility that they need to face because of their actions. I got it thank you. But bottom line, let's think about the long term effects of the university, their experiences and again reiterate my appreciation for the actions that you've taken so far. Again I will conclude my remarks.

Julie McDough commented, I am a Northern graduate, 1988. I have three sons, one has graduated, one will graduate this spring, and a sophomore here at this university. They are all Phi Kappa Theta members and I'm here today, took the day off of work, as a mom, as a concerned mom for what's happening to this fraternity that's been very integral in my son's college experience. Now I send my sons here for their education. My oldest I'm proud to say is a graduate of the business school with an Accounting degree in 2016. His master's degree, Masters of Accounting Science in 2017, and he recently passed the CPA. He is out there as a Northern alumni. Much of his college experience and his ties to this university are due to the social experience. The social experience, his fraternity life, obviously didn't get in the way of his education, his educational goals, and his career. They very much helped him flourish and made him unique in the business world. My second son is serving an internship in Chicago right now at one of the top accounting firms representing your university. And again, when he went through the interview process at the job fair here, a lot of what made him stand out was the leadership opportunities that he has gained as a fraternity member in the Phi Kappa Theta fraternity. While everything I don't need to reiterate why we're all here today, we forget everything else that comes with being a member of the Greek community. The community service, the philanthropy, opportunities none of my kids would have had those opportunities without the organization guidance that the Greek community and those type of events provide. So for me I come today from the mom's perspective that I am nervous that the university, the NIU administration, is going to ruin the college experience for my son that's currently a sophomore in the fraternity looking for the same experience and the same opportunity that his older brothers have had. You know we are a Huskie family and more importantly you know I'm a mom of Phi Kappa Theta and realistically and again the other speakers have touched on it, when you look at alumni and coming back. You know I graduated in 1988. I was not in a sorority. So for me to come back at homecoming to what? To people I've kept in touch with? Your Greek community, these young adults will come back. They'll come back as old adults. They have something to come back to. So to hear that things like Founders Day and alumni events are being suspended, it's a shame. It's a pity. It really will hamper the future for this university and for that alumni foundation and for these current students and their college experience. So I plea and I ask that you listen to these remarks. You listen to these students. You open up your minds and you realize how important that this experience is for these kids. On a personal note, I was diagnosed in 2015 with ALS, Lou Gehrig's disease. Those of you that know what that means, t's a pretty heavy one. Three to five years life expectancy, no cure. I had two sons at this university at the time. As a family we had a heavy burden to deal with. I can only do so much and if it wasn't for the brothers and the young men to be there for my

sons, I don't know what I would have done. And these young men that you know it's all in question of Friday night parties is ridiculous when you look at the character. I have had classes of these young men that have supported me and fund raisers. Recent ones that have driven two hours because there was a fund raiser put on my event and you know some of them I didn't even know personally have come out, supported my sons, supported our family. Character like that gets built in the Greek community, not just in a classroom. Thank you for your time and I appreciate you listening to me today. Thank you.

Khaili Garland commented, good morning everyone. My name is Khaili Garland and I currently serve as the president of Alpha Sigma Phi. To give a little background information about myself, I'm a public health major with an emphasis in environmental health, minor in biological sciences. I'm from the Southside of Chicago. I currently serve as community advisor in Newhall East and about a year and a half ago I never saw myself being a part of Greek life. My main reason for being here today is to give sort of my – experience my concerns with the current conditions in which we as undergraduate Greek students view the conduct that is currently being applied to the Greek community. Speaking for myself without ever having a conduct case against me nor my fraternity, I honestly feel threatened. And I know I'm not the only person in this room that feels that way. Like I said I've only been a part of Greek life for only a year and a half now, but there's no doubt in my mind that it has been one of the best decisions of my life and it has changed it for the better. And I can rant for a while about that, but I'm going to stay on topic. Becoming a member of the Greek life was not something I saw myself doing in the past, but it's already helped me take steps towards my future. But that's not only why I joined a fraternity and that's not only because I joined one. Because you can do that at any university. It is specifically because I joined a fraternity at NIU that I've been able to have the experience that I say I have. I was fortunate enough to attend Northern instead of ISU because that was initially my first choice, but they accepted too many members of the 2014 undergrads so God gave me the blessing of coming here which has been the greatest experience of my life. It's thanks to the positive Greek culture that we have here at NIU that I've been so satisfied with my experience. Being in a new organization on this campus, the fellow Greeks at the NIU community have been so great with us, absolutely wonderful. They've given us their best wishes of establishing ourselves, given us support and any advice when needed. I hear from other organizations that have started new on campuses and they say how they get bashed and mistreated because nobody wants new guys on their campus, but contrary to that NIU has given open arms to Alpha Sigma Phi being here. So with that being said, to uphold this Greek unity, I mainly come here today because I refuse to have harsh sanctions be the new acceptable ones for first time offenders. My organization has yet to be a part of those sanctions luckily, but you never know what can happen and it's only a matter of time. No organization should have to endure such harsh sanctions and have their membership be literally eradicated because they're not able to have any events or even recruit for a certain amount of time that makes it unrealistic for those who will be graduating to bring in new people. And to show my support, I just want to let it be known that I do stand with Phi Kappa Theta and we stand united with all Greek organizations. I know if I were in their shoes I would hate for something so precious to me be stripped away from something as simple as a conduct case. Like the previous speaker just said, we are Huskie Family. I don't know if everyone here is a Huskie, that's kind of unrealistic but who knows. With that I just say let's stand together. Thank you.

Jake Paulson commented, good morning. I just want to thank you all for hearing what I have to say this morning. My name is Jake Paulson. I graduated with my accountancy degree last December and now I am in last semester of my MAS degree, will graduate in the spring and then go work for Price, Waterhouse, Coopers in the summer. So that's just a little background. But I want to start off and say that I am grateful for the time that I've spent here at NIU and the relationships that I've been fortunate enough to build here the last four years. I love this school and I would like to see the relationship with student conduct and the Greek organizations get stronger and more transparent. I have used the fraternity that I am part of, Phi Kappa Theta, to network with some faculty members and build key relationships with them. I'm a very social person and I am thankful to have met all the people that I have through the fraternity and this at this phenomenal university as a whole. Next, I would like to say that I do not think a three year social probation for Phi Kappa Theta would be a fair punishment, because it would not encourage the organization to sustain a valuable life because it completely eliminates its social aspect which is what many individuals

use the fraternities for. A lot of individuals join fraternities for the social part of it, but then once they're in it more and they learn the brotherhood and the philanthropies and everything behind it and it's a really good thing. As I said, I'm an extremely proud alumnus of Phi Kappa Theta and I am proud of them for trying to take accountability for their sanctions and reculture the house to insure that this issue will not be a reoccurring one. They are taking initiative and at the end of the day everyone makes mistakes. What sets you apart is being able to recognize these mistakes, learn and grow from them, and become a better individual in an organization as a whole. I would like to see them receive a punishment that won't kill the chapter so that other students can have the same college experience that I've been fortunate enough to have. I am also upset that they will not be able to have alumni events because alumni will not want to give back and how will these students be able to network with alumni when they aren't allowed to have those type of events. I want the opportunity to give back to the fraternity that has given me so much throughout the years. I've had the privilege to be involved with this extraordinary organization with both of my younger brothers and have made friends and built relationships that will last a lifetime. Ultimately, I would like to see the NIU administration work alongside the Greek organizations to fix this problem and come to a solution that is fair and just for all parties involved. Thank you for your time.

Vincent Barra commented, thank you for taking the time to listen to me speak today. I'd also like to say a quick thank you to the countless members of different Greek organizations who are downstairs viewing this. I'm not sure if you as a board have been able to see that. I accidentally walked into that room on the way up here and there was upwards of 50, 60, 70 students down there all supporting our cause. But like I said, my name is Vinny Barra, I'm a senior marketing major here at NIU. I will be graduating in about 8 weeks in May, and I am very proudly a member of Phi Kappa Theta fraternity. I just wanted to talk quickly today about my experience here at the university. But before I do that I wanted to make one thing very clear, I absolutely love this university and that's why I'm here speaking today. I transferred here as a sophomore, and while considering schools to transfer such as ISU and NIU and Iowa University; I chose Northern Illinois because I had friends here who were in Greek life and they love this university. Being blunt, if I were to have been transferring today and seen what the scope of Greek life looks like currently, I more than likely would not be a Huskie. My second semester of that year I was approached by a brother in my fraternity who suggested I apply for a sales position at the Northern Star. The Northern Star is a student produced publication here on campus. Mr. Smith is an alumnus of it. I know a few other members here are on our publication board so I've seen their faces and met them as well and it's a very integral part of this university. I applied there at the discretion of my brother and I did get the job there. I've been working there ever since. At this point I would like to step away as my role as a fraternity and just say a quick thank you to the Board of Trustees as about a few months ago you guys helped us receive funding that will keep our doors open indefinitely and I would just like to say from me personally, not my Greek organization, thank you guys for that. But back to my story, about ten months ago I convinced my younger brother to transfer here from Aurora University just down the road because I love this university and I thought he would as well. About three months ago I was offered a job at a Fortune 500 company in Chicago. As my interview explicitly told me my greatest asset was the experience I gained at the Northern Star. It's all we talked about in the interview. On top of this, I reached out to multiple alumni of both NIU and its Greek system to help in preparation for the interview. It worked and I now have a job post-grad. I have to assume that seeing students with jobs lined up out of this university is something you, as a board of trustees, are excited to see. It means that the university successfully educated and prepared students for their career post-grad. But if you noticed in my story, the common theme through my path it's not the educators, it's not the facilities, it's not the staff. It's not even the beautiful building Mr. Barsema helped build that I've spend countless hours in. It's the people I have met, networked with, and forged connections that have set me up for success after school and the vast majority of those people are Greek. For the current students, future students, and my younger brother who couldn't make it today because he's in class, I want them to have those opportunities. But I am concerned that these opportunities will cease to exist if the student code of conduct is not adjusted and if Greek life here continues to spiral downward. And let's be very honest with ourselves, it is very clearly spiraling downward. I love this university and I want every future possible Huskie to love it as well. Thank you for your time.

Patrick Mulhern commented, thank you for coming and listening today. I appreciate that. My name is Patrick Mulhern. I am the house manager of Phi Kappa Theta. The first thing I would like to say is some of these guys here are my brothers. When I say brothers I mean that because I come from a family with four other brothers. For example, the other week my mom actually works in the Chicago in the loop for the biggest insurance company there and she lost her job. So money has been tight recently and one of the brothers that I was talking to, they're just like home, honestly they're like my family, he actually was able to loan me some money. In the meantime, everything is back to normal. The first thing I'd like to talk about, I am a true believer that Greek life is the core involvement and social scene in this university. I'm also active as a member of PRSSA and I play for NIU lacrosse. Without Greek life I would be a much different person. I believe that it's sculpted me into a young man and I hope it continues to do that into my post-graduate. There's a lot of benefits to being in a fraternity. For example, our fraternity hosts study hours especially if you are under the GPA requirement, we pretty much require that you do go to the library. I haven't had that happen to me, but it is always nice to have any sort of help, it's always there. The next thing I'd like to talk about is that with Greek life there is a much higher involvement in the community. And I can say that because I went to an NIU basketball game last week and I would say that there were more people that go to Greek life philanthropies and raise money than show up to a basketball game here. Next, I wonder what will happen to the future of NIU. I've seen that the enrollment has been going down, but I figure it may continue to go down with what is going on now. The last thing I'd like to talk about is safety. Clearly there are some issues with safety on our campus and I would like to make a comparison here. For example, I think that there are bigger wars to be fought. Let's say that if you wanted to go to a social event and you went to a fraternity, I think that would be safer than going to an apartment to party or hang out because everything is regulated, you know everybody, there's security and if you're going from apartment to apartment it's not safe outside at that time of night, midnight. The last thing that I would like to say is, what will I remember from NIU when I graduate and will I ever have any memories, would I like to come back?

Jason Bensfield commented, thank you for having me today. My name is Jason Bensfield. I currently work for NIU as a tele-fund caller at the Northern Fund to raise scholarship money. I am the current fundraising chair for investment association here at NIU. And most importantly, my field of study is NNGO. NNGO is a field of study focused on non-for-profit businesses and governmental organization. This semester I was put in charge of organizing our efforts to participate in Anchor Splash only to be told recently that we were not allowed to participate in it. I then called Morgan Brickly to ask for more information on the matter and was told that we cannot participate because we are currently unrecognized by the university. Even though the school has not issued a final sanction and we are still negotiating with them. I believe that all of Greek life organizations, no matter what the sanctions are, should be allowed to participate in philanthropy events. Philanthropies are an essential aspect of campus life because it provides a way for students to give back to the community and NIU. Last year Phi Kappa Theta raised \$3,000 at dance marathon which is the most out of any fraternity that participated in the event that year. This year we went ahead and established Phi Kaps' Kick for the Kids, an annual philanthropy event where we raised around \$1,300 for the Children's Miracle Network. If this three year social probation is implemented we will not have the members necessary to organize such a demanding event. Giving back to the community truly is my passion. One of the main reasons why I joined Phi Kappa Theta was because I believe in their motto. That motto is "give expecting nothing thereof". If I can fundraise through the university through my job and the investment association, how come I can't fundraise as a Phi Kap? I will forever regret the fact that I could not raise money on behalf of this fraternity that has given me so much. Thank you so much for your time today.

Evan Johnson commented, I first and foremost want to thank you for being here. I know you guys can't see this, but there is – I just got a text message – over a 100 people downstairs all for different houses and this unity isn't a normal here between Greek life. So I really encourage you to listen to what we are saying. There's definitely a trend happening here and the fact that house that normally hate each other and compete against each other are coming together for a greater good, I think that shows something. Like I said, my name is Evan Johnson, I'm the current president of Phi Kappa Theta. I'm a May 2019 graduate and thank you once again for allowing me to be here and come back a second time. The reason

I'm here is first and foremost a three year social probation is a death sentence like how everyone said. People join Greek organizations not to party, they join for social factors. They join for non-alcoholic events, networking and meeting other people on campus and meeting alumni. Through the current proposition, we would not be able to do that. You can't even have a sober event, you can't meet any alumni and how are you supposed to get a job through an organization if you can't meet anyone or hang out with anyone? Also 21-year-olds are not going to want to rush because with the new standard of a three year social probation they are not allowed to have any alcohol in their room even if they're 21 for themselves so how are you going to explain that to someone who's been able to drink at their home environment or at a bar? I understand that we messed up and we should be held accountable, however it does not constitute for removal from campus. We are first time offenders. This is not a practice pattern in case anyone doesn't know that. We've had a clean record for more than four years and another fraternity was given a chance to reculture themselves and it worked out wonderfully. They're doing amazing things on campus now and we are not being allowed the same opportunity. So the university has failed us in that aspect and they are depriving our educational learning experience. Going off of the new president, Chris McCord is the one who's been working with us. I was not allowed on the phone call, however I heard we have a constant reworking of the rules upon being asked how, I was told the conversation went that he had to consult with the rest of his faculty because obviously he does not handle conduct cases normally. But if we've had no previous sanctions in the four years, how are we constantly reworking the rules and where's the information coming from? It's coming from student conduct and the reason we have a problem with student conduct is due to the fact of, mainly two reasons. One, years ago there was an incident with one of our members which was handled poorly and he was removed from the school and now he's having a problem getting a job because of this. They withheld evidence in his case and I personally think that's not fair to him or his life. Also, our members were very passionate upon finding out about this ruling so they used some of that passion to discuss with code of conduct and not take the interrogations, and maybe they didn't handle that the right way, but that precedent and the previous notion is now being thrown into our case, which shouldn't happen. I don't understand why Kelly Olson who was our hearing officer is now being allowed to discuss what is going on with our case and give advice. I propose a semester suspension which I'll show you to save time and also too our nationals has proposed that and we're been working on things to reculture our house. However, the school refuses to accept it. Also our organization has had 28 total different organizations that we're a part of. We hold 15 executive board roles, six scholarship recipients, four military members and one veteran. And I know I might go a minute over, but please listen to this, this is very important and everyone is missing class to hear what I have to say. So basically we don't want this three year social probation to happen for other chapters, right? So I care about all of my brothers, but I care about all Greek Row. I can about my fraternal brothers in other organizations and my sorority sisters as well. We're basically going to be the Guinea pigs. So if this gets passed with us, this is going to happen for every single first time offender which isn't fair. It's going to completely cripple Greek life. Fraternities has lost faith in this school. Students are skipping class to come to this because that's how much this matters to them. So that's completely destroying their educational experience. Other houses are also facing the same struggle as us and they're afraid and most importantly too I want to protect the other houses and the president's. The former ASA president had to transfer because she did not want to have to deal with all of this stress. And that's no knock against her, I think that shows that there's really no support from the university. I'm wrapping up here so please allow me to finish this. So what we did is we met with IFC on March 6 to come up with a solution to what is going on. All the presidents did. There's currently no trust in the school and we want to show them in good faith we understand their side as well. I know we aren't perfect. I know we should be getting involved more around campus, and I know we need to do more. But we ask the school to work with us to allow us and all other fraternities the chance to reculture ourselves instead of isolating us and treating us like second class citizens. We want to take accountability for our mistakes, but also protect other organizations from enduring what Phi Kappa Theta had to go through. We don't want other presidents spending four months of their life totally consumed with saving the house. Their focus should be on academics and spending time with their family. I'm honestly disgusted that I had to spend my break when I should be with my father who's dealing with medical issues, meeting with our attorney to fight this case. I may never get those years back with him, or those months back with him in my life, so that really is forever going to bother me. So what we decided to do and IFC is going to touch

on this, we are going to constitute a temporary dry period with all the houses. I'm wrapping up here. So there's going to be a temporary dry period. The IFC representative is going to touch on it. It's in order to protect us so no one else has to face these sanctions and in order to show the school that we understand your side and we want to work with you. My closing remarks are this, we are begging the Trustees to fix this situation and advise the school on what sanctions would be fair and educational based off of the amazing president by another fraternity who is able to successfully reculture themselves. If this does not get fixed, I fear that Greek Row will be extinct and the amazing unity that we built throughout this case will no longer be here. This needs to be taken care of now. We have given the faculty members four months to work this out, but they have not shown any willingness to cooperate with us. This is why IFC and the Greek's have taken this into their own hands. We understand this is a two-way street and we want to partner with the school to correct some of these issues. However, we ask that the school and trustees fix what the school is doing wrong. We need a fair punishment to allow Greek life to sustain. I refuse to let this happen to anyone else. I want to protect by beloved school and all of Greek Row. Thank you for your time and we stand united as you guys are not able to see with the 100 members we have downstairs. Thank you.

Alex Newman commented, I'm the Vice President of Risk Management for IFC and I'm also the Vice President of Alpha Kappa Lambda. I speak on behalf of everyone by saying thank you all for allowing us the humbling experience to speak today. Like Evan mentioned it's a historic and beautiful sight to see all of Greek Row uniting like this. As an IC executive board we do not want a three year social probation to be the precedent for fraternities that are only first time offenders. We want to build a pathway to success for each fraternity here on campus and ensure an educational collegiate experience. This is why IFC and all of the presidents voted yes to these rules. We are showing the university that fraternities are holding themselves accountable. We do understand your position and we just want a fair process and a transparent relationship which is currently not being shown from the university. Effective March 19, 2018 the Interfraternity Council along with each chapters respective president has agreed to the following terms. Whereas the Interfraternity Council met on March 6, 2018 to discuss issues surrounding the fraternities in the Greek community at Northern Illinois University and the presidents of the IFC fraternities had an open and honest discussion about fraternities, the current relationship with NIU administrations, social policies of NIU, and current alcohol usage. The presidents agree that the current culture of alcohol usage at fraternities or by fraternity members could be improved to promote safety and responsible alcohol usage. The presidents agree that fraternities provide necessary social, leadership, scholastic and philanthropic opportunities to enhance the collegiate experience at NIU. IFC agrees that partnering together to improve fraternities allows the fraternities to be responsible to themselves, to each other, and IFC agrees that members holding each other accountable for their behavior is a positive movement in the right direction and a good faith effort to restore productive relationship with NIU administration. Therefore, be it advised that the IFC has adopted the following rules applicable to all IFC fraternities effective March 19, 2018. During phase one of the culture renewal plan there will be no alcoholic social events including brotherhoods and mixers at any chapter facilities until further notice. Presidents of each respective chapter will be required to attend the weekly IFC meeting including, but not limited to, March 20th meeting which is focused on debriefing all Greek organization members. During these meetings there will be an open discussion as to how to improve Greek life and organizations at NIU until a resolution is reached. Presidents of each chapter will partner with IFC executive board members and complete compliance checks of each fraternity. Compliance checks will begin at 12:00 am and end at 2:00 am and they will take place Friday, Saturday and Sunday morning. Each fraternity has agreed to allow the members completing the compliance checks beginning at 12:00 am and ending at 2:00 am to check all common areas along with the hallways of the living quarters. Any chapter found in violation of any rules during the compliance check will be subject to following violations. The first violation prohibited in completing in tugs and all intramural events. The second violation they will be placed on a sixteen week suspension from IFC effective immediately following the end of the phase one of the culture renewal plan. Upon completion of the sixteen week suspension from IFC the respective chapter will have to complete the official IFC probation period which was voted into effect March 6, 2018. Each chapter is required to submit academic goals for the current semester. Chapters found guilty of violating the NIU off-campus policy more than twice for a level one or

two violation or one offense of a level three violation, the chapter will be put on IFC social probation. This includes no registered events for the rest of the current semester. Each chapter must also complete ten community service hours for each member in the house as a chapter. This will also be placed on and IFC board review at the end of the semester to determine if the chapter is taken off social probation. When IFC executive board along with each chapter's president determines a permanent plan to enhance the culture of Greek fraternities moving forward, IFC will implement the second stage of the culture renewal plan. We will implement the second stage as soon as IFC executive board and each chapter's president seems it to be fit. The second stage of the culture renewal plan is centered around making Greek organization members involved in other organizations around campus, promoting and increasing philanthropy event creation and involvement, supporting NIU athletics, support of recruiting students to attend NIU, membership reviews of each chapter hosted by each chapters president, academic success and an educational alcohol presentation given by each chapter focused on risk management. When the second stage is implemented, it will effectively implement the alcoholic social ban. The purpose of this act is to educate, reculture and hold each Greek organization member accountable. Thank you all for allowing me the opportunity to speak today and giving me your time. I hope we have shown that each fraternity does want to hold themselves accountable. We refuse to accept a three year social probation. As the president for first time offenders we just want to show the Board of Trustees and Greek life across the country that we do understand the university's position, we would also like them to understand ours. We stand united. Thank you.

Nick Minogue commented, for those that do not know me, I'm Nick Minogue, a former member of Phi Kappa Theta. You're probably wondering why am I wearing these pike letters. To make a long story short, I was pike pledge in 2012, same semester Greek Row was changed forever with the tragic loss of David Bogenberger, my best friend and longtime roommate. Many people ask why do you join another house after everything that happened. It was simple really. I believe in going Greek. I believe in the brotherhood, the opportunity and the experience they offer. I'm proud to say that being a member of Phi Kaps made me into the man I am today. The reason why I took the day off for a five minute speech sunburned and everything, because I care, not only for Greek row, but for the university. I was proud to call DeKalb home for four and a half years. From being involved in many organizations around campus to working as a trainer at the rec. I've always been a Huskie. Since being an alumni my connection with NIU has not faded. I now employ and provide internship opportunities to several students from Northern. Almost all which happen to be Greek, and not just Phi Kap's, other rival fraternities. On a weekly basis I work with over 150 high school athletes many of which who are interested in attending NIU. Of course, they ask me questions about the university such as things to do, what dorms to stay at, and is it really necessary to go to class. Of course they ask me questions about the university and I'm happy to answer. I've always enjoyed hyping up the university, urging them to go to Northern. Sadly, that excitement has faded as my trust with the school dwindles. It's hard for me to encourage potential students to attend NIU when our student organizations are under attack. I myself am no stranger to ICF, SILD, and the student conduct office. Not only did I serve as social chair for Phi Kap's and an IFC delegate for three semesters, but I have been a part of several situations with the conduct office, including this one, that has left me greatly upset and quite frankly disgusted. When I first dealt with Pikes with many of my fellow pledge brothers and I were charged outrageous accusations, almost immediately many of those charges were dropped due to lacked of evidence. The organization and the execution behind the conduct office was poor then and can't say I'm not surprised nothing has changed. It was clear that the policy was built to cover the officials and the university's you-know-what's in a moment of crisis without really accomplishing anything. Another situation deals with one of my best friends when he was kicked out of NIU over an accusation without any evidence. Instead of waiting for due process he was expelled from the university thanks to Jeanie Myer. It was better for her and the university to clean up the mess quickly rather than to carry out the process. Again, nothing that NIU isn't used to when they pay the Bogenberger's millions of dollars to make that mess go away too. And now, that leaves us here. Handing out harsh punishments to several organizations, including my own, to make an example out of them with little justification as to why. As someone who works in football, I can't help but relate the conduct office's punishments to Roger Goodell's handling of suspensions in the NFL, incredibly unfair, bias, with poor execution. I couldn't be prouder of IFC and Phi Kap's for taking the

initiative to better this broken system. Rather than cripple Greek Row we can set a game plan to fix the issues that we face. Handing out harsh punishments solves nothing. With lower attendance rates over the past several years, I think it's fair to say that NIU needs all the help it can get to retain students, not to push them away. We're all here to build a better future for the university. Let us work together to make it great for generations to come. Thank you for your time.

Glenn Roby commented, before I begin my formal comments, I just want to say that it's a little unusual for me being out front. I tend to like to work behind the scenes to bring about change. Many speakers have come before me and I was notified that I'm going to be batting cleanup here so I hope I bring honor in my comments. Good morning. I'd like to thank members of the Board of Trustees, University Administration, and staff for allowing me the opportunity to speak this morning. As many of you know I'm Glenn Roby. I'm here today to provide some commentary and perspective as it relates to the NIU Greek community. I've had the pleasure and honor of being a volunteer involved in various aspects of Greek community for over 25 years. My background included, but is not limited to, serving on each of the three national boards for my fraternity, Phi Sigma Kappa. These include an eight year term as a grand council director, our national governing board; 14 years as a president of Phi Sigma Kappa properties, our national housing corporation; and current trustee and board member of Phi Sigma Kappa Foundation. Closer to the ground, I have spent 20 years as alumni board treasurer and 12 years as chapter advisor to my local fraternity at NIU. In continuation of my volunteerism, I'm the current president of the NIU Greek Alumni Council an affinity group of the NIU Alumni Association; current member of the NIU Athletics Board and incorporating officer of the NIU Alumni Interfraternity Council. Finally, my volunteer life also included engagement with the City of DeKalb as I a current member of the Annie Glidden North Task Force who's focused on developing initiatives and implementing and approving the area north of campus which includes Greek Row. I also work with the City of DeKalb as a member of the business corps nation group which meets monthly as a sounding board for various city initiatives as it relates to business development. While I've outlined some of my volunteer roles, I hopefully have demonstrated perspective and knowledge of key aspects of Greek life. I would be remiss if I did not state that it is in my role as chapter advisor that provides the greatest opportunity to make a difference. It is in this capacity that I get to not only work with my local chapter, but also many of the Greek organizations at NIU including the four different councils, NPHC, UGC, PHA, and of course IFC. In fact just a few weeks ago, leaders of the IFC Greek organizations and IFC executive board members, sorry a few night ago, assembled at my chapter house to discuss ways to address some of the issues facing the NIU Greek community. This discussion was facilitated by the students and was focused on change and partnership with their host institution. This working group acknowledged that they need to take ownership and change their culture. Many bold initiatives were discussed and agreed upon. Some of which may have been identified by other speakers today. While serving on my national board, I too understand the impact of being named in a major lawsuit based on the actions of one of my east coast chapters. It was terrifying and a huge wakeup call on the impact of students behaving badly. I understand the intense reflections that occurs as a result. I questioned my why. That was over 16 years ago. In the end I did not give up. I did not quit. I did not stop fighting and believing that Greek life still has real value in shaping the lives of many men and women at NIU and across this country. With a proliferation of social media and its influence, broken homes, economic realities, mental health challenges, and lack of a sense of belonging, the Greek community still provides a support structure and connection of something bigger than yourself. On a personal note, I very recently had a health wake up call. As many of you know, this can and will happen as you age. While spending time in the hospital I had the opportunity to reflect on my life and those that I serve. It was the undergraduate members of the Greek community as a whole that visited, called, and expressed concern and support. I was reminded that our work to support and education what matters most in development. Many of the students in this room, not just the organization I am the official advisor for, will tell you I'm not always a warm and fuzzy guy. I at times demand better, push accountability, set expectations higher than they believe they can achieve. Those that know me well know that this comes from a place of love and understanding and commitment to the greater outcome, an outcome to see them grow and become better mothers, fathers, husbands, wives and partners to those they love. Does are Greek community need to realign their conduct and behaviors? Yes. Do they need to more fully engage the greater DeKalb community? Yes. Do they need to become better ambassadors and

alumni of NIU? Yes. I stand before you today and say we the adults are the conduit for showing these young men and women a better way. We must never forget that we were once college students and had to learn how to become the adults we are today. We appreciated those that showed mercy and assisted us as we embarked on our life journey. We value those that demanded more while also understanding we needed help. In conclusion, I again thank you for your time this morning. If I can be of any further assistance in building the bridge of trust to the Greek students and our host institution, please just let me know. I believe in you as a board, administrators, staff and I believe in those young men and women here today. Let's continue our work together for all NIU. Go Huskies.

Sara Dorner commented, I am a labor representative with the American Federation of State, County and Municipal Employees and I am here today not only because Chairman Coleman told me I could come back whenever I wanted to, but also today is International Women's Day. I have the honor of getting to represent a bargaining unit of 600 clerical, professional, paraprofessional and administrative employees here on campus as they work to negotiate their first collective bargaining agreement with NIU. Eighty-five percent of them are women. I'm proud to work with group, but please know that we have been fighting to get an initial agreement with NIU for more than two years now. The workers organized each other at a time when workers and unions are under attack in this country. They got together and organized and decided that they would form a union. They contacted us to represent them as they work to negotiate their first contract with NIU. While male dominated professions here at NIU saw regular wage increases, I'm talking grounds, janitor, skilled trades, police officers; many of these employees, most of these employees, most of them women have gone without a wage increase for more than seven years. We looked at the state average for minimum starting raises for each classification in our bargaining unit. And please know there are over 70 classifications in our bargaining unit. The state average for the minimum starting wage is still higher than what many of the employees in our bargaining unit make after several years on the job at NIU. The most widely held position by our bargaining unit is Office Support Specialist. The average starting wage for an Office Support Specialist is \$21,012.00 a year. Try living on \$21,012.00 a year. So today on International Women's Day I would like you to please consider the #MeToo movement. And I would like you to please consider that an assault on women is not merely rape or sexual assault or cat calling or the typical harassment, sometimes it is more insidious. An assault on women is an assault on their rights to raise a family and support themselves while working at a job where their work is respected. Where they make wages the same as any man, but also where their role as a mother, wife, sister, provider, and community advocate is not only supported, but encouraged. We expect and hope for better from NIU. We meet with the employer representative tomorrow for negotiations. For two months he has been promising to bring us a wage proposal. For two months he has been telling us that many people in our unit are overpaid and that is why NIU is not putting wage increases on the table. So we have him to bring that data and show it. Tomorrow we meet at 3:00 p.m. and we hope to see a wage proposal. Otherwise, please know that women are powerful. Women at NIU are unstoppable. So I guess you haven't heard the last of us. Happy International Women's Day. Thank you for your time.

Chair Coleman responded, Sara thank you for your comments. In fact I want to thank all the speakers today for coming out and sharing your comments with us. As this board, all of these topics are important to us. Greek life is important to us. The support staff is important to us. Every individual that is part of this university no matter what role they have. Whether it's from president down to support maintenance. You're part of the Huskie family and we're here to support you. We heard a lot of great comments today. I'm going to give my fellow trustees an opportunity to comment on any of the statements that they've heard today and I will start with Trustee Barsema.

Trustee Barsema commented, thank you to all the students, to all the Greek's who came out today, to all of you who are here today. As most of you in this room know, I am a Greek as well. I am an SAE here from NIU. Two of my three sons were Greeks. Two of my sons went to NIU. So I have for all of the parents that spoke, I have been in your shoes and I continue to be in your shoes. To echo the comments that Chair Coleman made, Greek life is very important to NIU. We take very seriously the comments that were made at our last meeting, the comments that were made today, and thank you for your participation and thank

you to all those that are in the room downstairs as well. It's great to see the Greeks come together. It's great to see our students come together whatever the cause may be. It's great to see you work together. A couple things I would say, a comment was made, I forget who made it that this is simple as a conduct case. No it isn't guys. Let's not kid ourselves. There were serious offenses here and we as a university, we as a Board of Trustees, we as the administration need to take serious your safety, the safety of the students, the safety of everybody on this campus. So let's not, wherever we come to with this, let's not gloss over that this was a minor offense. It was not. Let's acknowledge the fact that this is a serious offense and you have to be accountable for it and I know that you are. But don't try to brush it over as oh it's a simple conduct case. No it's not. I would say that the university administration has taken your words very seriously. They've heard you. They're working with you. This decision and this process rests with them. It rests with Dr. Freeman. It rests with Dr. McCord and the rest of the team. And they will continue to work diligently with you to come to whatever conclusion is going to come to. Please keep in mind we have to do and you'll all if you haven't learned this already in life, you'll learn this in business, you can't do for one that you're not prepared to do for all. So we can't have every conduct case that happens on campus come before the Board of Trustees and the administration for appeal. So whatever gets decided here is something that will apply clearly to all Greek organizations, men and women. This cannot be the court of appeals. We appreciate the fact that it was brought to us. Clearly we listen. Clearly we will continue to listen. Clearly we have empathy and clearly as I said before the administration of the university has heard you and they can continue to work with you. I just want to close my comments by saying that I couldn't be prouder of the students that I heard speak today. You guys, guys being generic, men and women remind me remind us just how great NIU students are. I thought you represented yourself in a wonderful way and so thank you. Very proud of all the comments and very proud of how you conducted yourself. Thanks very much.

Trustee Butler added, I don't have a lot to add to what Trustee Barsema said. I agree with him completely. I would just add that over the course of your lives you'll approach different boards and councils over a number of matters and one of my areas of expertise I guess I could say by now is public advocacy and I know that you will find yourself involved in that activity many times as you move through the chapters of your life. Each group is different. As Trustee Barsema had mentioned, we're unique in regards to the types of groups that you might bring grievances to. We're not a city council, we don't get elected by areas within the community. We don't represent voters. We're here to make important decisions about what's in the best interest of the university on behalf of the state of Illinois. And we have a deep regard and respect for the people that we put into positions of responsibility to administer the institution and in this case I'm referring to our president, our acting president Lisa Freeman. I will say this I'm impressed and I don't want to say surprised, but I'm impressed and would impress upon you that the gesture that's been assembled by Dr. Freeman and Dr. McCord is unique. I've never seen it done like this. I think this is an opportunity. You are begin given an opportunity to break new ground in a very respectful and democratic process with a leader who's committed to your welfare and safety, your happiness and your enthusiasm for the institution. So again I'll repeat what I said at the committee meeting that I chaired where a number of you spoke, I think it's important that we focus on the future going forward. I'm not saying don't hold us responsible for things that you think were done wrong, but we want to get you back on course to moving the institution and organizations that are important to you into a viable future. And that may require dare I say that you bury the hatchet a little and we have to do that too and look for a pathway that will solve problems and move us in a direction so that you can experience some of the positive things that you spoke about this morning. Thank you for coming. I'm, as an advocacy in public speaking expert, I'm wildly impressed with the presentations today. They were very organized and thoughtful and I'm grateful that you trust us to come in front of us and make the comments that you've made. As much as I also want to continue to impress upon you the importance of understanding our role and how we take seriously that role and the limits of that role. So thank you again.

Trustee LaGioia added, first and foremost I wanted to thank everyone coming out here today. Your words really meant a lot. I gained a lot of perspective from the speeches. Obviously working with you guys, talking, having the various conversations to what to tell the board, I definitely saw a lot of that today within the speeches so thank you for that. I just wanted to echo Trustee Butler and Trustee Barsema's comments

really quickly about the board. Obviously our first and foremost priority is to work in the best interest of the university and kind of deal with those matters on a more high end level. However, I can say from a personal perspective that the board has been more than willing to work with me personally on this issue specifically and very open to hearing about my comments and comments I heard from you guys as your student representative. So I just wanted to thank them in that regard because the process has been very, very smooth with our conversations. Other than that, I just wanted to say – just echo a comment that Trustee Butler made really quickly with the opportunity to break new ground. We really do have a unique situation here where we’re working with many different university officials, administrators and I don’t want that to go to waste. So with this being said guys, from whatever goes on here forward, we want to hear your voices, we want to hear what everyone has to say and we want you to be represented in the best way possible. So thank you for showing up to these meetings, make the remarks and just move on forward.

President Freeman added, thank you Chair Coleman. I want to express first that it is and continues to be an honor to serve the Huskie Community, this university that I love, this Board of Trustees who’s sitting with me here this morning; and as part of my Huskie pride am proud both of the approach that Provost McCord has initiated so that there is an ongoing dialog and a potential for greater partnership with our student body around the challenges that Greek life at NIU facing. These are not unique to our institutions. I also want to echo what I heard from both Trustee Butler and the representative of the IFC who spoke this morning, I look forward to working with our students so that we can become a national model for how to successfully address challenges on a campus. I have a lot of Huskie pride, but what makes me proudest always is when our students step up and show leadership and the document that was distributed this morning is an excellent example of expressing a desire to be accountable to part of a larger solution and understanding that that solution requires both dialog and partnership going forward and I look forward to that.

Chair Coleman added, if there are no more comments from the other trustees, I’d like to say in closing as it relates to the open comment section is that when we have a crisis on campus it is a time to come together and we need to make sure that we don’t waste a crisis. And I say that in the sense that we’ve got to make sure that we’re moving the university forward and positive. Something happened on this campus a few years back where a student lost his life and there were a lot of alcohol associated with it and that was a crisis. We need to make sure that that never, ever, ever happens again at this institution. And so we have to find a way, say how do we balance out this current situation to make sure that we don’t have another crises on our hands where a student will end up losing his or her life. Now we all have to take ownership and we all have to take accountability and we’re looking at the Greek leadership council and the Greek leadership members to step up and hold each other accountable and make sure this never, ever happens again. I want to also thank the parents for coming out here today. You’re well organized, really, really thoughtful. We’re not going to have the final say as a board. We’re going to leave that decision up to the administration and the rules that they put in place or the rules that they adjust will be done by the administration. We will support them because we know what’s at risk. It is our duty, our duties as trustees, to make sure that each and every one of our students come here, get an education, and are protected and that’s why we have rules, that’s why we have policies and we need to make sure that they’re abide by. The last thing that we would like to see is any of our students getting hurt. Last thing we’d like to see is a death spiral to any of our Greek organizations. We also got to be careful that we don’t want to set a president and say because you’re so organized we’re going to forgive you for breaking rules that could have dire consequences. We’re going to take a break. It’s not in our agenda, but we’re going to take a ten-minute break and then come back and reconvene our meeting.

7. REPORTS OF BOARD COMMITTEES AND BOARD LIASIONS

Chair Coleman reported that the committee reports were located in the Board Report under Agenda Item 7. He asked if any of the trustees had questions pertaining to those reports. There was no further discussion.

9. PRESIDENT'S REPORT NO. 122

Chair Coleman moved to the President's Report No. 122 and asked for a motion to pass a consent agenda on the following agenda items as discussed at the beginning of the meeting. Those items include: Agenda Item 8.b.1. Quarterly Financial Summary; Agenda Item 8.b.2. Quarterly Summary Report of Transactions in excess of \$100,000; Agenda Item 8.b.3. Periodic Report on Investments; Agenda Item 8.b.4. Investment and Cash Management Policy; Agenda Item 8.b.5. Clery Report; Agenda Item 8.b.6. Facilities Update; Agenda Item 8.b.7. Annual Report on the Outcomes of Sabbatical Leaves; Agenda Item 8.b.8. Faculty Presentation on Sabbatical Leave; Agenda Item 8.b.9. State Legislative Update; Agenda Item 8.b.10. Federal Relations Update; Agenda Item 8.b.11. Sponsored Programs Administration; Agenda Item 8.b.12. Scholarship Goals, Impacts, and Metrics; and Agenda Item 8.c.3. Grants and Contract Awards.

Trustee Barsema so moved and Trustee LaGioia seconded the motion. The motion was approved.

UNIVERSITY RECOMMENDATIONS FORWARDED BY THE BOARD COMMITTEES

Agenda Item 8.a.(1) – FY19 Student Health Insurance

President Freeman began, Agenda Item 8.a.1 is a recommendation regarding fiscal year 2019 student health insurance. The university recommends this request, which has been forwarded by Finance, Audit, Compliance, Facilities and Operations Committee for approval of Aetna Life Insurance Company, Hartford, Connecticut for fiscal '18 amount of \$12,000,500.

Chair Coleman called for a motion to approve this agenda item. Trustee Boey so moved and Trustee Struthers seconded. The motion passed.

Agenda Item 8.a.(2) - FY19 International Programs Division Expenditure

President Freeman continued to Agenda Item 8.a.2, fiscal year 2019 International Programs division expenditures. This is again a recommendation forwarded from the Finance, Audit, Compliance, Facilities and Operations Committee not to exceed recommendation of \$3,000,000 to various vendors for fiscal year '18.

Chair Coleman called for a motion to approve this agenda item. Trustee Struthers so moved and Trustee Butler seconded. The motion passed.

Agenda Item 8.a.3. FY19 DoIT – Integrated Media Technologies Campus Copier Program Contract Renewal)

President Freeman presented Agenda Item 8.a.3 fiscal year 2019 Division of IT or Information Technologies Integrated Medial Technologies Campus Copier Program contract renewal again forwarded by Finance, Audit, Compliance, Facilities and Operations Committee. Recommendation is fiscal year '18 amount of \$975,000. The vendor is Gordon Flesch Company in Geneva, Illinois.

Chair Coleman called for a motion to approve this agenda item. Trustee Boey so moved and Trustee Butler seconded. The motion passed.

Agenda Item 8.a.4. FY19 DoIT – Integrated Media Technologies Printing Paper Open Order Contract Renewal)

President Freeman stated the next item is the printer paper open order contract renewal. The vendor is Linden Meyer Monroe. This recommendation again forwarded from Finance, Audit, Compliance, Facilities and Operations Committee requests an amount approve for fiscal '18 or \$500,000.

Chair Coleman called for a motion to approve this agenda item. Trustee Boey so moved and Trustee Struthers seconded. The motion passed.

Agenda Item 8.a.5. FY19 DoIT Infrastructure Applications Microsoft Enrollment Contract Renewal

President Freeman presented Agenda Item 8.a.5 from the Division of Information Technology, infrastructure applications Microsoft enrollment contract renewal forwarded from Finance, Audit, Compliance, Facilities and Operations. Amount requested for fiscal year '19 is \$400,000 and the vendor is Scholarvise in Carpentersville, IL.

Chair Coleman called for a motion to approve this agenda item. Trustee LaGioia so moved and Trustee Boey seconded. The motion passed.

Agenda Item 8.a.6. Convocation Center-Update Nelson Suite {Capital Project Approval}

President Freeman presented Agenda item 8.a.6 for the Convocation Center is a capital project approval to update the Nelson Suite. These are Foundation funds. The amount is \$275,000 and again the recommendation was forwarded by the Finance, Audit, Compliance, Facilities and Operations.

Trustee Struthers so moved and Trustee LaGioia seconded.

Trustee Barsema asked, I want to verify that the \$275,000 is actually coming from a donor correct? Those are not funds that the university is spending?

President Freeman responded, correct.

Chair Coleman called for the vote. The motion passed.

Agenda Item 8.a.7. Convocation Center-Replace Video Board/Scoreboard {Capital Project Approval}

President Freeman presented Agenda item 8.a.7., the Convocation Center replacement of the video board/scoreboard capital project. This recommendation was forwarded from the Finance, Audit, Compliance, Facilities and Operations Committee and it's the request to proceed with the project that includes work orders, all necessary contracts with consultants, contractors and supplier as necessary to complete the work. We're talking about institutional funds, auxiliary reserves and department reserves a total estimated amount of \$1.27 million.

Chair Coleman called for a motion to approve this agenda item. Trustee Boey so moved and Trustee Barsema seconded. The motion was approved.

Agenda Item Item 8.a.8. FY19 Master Agreement Facility Contract Renewals

President Freeman presented Agenda item 8.a.8. the Fiscal Year 2019 master agreement facility contract renewals. This is a request that again is coming from Finance, Audit, Compliance, Facilities and Operations Committee. It is a request to be allowed to establish master agreements to streamline contractual obligations. The amounts are in the item and they are not to exceed item limits for fiscal '19. Services will be requested as needed and advertisers appropriate in the Illinois Procurement Bulletin.

Trustee Boey so moved and Trustee Barsema seconded. Chair Coleman asked for a roll call vote.

Roll Call Vote:

Trustee Robert Boey: Yes	Trustee John Butler: Abstain
Trustee Veronica Herrero: Absent	Trustee Giuseppe LaGioia: Yes
Trustee Tim Struthers: Abstain	Trustee Eric Wasowicz: Absent
Vice Chair Dennis Barsema: Yes	Board Chair Wheeler Coleman: Yes

The motion passed.

Agenda Item 8.a.9. FY19 Transportation Services Motor Fuels

President Freeman presented Agenda Item 8.a.9. Fiscal Year 2019 transportation services motor fuels. Again a recommendation forwarded from Finance, Audit, Compliance, Facilities and Operations. Vendors are to be determined and the amount requested for fiscal '19 is \$370,000.

Chair Coleman called for a motion to approve this agenda item. Trustee Boey so moved and Trustee Struthers seconded. The motion passed.

Agenda Item 8.a.10. FY19 Materials Management Commodities Open Orders)

President Freeman presented Agenda item 8.a.10., Fiscal Year 2019 request for materials management commodities open orders. Again forwarded from Finance, Audit, Compliance, Facilities and Operations. The request is for a total of \$800,000 with the named vendors being Office Depot/Max in Itasca, Illinois for a half a million dollars, and Supply Works in Rockford, Illinois for \$300,000.

Chair Coleman called for a motion to approve this agenda item. Trustee Boey so moved and Trustee Butler seconded. The motion passed.

Agenda Item 8.a.11. FY19 Campus Mail Services and Integrated Media Technologies-Postage

President Freeman presented Agenda Item 8.a.11. for Campus Mail Services, Integrated Media Technologies. It is a request for approval of postage expenses forwarded from Finance, Audit, Compliance, Facilities and Operations. Total amount is \$800,000 to the US Postal Service and the Postmaster in DeKalb.

Chair Coleman called for a motion to approve this agenda item. Trustee Barsema so moved and Trustee Struthers seconded. The motion passed.

Agenda Item 9.a.12 FY19-20 Solid Waste Removal and Recycling Services

President Freeman presented Agenda item 8.a.12, solid waste removal and recycling services for fiscal year 2019 and 2020. The recommendation is from the Finance, Audit, Compliance, Facilities and Operations Committee. Vendors are to be determined and the request for fiscal years '19 & '20 is in the amount of \$1,000,000.

Chair Coleman called for a motion to approve this agenda item. Trustee Boey so moved and Trustee LaGioia seconded.

Trustee Barsema commented, I know we discussed this last month in committee, but can somebody remind me why we're going up so much from 420 last year to a million dollars this year.

President Freeman responded, 420 was for fiscal year '18 and we're now requesting for fiscal years '19 and '20.

Trustee Barsema clarified, that's for two years, okay. We're still going right so is there a reason why or is that just a max that we don't expect to hit?

Vice President McGill responded, correct. It's a max we don't expect to hit, but because there's the potential for a new vendor there may be some initial supply costs with new bins so we wanted to leave ourselves a little bit of room.

Trustee Barsema added, again, I know we discussed this month in committee, but I just continue to implore the university to look for ways to get greener and look for ways to do more recycling and let's be a greener campus every place that we can.

Vice President McGill responded, agreed, thank you.

Chair Coleman called for a vote and the motion passed.

Agenda Item 9.a.13 Commercial Card Services

President Freeman presented Agenda item 8.a.13 from the Division of Administration and Finances regards commercial card services, P Card (Procurement card) purchase volume has suggested a benefit to the university by switching to another vendor. This request is forwarded from the Finance, Audit, Compliance, Facilities and Operations Committee and the vendor is J.P. Morgan Chase and Company.

Chair Coleman called for a motion to approve this agenda item. Trustee LaGioia so moved and Trustee Butler seconded.

Trustee Butler added, I think this was clarified in the committee but can I be reminded why we're voting on this? It seems to fall outside our thresholds and may even be covered by the IPEC exclusion in our regulations.

Vice President McGill responded, in the last meeting we discussed because of the volume of the spend that passes through to the university we wanted to bring it to the Board's attention and seek approval.

Trustee Butler responded, I don't have an objection to that if that's what the university wants, but it doesn't seem to necessarily fit within our purview.

Trustee Struthers added, it is my understanding that we would write the checks so there would be expenditures that are quote paying for goods or services, but I think the fact that we're actually writing the check is probably the reason that it's here.

Trustee Coleman added, we discussed this in committee if I recall and I'm okay with it and I'm okay with us moving forward with it.

Trustee Butler added, I'm just trying to get a sense of whether there's some other rule that it was brought to us under because it's under our dollar threshold or at least predictably is.

Vice President McGill added, as Trustee Struthers mentioned, because there is the potential for checks to exceed the university's spending authority that we would sign off on. There is the visibility and the want to bring that to the board as well.

Trustee Struthers noted, it is going to be a couple of million dollars of checks that we write that's simply passing through over a period of time or even a couple of years. My guess is a year looking at the rebate levels that that amount would be a spend of a couple million dollars.

President Freeman added, I just want to say on behalf of the university we favor erring on the side of increased transparency.

Chair Coleman called for vote on this agenda item, the motion passed.

Agenda Item 9.a.14 Recommendations for Faculty and Supportive Professional Staff Sabbatical Leaves for the 2018-2019 Academic Year

President Freeman presented Agenda item 8.a.14. She stated that the item is one of her favorite agenda items annually. It is the recommendations for faculty and supportive professional staff sabbatical leaves for the 2018-2019 academic year. The names of the faculty and staff members, their departmental affiliations and the time periods are listed in the item which is forwarded from Academic Affairs, Student Affairs and Personnel Committee and the request is for Board approval.

Chair Coleman called for a motion to approve this agenda item. Trustee Boey so moved and Trustee Butler seconded. The motion passed.

UNIVERSITY REPORTS FORWARDED BY THE BOARD COMMITTEES

Agenda Item 8.b.1. Quarterly Financial Summary (consent)

Agenda Item 8.b.2. Quarterly Summary Report of Transactions in excess of \$100,000 (consent)

Agenda Item 8.b.3. Periodic Report on Investments (consent)

Agenda Item 8.b.4. Investment and Cash Management Policy (consent)

Agenda Item 8.b.5. Clery Report (consent)

Agenda Item 8.b.6. Facilities Update (consent)

Agenda Item 8.b.7. Annual Report on the Outcomes of Sabbatical Leaves (consent)

Agenda Item 8.b.8. Faculty Presentation on Sabbatical Leave (consent)

Agenda Item 8.b.9. State Legislative Update (consent)

Agenda Item 8.b.10. Federal Relations Update (consent)

Agenda Item 8.b.11. Sponsored Programs Administration (consent)

Agenda Item 8.b.12. Scholarship Goals, Impacts, and Metrics (consent)

Agenda Item 8.c.3. Grants and Contract Awards (consent)

Items Directly from the President

Agenda Item 8.c.(1) Investment, Performance, and Accountability Commitment (IPAC)—President Freeman presented Agenda item 8.c.1., Northern Illinois University Investment Performance Accountability and Commitment, abbreviated with the acronym IPAC which I will use in my brief discussion of this item. As background, in November of 2016 during the budget impasse the University of Illinois proposed this piece of legislation House Bill 296 and Senate Bill 222 as a means to ask the state to guarantee continued, stable, and predictable funding in return for accountability from the universities in terms of graduation rates, tuition and fees, seats for resident students as part of building the philosophy and a common understanding that the state has an obligation to fund the universities in a predictable and stable fashion and that in return the universities have an obligation to be accountable, good stewards of the public funds contribution to the economic engine of the state and insuring that public higher education in Illinois remains a public good. Northern Illinois University is pleased to say that we are interested and excited about joining the University of Illinois by amending this piece of legislation and documenting the fact that we share this philosophy that the state should provide what universities in Illinois and NIU needs most; consistency, stability and predictability in funding and in return we will be accountable and good stewards of the public funds.

Chair Coleman called for a motion to approve this agenda item. Trustee Struthers so moved and Trustee Boey seconded.

Trustee Butler asked, so as I understand this, this is a piece of legislation that is being proposed. The University of Illinois is leading that effort. The legislation has been written also with NIU included in it. So it's both U of I and NIU putting forward legislation but it's not been passed at this point and this is basically saying that we will continue to steward this through the legislative process that you're getting today the

Board's approval of that activity or endorsement of that activity?

President Freeman responded that this is proposed legislation. It does not have a certain future, but we felt that it was important to have the Board's endorsement for two reasons. I felt the Board would want the opportunity to endorse publically a stand that's suggested that stability in public funding for the university was a good thing and that the university intends to continue to be an accountable and good steward of public funds, but also because part of the commitment that NIU is putting forward as part of our compact with the state is that we will cap the rise on tuition and fees in a manner consistent with what we have done for the past five years in our effort to maintain the affordability and accessibility components of our mission.

Trustee Barsema added, first of all thank you Dr. Freeman for sharing this with us. It's great to see this. A couple of questions, one of the propositions is fifty percent of new freshmen will be from underserved populations defined as PELL eligible, etc. what percentage are we currently at? Is this a percentage that we have any chance at all of making?

President Freeman responded, we currently meet or even slightly exceed that percentage and we believe that's very consistent with NIU's mission and our historic contribution to the state's economy. We want to make it clear that we will continue to serve that very important group of students.

Trustee Barsema replied, great to see that they've got retention rate in there. That's awesome. That's a great goal for us to exceed so I like that. So what discussion has there been, if any, on if you don't achieve these? So if the legislative body in Springfield passes this which I don't know what the chances are of that, but if they do and we all agree to this whoever is a part of this pact, we're two years into it, what bad thing happens to us if we don't meet these numbers?

President Freeman commented, I could ask Matt to give a little more detail, but at the highest level I will say that this is an accountability and performance funding agreement with consequences for both parties.

Chief of Staff Streb added, if we were not to meet our specified goals, the state would be under no obligation to give us the 93 million dollars that is part of the compact. They are under no obligation to give us a single penny today, so that's always a possibility going forward that our budget would be cut. With this, if we meet our metrics, the compact is that we get a – at least – the 93 million that we proposed.

Trustee Barsema noted, I would assume or at least hope that they've discussed some sort of remediation period in which if you don't achieve these numbers you have some recourse to get above the line.

Chief of Staff Streb responded, President Freeman can talk about this a little bit more as well, but the idea of a compact between a university and a state is not, although we're very excited about this proposal, it's not unique to the state of Illinois. There are regular compacts between university's and other states.

President Freeman added, you know in New York within the SUNY system the idea of a compact was developed and executed and in any state a compact has as much philosophical and cultural importance as it does legislative importance because in fact as Dr. Streb said, not only is the state not obligated to fund us and we've seen that they actually will not fund us every once in a while, our budgeting process for the state is an annual budgeting process and we're asking them to make a multi-year commitment towards stability and we're making an ongoing commitment. One of the things that's very positive about the performance metrics that we have written into the NIU portion of this compact is they reflect our goals. They reflect our mission, the Board of Trustees priorities, the university's goals, things that we have committed to do and there are performance metrics that currently affect about half a percent of our appropriation that were imposed by the IBHE that do not anywhere nearly as well accommodate our specific mission and goals moving forward. So as we work legislatively and with the state agency that has authority over us to see if those should be revisited in a different time than the one in which they were developed, I think it behooves us to show that we're not afraid of making a commitment to our students and to the people of Illinois.

Chief of Staff Streb added, just to be clear, this would be a compact between Northern Illinois University and the State of Illinois. The University of Illinois system and the State of Illinois would have a separate

compact with separate metric. So I just want to be clear that while we're in the bill together with the U of I system, we're not entering into a compact or a relationship with the U of I system.

Trustee Barsema asked, are there any other state universities that are doing the same thing?

Chief of Staff Streb responded, we are the only two that are doing that, yes.

Trustee LaGioia asked, so just for clarification, this is essentially like two separate agreements?

Chief of Staff Streb responded, correct, two separate agreements in one bill.

Trustee Struthers asked, I know we're at 73 percent right now in the first year, goal of 75 which is great that we're getting a higher number there. The second year number I'm not familiar with that 50 percent. Where are we at today?

Chief of Staff Streb added, the six-year graduation rate right now for NIU is about 48 percent.

Trustee Struthers asked, when I picked up the second year I was thinking it was the sophomore to junior and I think well that's a super low number, and the 50 percent with respect to the underserved population is where we're kind of hovering there now. This isn't a change in strategy to have more or less, it's simply is very much a baseline to commit to the space we're at today?

President Freeman added, it's a commitment not to serve fewer than that percentage of students.

Trustee Struthers clarified, which is essentially where we're at today?

Trustee Butler noted, the point I want to make is just that you know this comes to the Board and we endorse it which I think we should and I think this is excellent work and this is a good direction for us to move in with the U of I. I also think it builds on the recent conclusion by the Brookings Institution that we are an institution that is both high research and provides social mobility opportunities for students from low income households. So I think this makes a lot of sense for us. But I have enough experience in the state capitol to know that pieces of legislation that we can lose some control over it. I am hoping that as this moves through the process and it becomes potentially closer to passage where it becomes more likely to be changed and amended, that if there's any substantial changes to it that you'll bring that to the Board as well.

Chair Coleman called for vote on the motion. Motion was approved.

Agenda Item 8.c.(2) – Request for New Degree Program – B.S. in Biomedical Engineering

President Freeman presented the next agenda item 8.c.2., which is the request for a new degree program; a Bachelor's of Science in Biomedical Engineering. She asked Executive Vice President and Provost Dr. Chris McCord to present this item to the Board.

Provost McCord began, we brought a significant number of curricular items to the Board in February. Before we felt comfortable bringing an item to the Board we had to secure all the on campus approvals. We just missed the deadline for bringing this to you at that point. But it is very valuable to us to keep this moving and be able to bring it to the state, the Illinois Board of Higher Education, in a timely fashion which is why we're taking the unusual step of bringing it directly to you here. Biomedical Engineering is a rapidly growing field. We have been serving that need through an emphasis within our existing degree in Electrical Engineering. We believe that there is great value in separating it out and clearly identifying the curriculum as a degree in Biomedical Engineering. Because it's an existing emphasis, we have virtually all of the infrastructure we need for the degree already in place. I believe one faculty hire is needed in the near future to fully develop the curriculum. So this will be a growth opportunity with a fairly modest investment in resources. We believe there's a strong demand, a growing demand, for this discipline. A strong opportunity for NIU to position itself well to be part of that growth and we see this, our Dean of Engineering

is a leader in this field himself. Well positioned to lead this forward. So we believe that this is a strong move our curriculum, a strong move for our Engineering program.

Trustee Butler added, I would just add that I'm very pleased to see this. I'm also pleased to see the speed at which it came to the Board. I was the Trustee who was volunteered to meet with the candidate at the time, the dean who is now responsible for this college and largely perhaps this proposal, and I wanted to just point out how pleased I am to see how quickly that manifested because we need to move this fast if we're to really add value to our curricular offerings in a nimble and quick fashion. So thank you for the effort and thank you for bringing this to us as quickly as you have.

Provost McCord noted, I will note that Dean Peterson indeed brought a lot of energy and enthusiasm, but I will also note that his energy and enthusiasm was matched by that of his colleagues in the department who saw the great opportunity and moved quickly to build this out.

President Freeman added, I would just like to thank the Board for being so open to taking a proposal at a meeting directly from the president to get it to move forward, and for the work that you've done to allow us just to keep the academic proposals moving quickly through our own processes. It's meant a lot to being competitive in the market.

Chair Coleman asked for a motion to approve this item. Trustee Struthers so moved and Trustee Butler seconded.

Trustee Barsema added, thank you for bringing this forward and I'm a big fan of doing things out of sequence if it makes sense. So that's awesome. I'm glad we could accommodate this. I'm really pleased to see us doing things like this and let me tie it back to something that the Board approved some months ago relative to out of state tuition and if we are going to be successful in recruiting students from other states, we need more programs like this where we become a leader in a specialty field. As important is English or Communications is to serving the Northern Illinois region which we do very, very well. And out of state student likely is not gonna come to NIU to major in communications. They have many communications programs in their own state. This is a program where they will come to NIU because we can be a leader in this field as well as a number of other fields. So I'm a big fan of trying to find other disciplines like this where as a university we can establish and put our flag in the ground and be a leader and recruit students from other states and I think a program like this will help us continue in that effort.

Chair Coleman called for a vote on the motion. Motion approved.

9. CHAIR'S REPORT NO. 83

Agenda Item 9.a. – Resolution Honoring Trustee Robert Boey

Chair Coleman began, at this point in time we will move to the Chair's report number 83. Agenda item 9.a. this is the resolution honoring Trustee Robert Boey. I will read the resolution from the podium. We will present the resolution and I will request that our secretary, Trustee Butler, join me as we present the resolution of appreciation to our Trustee Boey. We will open up the floor for Trustee Boey to make comments. Any comments are appropriate.

ROBERT T. BOEY DISTINGUISHED SERVICE TO THE BOARD OF TRUSTEES OF NORTHERN ILLINOIS UNIVERSITY

Whereas Robert T. Boey has faithfully served as a member of the Northern Illinois University Board of Trustees for 22 years, from the Board's founding in 1996 to 2018, making him the longest-serving NIU trustee; and

Whereas Robert T. Boey has been elected to serve as Board Chair for 2 one-year terms, from 1997 to 1999, and has provided strong guidance and wise council through virtually every major challenge and accomplishment of NIU for more than two decades; and

Whereas Robert T. Boey also served the Board in the positions of Vice Chair, Secretary, and 4th member of the Executive Committee as well as leadership appointments to virtually every Board committee; and

Whereas, during Robert T. Boey's service as a trustee, numerous projects that redefined NIU's physical presence benefited from his leadership and guidance, including the renovation of Altgeld Hall and construction of the Engineering Building, Barsema Hall, LaTourette Hall, the Latino Center, Campus Child Care, NIU-Naperville, the Convocation Center, the Barsema Alumni and Visitor's Center, the Northern View Community, and New Residence Hall; and

Whereas Robert T. Boey undertook the critical responsibility to co-chair two presidential search committees, one in 1999 to 2000 and another in 2012 to 2013; and

Whereas Robert T. Boey's service to NIU included longtime membership on the NIU Foundation Board, and both Robert and Doris Boey have been generous donors to the NIU Foundation, the Huskie Athletic Fund, the Kishwaukee College Foundation, and many other community causes; and

Whereas Robert and Doris Boey have been active members of the NIU community and avid supporters of NIU programming, including providing early support for NIU's engineering programs and hosting classes at American Bare Conductor in Sycamore, Illinois, and offering enthusiastic support of NIU's intercollegiate athletic teams; and

Whereas, celebrating their own heritage, Robert and Doris Boey have acted as mentors and advocates for Asian students at both Kishwaukee College and NIU, and have contributed to a strong community among Asian American students at NIU and collaboration with community partners to expand and enrich the mission of the NIU Asian American Resource Center; and

Whereas Robert T. Boey's community leadership included service on the boards of Kishwaukee Community Hospital, Kishwaukee Community College, First National Bank of Illinois, and the DeKalb County Economic Development Corporation; and

Whereas Robert T. Boey's founding and ownership of American Bare Conductor brought hundreds of jobs to the DeKalb-Sycamore area, and his commitment to his adopted hometown included service on numerous community work groups, including the City of DeKalb Planning Commission and the DeKalb Citizens' Community Enhancement Committee; and

Whereas Robert T. Boey's extensive community service earned him numerous awards, including the Humanitarian Award for Community Service and the Outstanding Volunteer Award from the NIU Foundation; the Clifford Danielson Outstanding Citizen Award from the Sycamore Chamber of Commerce; and the Friend of the Child Award from Community Coordinated Child Care;

Now, therefore be it resolved that the Board of Trustees of Northern Illinois University in formal meeting herein assembled extends its grateful appreciation to Robert T. Boey for his distinguished service to Northern Illinois University from 1996 through 2018.

Be it further resolved that this Resolution be presented to Robert T. Boey and a copy of this document be placed in the official files of the Board of Trustees as part of the permanent record of the university and the great State of Illinois and as a lasting tribute to the accomplishments of Robert T. Boey.

Adopted in a meeting assembled this *8th* day of *March, 2018*.

**BOARD OF TRUSTEES OF
NORTHERN ILLINOIS UNIVERSITY**

Wheeler G. Coleman

Chair

John R. Butler

Secretary

Chair Coleman continued, at this point in time we'd like Dr. Freeman, our acting president, to read a few statements.

President Freeman began, it is really a privilege to be acting as president to oversee this wonderful celebration of Bob and Doris Boey's contributions to the community and Bob Boey's contributions to the board. I will be reading statements submitted by former president LaTourette and former president Peters. But before I start that, I just want to say that it's been my honor to know Bob and Doris. When I came here to NIU in 2010 as the Vice President for Research in Graduate Studies, Bob was one of the first people to help me meet members of the business community, the economic development corporation and Bob and Doris both went out of their way not just to exhibit their connectedness, but also their kindness in making sure that me and my husband Doug were welcomed into the community, that we never had to sit or stand alone at an event in those early days and our friendship has only grown and it's of great value to me and I really appreciate it. I'm gonna start by reading the statement from former president LaTourette. "Recognizing the many unique ways in which my good friend and distinguished colleague, Bob Boey, has served Northern Illinois University over a period of almost 40 years, I join in his praise and extend my best wishes to him and his spouse Doris on the occasion from his retirement from the Board of Trustees. Back in the early 1980's, Bob came to my attention as a leading business man and engineer in DeKalb County and as a person who assumed major responsibilities in making the community a better place in which to live and to work. Let me take two book end experiences with Bob to illustrate how much he has contributed to the university and its development. In 1982 Dr. Anne Kaplan and I began to explore how we might transform the Department of Industrial Technology into a quality college of engineering and technology, an initiative which would parallel the acquisition and development of the Law School a few years earlier. We had analyzed the need for a college which would serve industry in Northern Illinois by drawing students from the region who would return to work in the region, unlike graduates of other engineering colleges who left the state yielding a much smaller return on the state's investment and their education. But there was one problem we could not solve, where would we house the college and provide the technical equipment required to support a quality program until we could build on our campus. Bob Boey provided us with a unique solution. The Anaconda Ericson plant in Sycamore had just been closed. Part of that operation was a separate engineering testing and research center of approximately 20,000 square feet with up to date technology. It was an ideal location for a new engineering college. Along with establishing a specialized copper manufacturing operation at the plant location, Bob Boey was given the authority to close the plant and to find a use for the technical center. Working with our staff, Bob came up with a solution that couldn't be beat. We could renovate the building for our educational needs and purchase the facility and its equipment for a little over a million dollars about \$50.00 a square foot. I am certain that without a place to house the college in a quality setting, we would not have been able to secure IBHE approval in January of 1985. Bob's collaboration with us in establishing engineering characterized his very supportive relationship with the university long before he became a member of its first Board of Trustees in 1996. In fact it was this long, productive relationship and his support for the university that made Bob a natural choice to be on the first board. At the other end of the spectrum, Bob successfully lead the presidential search in 1999/2000. A search for university president is a complex and challenging undertaking involving the board, alumni, staff and faculty and at some critical junctures the public and even public officials from the governor on down. Bob Boey quickly established a high degree of credibility with all involved. As the outgoing president I assume the time honored tradition of keeping a distance from the process, I did watch

however concerned about having a successful outcome. Bob worked through the process with the committee dealing effectively with the potential candidate with a great deal of influence, who was not acceptable and external overreach into the process and some unusual machinations that could have caused the search process to unravel. Speaking about the latter issue, our search consultant told me privately that in all his experience he had never seen such activity. Bob worked through all of this and we had the successful appointment of John Peters. There is much more to be said about Bob. He always gave his time freely to fully understand budget and capital development issues. He always reached out to help in any way the he could. Eddie Williams and I spent many productive hours with him at his office and I never left without feeling we had fully explored every aspect of an issue or problem. We became friends in the process and Lily and I and other NIU folks enjoyed Bob's special dinners at Chinese restaurants in DeKalb and in Chicago. Bob and Doris, I thank you for the way you helped NIU become a better place for learning and for research. You leave a challenging marker for all of us to follow in terms of leadership and commitment to excellence. Wishing you many more satisfying and productive years ahead. My best to you. John LaTourette, President Emeritus, Northern Illinois University." And going in chronological order I'll now continue with a letter from President Emeritus John Peters. "Dear Bob, Barbara and I regret that we will not be able to attend your last meeting today as a member of the Northern Illinois University Board of Trustees. While we cannot be there in person to congratulate you for your contributions to NIU as a board member, donor, and friend please know that we are thinking of you and Doris with great appreciation and affection on this occasion. This is a noteworthy day in the history of our great institution which was established in DeKalb over 100 years ago by the vision and foresight of a few exceptional community leaders who saw the need for a major institution of higher education in Northern Illinois. Over that last century a select number of exceptional individuals have made extraordinary contributions to our university to make it the great institution it is today. These institutions include distinguished faculty, university leaders, alumni, donors and community leaders. Bob, I believe your name must be included among these notables. The resolution presented to you today by the Board of Trustees chronicles in detail your many years of services and contributions to NIU. You are actively involved and encouraged many of the major NIU initiatives over the past 20 years including our status as an independent university with its own governing board. Your business acumen and financial background has always been invaluable and you are always committed to the welfare of our students and support for our faculty. You served as an important liaison between the university and the DeKalb-Sycamore communities and you and Doris were active supporters of our arts, athletics, and student activities particularly the Asian-American Resource Center and the Asian-American student community. On a personal note, I thank you for the support and encouragement you gave me during my tenure as president. As chair of the search committee that brought me to NIU I want to acknowledge the important role you played in educating me on the quality of the institution and the vitality of the DeKalb community. You were an advisor to me on every major initiative and issue during my presidency both the good and the challenging, offering suggestions and constructive criticism when needed. You are truly the president's trustee and I know that John LaTourette agrees with that characterization as well. Finally, Barbara and I wish to thank you and Doris for being such good friends. We fondly remember the many dinners and social occasions we shared. Those sumptuous Chinese New Year celebrations and your hospitality at your vacation home in Florida. Bob I will always remember the glorious summer evenings when we would get in a few holes of golf at your beloved Kishwaukee Country Club where we would always solve the problems of the university and the world. You have been a true friend. I believe Ralph Waldo Emerson's comment about friendship captures my view of our relationship: "The glory of friendship is not the outstretched hand, not the kindly smile, nor the joy of companionship; it is the spiritual inspiration that comes to one when you discover that someone else believes in you and is willing to trust you with a friendship". As you leave your service to NIU and the Board of Trustees today, Barbara and I extend our best wishes to you and Doris. We know that Doris, Chris, Valerie and your grandsons are very proud of you. You have left behind a notable legacy. All the best, John G. Peters, President Emeritus.

Chair Coleman responded, at this point in time we are going to take a motion from the trustees to accept the resolution. As you can tell, Trustee Boey has served us a very long time and as you heard from our former presidents he has touched a lot of lives and has been engaged in a lot of NIU activities and events and helped us get to where we are today and we're really appreciative of him. I have to tell you the

resolution font is really small based on the numerous accomplishments that he's been engaged in and there were so many more that we couldn't include, but you heard from the presidents, our former presidents, some of the additional work that he participated in and with his lovely wife supporting the community and NIU. At this point in time let's get a motion, a motion from the floor.

Trustee Barsema so moved and Trustee Butler seconded the motion.

Chair Coleman added, before we vote on the motion I'd like to open up the floor for any comments from the fellow trustees.

Trustee Struthers began, Bob and Doris and their family have been a stable at the university on so many fronts and the greater community. Both LaTourette's comments and President Peters, I can envision seeing them together in so many places. I'm surprised that President Peters just mentioned occasional golf in the twilight. I think they were a staple on Saturday mornings for many years at Kishwaukee Country Club and a great thing to see. When I think about you and people like Bob and as you mention, the founders of the university and the communities going back and I can't help but wonder are those folks around in the future. Are there Bob Boey's 20 years down the road who will make someplace a home and invest in a place for 20 and 30 and 40 years. I hope so. I have a few comments that I had passed along in a narrative that was published on Bob. It made me reflect a bit, but I had met him some 33 years ago. and I said to him surely Doris and Bob I did the math and I was 22 at the time, and Bob stood out very much from the crowd. He was a very successful business man, a world traveler, cultured, and a connoisseur of food like I've never seen and surely had never seen at 22. For those of you who have eaten with Bob lunch, dinner, or a snack, coffee or tea or whatever; it's an experience right. Great exposure for me and advanced me early in my career. He is highly devoted to his wife Doris and the two children, Christopher and Valerie. Highly committed, he was involved in advancing the community at a level that was second to none. He was instrumental as cited early in the creation of the DeKalb County Economic Development Corporation, and I think back to the beginning of the Engineering School as well, the asset that Bob and his company had in Sycamore has been a real linchpin in the development of this greater community, and I think of the size and the impact that DeKalb County Economic Development Corporation has today and Bob was absolutely at the root of that and surely the Engineering School as well. His devotion to NIU is staggering. Over his 22 year commitment it's kind of hard to get your mind wrapped around how much time that is. It's really, really impressive. My wild guess of what that time commitment would tally up to be; so I kind of think about what time we put into it on a weekly basis and just do the math around his time, I get to 12,000 hours which is essentially six years, equivalent of a full time job. This of course is in context to running a pretty sizable business, traveling, being devoted again as a highly committed husband and father and then serving on a multiple of other boards. I mean just tireless, tireless work, and just done with such grace and class throughout all of that. Bob was never you know kind of riled up, never seemed to be flattered about any situation. So he will be missed from this board that's for certain. We expect Bob and Doris will continue to engage in lots of ways in the community for decades to come and your legacy will not be forgotten. We thank you very much.

Trustee Butler began, thank you. I have not had the amount of time and experience on other boards that Tim has had and others have had with Bob, but I have served on this board with Bob for ten years. It's a long time for me. As you leave I'll be the senior member of the board and that just even seem possible to me. Melanie Magara and I, who I believe is here somewhere, she and I worked on this resolution with the help of President Freeman and Matt Streb and the other members of the board as we circulated this as these are items that I've worked on in the past for other trustees, it's quite a process and it was very challenging to limit because there's only so much space that we, well we could have made it bigger and bigger, but at some point it had to conform to the standard size for board resolutions. So we had to narrow down and it was very challenging, but also in the process I learned a lot about Bob, things I didn't know and I think that's been characteristic of my lengthy time serving on this board with Bob is learning about him. Almost immediately, Bob invited me to dinner when I got on the board and we met at the Kishwaukee Country Club and we had a very frank and clear discussion about my expectations and his view of my expectations. Bob is a very direct person. It's not difficult to learn what Bob thinks, you just have to ask

him. That is somewhat contrasted with his public persona where he takes the role of a trustee. He understands the august nature of being a trustee. He indeed conveys a level of grace and class that I was fortunate to be able to observe for the first two or three years as a board member. I tend to look to other members of bodies that I sit on to figure out so how do we function around here and Bob really was an exemplar for me because I was able to watch him and learn sort of what's worth fighting for, why do we need to maybe hold back on some things because some things may become more important later. And I learned a lot just by watching Bob. It was an experience. Over the years he won't be surprised to hear me say we've been on the same side and sometimes we've been on opposite sides of issues, but I've always had a deep respect for Bob's wisdom and his experience and what he brought to the board. It was worth spending some time thinking carefully about what do you think and why do you think it. It was a pleasure serving with you. I'm going to let me colleagues speak, but it's been a pleasure. I've learned a lot from you. Thank you.

Trustee Barsema continued, well Bob it goes without saying the board is weakened without your presence on going forward. I just am thankful that the students were here to hear the comments from Jerry Smith so that they can begin to get a little bit of appreciation for what you've done for NIU and what you've done in your career. I only wish the students were here right now to hear the testimony that's been said not only in the resolution, but also the comments. Because people like you and Doris are what the students need to see in terms of role models and how not only what to attain in life, but how to attain it in life, because there's various ways we can all get to whatever levels of achievement that we attain and both you and Doris have gone to those levels that you're at now and as Tim said you still have more levels to climb. But you've done it with such grace and class and integrity, transparency. You have been great role models for all of us, but in particular the NIU students. You and Doris clearly have been and continue to be the king and queen of NIU and you know when you think of you, you think of NIU and we're not your alma mater. I mean that's how wonderful of a friend and mentor you've been to the university and it truly is I hope one day we get the opportunity to write a book about it. I too have known Bob a long time, 18 years, and Bob and Doris have took us in as they have as you heard from Dr. Freeman and so many, they took us in a well when we first arrived back on campus. We too have been treated to the Chinese dinners as well, but they also took our son in as well and the number of dinners that you had with Jason and Ashley you know and this is just out of the kindness of their heart to do that. So not only what you've for myself and Stacy, but what you've done for the Barsema family, so thank you so much. I just think that the world needs more guys like you. The world just needs more people like you and you have done just such wonderful work for us and the great news is we're still going to have you here and we're still going to lean on you and just thank you for all that you've done and I give kudos to Wheeler for having to read the world's longest resolution. There will never be a resolution that long that somebody had to read and you did an excellent job in reading about the career of an excellent man. Thank you Bob and thank you Doris for being the king and queen of NIU. I appreciate it.

Trustee LaGioia continued, my time on the board was very short. I've barely been on here for a year, but I can say that I did learn a lot from you. I'll never forget in my first trustee meeting that we had we were discussion a particular issue and everyone was kind of in agreement on it, but Bob just came in and brought it from a completely different angle and I was like how did he even think of that. What am I getting myself into? So that was just very funny from that regard and from that I've continued to keep learning. It actually kind of amazes me that you've been on the board for as long as I've been alive. That's just one point that I thought was very funny, but I did want to thank you for all the different halls and everything that you've brought to the university that I've gotten to enjoy today. So thank you for doing that and thank you for your service.

Chair Coleman added, you really brought it home. I'm going to say a few words and then we're going to take a vote and then we'll present the resolution to our trustee. First of all, it's not every day that we say goodbye to a trustee. It's not every day we say goodbye to a committed trustee who have served us really, really well. Bob when I think of you, I think of a few words; principle, driven. You make decisions based on principle and what's right for the universe or what's right for the university as a whole. You also serve

and carry yourself with a great amount of dignity and pride. You're truly a role model. Seven years ago I was introduced to you when I was appointed to the board. I have to say that I took my lead from you. I appreciate how you put your arms around me and said let me show you how we do this, and let me show you how we operate here at NIU. I appreciate that and I appreciate the love and the commitment and the support that both Doris and yourself have provided to me as well as my wife. With that stated, let's take a vote on the resolution. All those in favor say aye.

Chair Coleman continued, the resolution has passed. At this point in time Trustee Butler, our secretary, I'd like him to join me as we present the resolution to Trustee. Trustee Boey, thank you for all you have done for us and NIU and the greater community. We honor you today. It's a special day. I thank you for 22 years of outstanding service. We appreciate you.

Trustee Boey received his resolution and commented, thank you for all those wonderful words that you all said. I'm touched. It didn't feel like 22 years, but there have been times it felt longer, much longer. But anyway, I'd like to recognize my wife Doris who has always been, will you stand up so people can see you? She has been on the receiving end of my good days and bad days at NIU. Thank you for all the help you have been to me. That's wonderful. I'd like to thank everyone here and want you to know that it's very hard for me to step away from this labor of love. But the time is right and know that I'm leaving NIU in good hands, all your good hands. It's been an honor and a pleasure to serve this great university and I will always look back on my time on this board as a very rewarding experience. I'm so proud to have played a part in the great story that is Northern Illinois University. Good luck and best wishes and go Huskies! Thank you.

Ms. Doris Boey added, well may I have the opportunity to say thank you so much to the board. I really am deeply touched. He's worked with these fine people, great leadership, very impressive group. Thank you so much for those wonderful words. It's hard to keep a dry eye, really, thank you. I love this man and it's actually over – it's 56 years. We've been married 56 years.

Chair Coleman added, we have a special gift for our trustee and Trustee Butler will explain the gift.

Trustee Butler noted, Bob, this is a unique picture of Altgeld Hall that the president's office was able to find and we were able to get a print of it and then we framed it and there's an inscription underneath it. It says "To Trustee Robert T. Boey for your wisdom, kindness and dedication. Northern Illinois University. March 8, 2018."

Trustee Boey responded, how nice. Thank you so much. That's beautiful. Again, thank you so much for all those kind words. Can I change my mind now? It's been a wonderful experience. Thank you all again one more time.

Trustee Coleman added, we're going to miss you Bob and Doris. We know that we'll see you around the community and we know that you're going to continue to support NIU. Thanks again for all that you've done. First of all, I also want to pause and thank our secretary, Trustee Butler, for all the work that he did in terms of helping pull together that resolution. I know it was a lot longer initially and you did a fabulous job pulling that together along with the administrative staff here and executive team here at NIU and so we appreciate your support for that. Trust me, it is truly appreciated.

10. OTHER MATTERS

No other matters were discussed.

11. NEXT MEETING DATE

The next Board of Trustees meeting will be held on June 14, 2018.

12. CLOSED SESSION

Chair Coleman asked for a motion to close the public meeting to conduct an executive session to discuss the following subjects authorized by the Illinois Open Meetings Act, as amended: Personnel Matters as generally describe under Sections 2(c)(1)(2)(3) and (21); Collective Bargaining matters as generally described under Section 2(c)(2); Litigation and Risk Management matters as generally described under Sections 2(c)(11) and (12); Closed Session Minutes matters as generally described under Section 2(c)(21) of the Open Meetings Act; Student Disciplinary Cases, as generally described under Section 2(C)(9) of the Open Meetings Act.

Trustee Barsema so moved and Trustee Struthers seconded. Chair Coleman asked for a roll call vote to close the public meeting.

Trustee Robert Boey: Yes	Trustee John Butler: Yes
Trustee Veronica Herrero: Absent	Trustee Giuseppe LaGioia: Yes
Trustee Tim Struthers: Yes	Trustee Eric Wasowicz: Absent
Vice Chair Dennis Barsema: Yes	Board Chair Wheeler Coleman: Yes

The meeting is now closed and will re-open at the conclusion of the closed. The Board adjourned for closed session at 12:07 p.m.

Reconvene Meeting:

The Board of Trustees of Northern Illinois University reconvened on March 8, 2018 at 2:39 p.m. Chair Coleman announced they were reconvening after the closed session and asked for a roll call.

Present: Trustees Dennis Barsema, John Butler, Giuseppe LaGioia, Tim Struthers, Wheeler Coleman
Absent: Trustees Robert Boey, Veronica Herrero, Eric Wasowicz

13. ADJOURNMENT

Chair Coleman asked for a motion to adjourn. Trustee Barsema so moved and Trustee Butler seconded. The motion was approved. Meeting adjourned at 2:40 p.m.

Respectfully submitted,

Kathy Carey
Recording Secretary

In compliance with Illinois Open Meetings Act 5 ILCS 120/1, et seq, a verbatim record of all Northern Illinois University Board of Trustees meetings is maintained by the Board Recording Secretary and is available for review upon request. The minutes contained herein represent a true and accurate summary of the Board proceedings.

Minutes of the
NIU Board of Trustees
Of Northern Illinois University
Special Meeting
May 10, 2018

1. CALL TO ORDER AND ROLL CALL

The meeting was called to order at 12:06 p.m. by Vice Chair Barsema in the Board of Trustees Room, 315 Altgeld Hall. Recording Secretary Kathleen Carey conducted a roll call. Members present were Vice Chair Dennis Barsema, and Trustees Veronica Herrero, Giuseppe LaGioia, Tim Struthers, Eric Wasowicz. Attending remotely: Trustee John Butler. Members absent Chair Wheeler Coleman. Also present Acting President Lisa Freeman, Board Liaison Matt Streb; Acting General Counsel Greg Brady; Acting Executive Vice President and Provost Chris McCord; Vice President of Administration and Finance Sarah McGill. UAC representatives Linda Saborio, Therese Arado, Barbara Andre, and Kendall Thu.

2. VERIFICATION OF QUORUM AND APPROPRIATE NOTICE OF PUBLIC MEETING

Acting General Counsel Greg Brady confirmed that the committee had reached a physically present quorum and that there was appropriate notifications from the university to hold the public meeting. He continued, and it is my understanding that Trustee Butler is available by phone and under the Open Meetings Act and the Board bylaws, the Board can allow Trustee Butler to attend this meeting by audio, but we need a motion and a second and a vote on that. Trustee Butler's absence today is because of his employment and purposes which is an allowable reason under the Open Meetings Act and the Board bylaws.

Vice Chair Barsema called for a motion to approve Trustee John Butler to participate in the meeting via audio conference, as he was unable to be physically present today due to employment purposes. General Counsel Brady verified that Trustee Butler was away on a business purpose. Trustee Wasowicz made a motion and Trustee LaGioia seconded. The motion passed.

3. MEETING AGENDA APPROVAL

Vice Chair Barsema asked for a motion to approve the meeting agenda. Trustee Wasowicz so moved and Trustee Herrero seconded. The motion passed.

4. REVIEW AND APPROVAL OF MINUTES OF DECEMBER 7, 2017 AND FEBRUARY 15, 2018

Vice Chair Barsema asked for a motion to approve the minutes of December 7, 2017 and indicated these minutes are up for approval under the rule that the Board may approve minutes within two meetings following the actual meeting. Trustee Wasowicz so moved and Trustee LaGioia seconded. The motion passed.

Vice Chair Barsema asked for a motion to approve the minute of February 15, 2018. Trustee Wasowicz so moved and Trustee Herrero seconded. The motion passed.

5. CHAIR'S COMMENTS/ANNOUNCEMENTS

Vice Chair Barsema asked if there were representatives from the UAC who would like to comment.

Barbara Andree began, I am the president of the Operating Staff Council, and so I am part of the UAC representatives. First I would like to voice a concern that I and others have encountered regarding the

treatment of some employees who are being laid off or displaced either because of college budgetary issues or because the closure of the bookstore. Several employees, people whose lives have been turned upside down have told me that getting timely responses and information from Human Resources has been very frustrating. It is a minority of people, but it has been expressed to me. We hope all employees received thoughtful and compassionate treatment as quickly as possible to reduce their stress and uncertainty. Secondly, needless to say, it is a very important day for a large portion of the Civil Service employees in Local 1890. It has been a long, winding, and sometimes bumpy road getting to this day. We are pleased that our initial bargaining agreement is on your agenda today for your consideration and approval. The bargaining unit owes a huge thank you to our unit's negotiators and the Sara Dorner the AFSCME representative of Council 31 who is in attendance today. We hope going forward that all union locals on campus will be given the respect and consideration that they deserve and that they earn every day at NIU. Thank you for your thoughtful review and action to provide the protections and the structures that both the employer and the employee deserve. Thank you very much.

Vice Chair Barsema began, at this point, my comments will center around Kathleen Carey because this is her last meeting. She will be retiring on June 1st after 32 years here with NIU. For at least for the last year plus that I have been on the Board of Trustees, Kathleen has supported the Board of Trustees as well as supporting the Chief of Staff, Matt Streb, but no matter what job she has held here within the university she has always done it with great class and with great expertise. Therefore, Kathleen we will miss you very much. Thank you for all of the work that you have done for us and we wish you the best in your retirement. Whoever steps into your shoes will have big shoes to fill. Thank you.

6. PUBLIC COMMENT

Acting General Counsel Brady indicated there were two individuals registered for public comment. Mr. Van Burer had registered earlier but was not present to speak.

James Lawson: I'm and NIU employee in Undergraduate Admissions Processing. I felt compelled to use my lunch hour today to come comment on the collective bargaining agreement for AFSCME Local 1890 that's before the board today. I don't expect you all to do anything but approve it, but I would actually encourage you to vote to postpone the vote so that you can investigate some of the things that have happened in regard to what has been put before the bargaining unit and what the options of employees were in terms of thinking about this contract and being able to see it. I've worked here for almost a year and in that time I've never been contacted by AFSCME about membership or about anything related to their status as my exclusive bargaining agent. Recently I received an e-mail from HR telling me about a tentative agreement and contract information and if I had questions. So I sent an e-mail and I received a nice reply that said they would get back to me later in that afternoon and never heard anything. Someone else in my office got a nasty reply saying they were insulted because she had said she didn't think the deal was a good deal. I don't know why you would want to be a union representative who represents the workers if the workers can't tell you they think that it's a bad deal. What do we know about this deal? Well we know the workers in the bargaining unit many of them haven't had a raise for a long, long time and at the same time a different AFSCME union for food workers on campus had negotiated a nice set of raises after six months, a year, three years, so that you could move as a maid from \$9.25 when you started to \$15.29 after four years. A commitment to getting better at your job meant that you might be able to make a little bit more money and that sounded great. My position as I understand it started at \$12.48 an hour and that's where it remains today. I heard about many workers who have been here five, seven, nine years and hadn't had a raise. So the workers who choose to organize believed they couldn't do any worse by seeking help with the university administration that seemed to only give raises to unionized employees and leadership level executives. We now know believing AFSME was a grave mistake for members of the union and the non-members of the bargaining unit who are now collateral damage of their decisions. We know that by approving this contract the Board of Trustees will be approving a 1.2 to 1.5 million dollar transfer of wealth from its underpaid employees to the union that negotiated this travesty. I said 1.2 to 1.5 million because we don't know how many members have actually signed cards. In fact, some of the people

thought they were members in my department, showed up to vote and were told they weren't on the rolls so they didn't even get to vote. We don't know how many members actually voted in the election. We don't know what the tally was. All inquiries to the union, by many different people, have gone unanswered. Because of the high turnover in some positions covered by this bargaining unit, I'm now the second longest serving person in my position after only a year, and three people have been hired since I started. It's completely conceivable that fewer than half of the 580 members of this unit are actually union members or actually wished have AFSCME as their exclusive bargaining agent. We know the only opportunity voters had to review the contract was in the room, the day of the voting, when it took place in the presence of union representatives who were explaining the benefits of the deal as a limited number of copies were available for perusal. These copies could not be removed from the room we were told because it was only a draft. Well that language is exactly what you all are approving today. I don't know what the secrecy was, but I know that that room was loud, public, people were not allowed to see the contract, discuss it with their families. These are important decisions. Should I vote for this five year deal or should I not? Maybe you want to discuss that with your husband or wife. We don't know what the effect of making the agreement in public in time to allow people to discuss such an important decision with their families and co-workers would have been. We do know the union was unwilling to afford us that courtesy. Teachers and others I've spoken to in regard to this lack of openness say they never had fewer than a couple of days at least to look over a contract and discuss it before they voted on it. We think we know, I have yet to see it writing, that dues will be \$43.83 a month. \$525 per year for members and around eighty percent of that for non-members for so called fair share fees. So that means anyone hired after January 1, 2017 not eligible to receive the three percent raised from President Freeman, will actually take a pay cut this year. It will small because of the \$500 bonus, but anybody who starts tomorrow or the next day or the next day and isn't eligible for that bonus, is now taking a pay cut. Well I'm eligible for my first three percent raise on July 1, 2019, a union member will make, when I'm eligible, a union member will make \$204 more per year after dues are considered. \$393 a week assuming dues don't go up. We know this deal does nothing to change the base hourly rates of any of the positions covered in the bargaining unit. Anyone lucky enough to start tomorrow will make \$43.83 less a month than they would have without a union. Anyone unlucky enough to start July 2, 2019 will make a least, depending on whether dues go up, \$43.83 per week less than they would have without a union and will be guaranteed only one three percent raise during the duration of the contract. We know that most of this contract is a recapitulation of already existing Civil Service protections or existing university policy; and in some cases is worse. For example, current university regulations give an employee forty days to file a grievance. This contract cuts it to ten. Finally, we don't know what the long term effects of this agreement will be. There's already a significant amount of mistrust between employees who support the union and those who don't understand how they could have been betrayed. We don't know if crushing this bargaining unit's hopes that a collective bargaining would put its wages in line with cost of living and peer institution comparisons will also crush the loyalty to NIU that so many of the long term employees have shown to this institution. We don't know if NIU will continue to get by amid high turnover and poorly paid position or if we are witnessing the long, slow death of a once proud institution. Look around DeKalb. It's in decline. Who would want to start a business here when the institution that employs most of its residents doesn't pay those employees enough to go out to a restaurant or a movie or to see music, or to buy anything except the essentials? If you want a better future you need to leave DeKalb. That's what people have learned and it's slowly sinking in to the many of us that had hoped we could make this place our home for many years. We know that parents and perspective students visit DeKalb and end up on campus and they see these workers who are part of the bargaining unit and they're the ones that interact with on a face-to-face basis every day. We do our best to try to make NIU an appealing and exciting place for their perspective students and I hope that one day we can be rewarded accordingly and I thank you all for your time.

7. PRESIDENT'S REPORT 122

Vice Chair Barsema indicated that first item we will discuss agenda item 7.a.1 which is the Collective Bargaining Agreement for AFSCME Local 1890 and turned the microphone over to President Freeman.

Items Directly from the President

Agenda Item 7.a.1. Collective Bargaining Agreement for AFSCME 1890

Acting President Freeman presented Agenda Item 7.a. Northern Illinois University respects all of its employees and respects the right of those employees to organize. We negotiate with seventeen collective bargaining organizations representing different employee groups. Salary increases and other terms of conditions of employment for bargaining unit members are subject to negotiation and final agreements are submitted for approval to the Board of Trustees. As we've heard, a number of times this morning the AFSCME Local 1890 Council 31 representing approximately 580 employees in clerical, paraprofessional, technical and administrative roles as Civil Service classifications across the university have, after prolonged negotiations, reached complete initial agreement covering wages, hours and conditions of employment. That agreement has been ratified and the tentative agreement is presented for Board action today. This is a five-year agreement with an effective date of approval by the NIU Board of Trustees and an expiration date in June of 2023. The contract has been provided. In terms of our recommendation, the terms and conditions of this agreement are consistent with university policies and guidelines. The Academic Affairs, Student Affairs and Personnel Committee earlier this morning endorsed this collective bargaining agreement and asked that the president should forward it by means of the president's report to the Board of Trustees for approval at this meeting. The university is recommending approval of this agreement and I know we have a number of 1890 members who have come to this meeting to see this vote and I would ask them to stand so that we could recognize their presence.

Vice Chair Barsema called for a motion to approve. Trustee Wasowicz so moved and Trustee Herrero seconded. The motion passed.

Agenda Item 7.a.2. Collective Bargaining Agreement for MAP 291

Acting President Freeman continued to Agenda Item 7.a.2., a collective bargaining agreement that was discussed this morning in the Academic Affairs, Student Affairs and Personnel Committee. It is Agreement for Metropolitan Alliance of Police, AFSCME Local 292 representing approximately 30 employees in the police officer's Civil Service classification. It is a five-year agreement effective July 1, 2016 with an expiration date of June 30, 2021. The Committee earlier today endorsed this collective bargaining agreement and asked the president to forward it by means of this report to the Board for approval at this meeting and the university recommends approval of the agreement.

Vice Chair Barsema called for a motion to approve. Trustee Struthers so moved and Trustee Herrero seconded. The motion passed.

Agenda Item 7.a.3. Request for a New Degree Program – B.S. in Mechatronics Engineering

Acting President Freeman indicated that the final action item for this morning, agenda item 7.a.3. was discussed in Academic Affairs, Student Affairs and Personnel Committee this morning. At that point the recommendation was to bring the item forward to the June meeting, but we are taking it up today to advantage the proposal and to facilitate or accelerate approval by the IBHE. There is a cue for proposal approval at the Higher Ed Board and by getting approval today, we may be able to move this important degree request forward. The request is for a new degree program, a Bachelor's of Science in Mechatronics Engineering. It was discussed, the content were discussed in detail this morning. The Academic Affairs, Student Affairs and Personnel Committee endorse the collective bargaining agreement, asked that it be forwarded by means of the president's report to the Board of Trustees. We are asking for approval at this meeting. The university is enthusiastic in this recommendation.

Vice Chair Barsema called for a motion to approve. Trustee Wasowicz so moved and Trustee Herrero seconded. The motion passed.

8. OTHER MATTERS

No other matters were discussed.

9. NEXT MEETING

The next meeting of the Board of Trustees is scheduled for June 14 beginning at 9 a.m.

10. CLOSED SESSION

Vice Chair Barsema stated there would be no close session today.

11. ADJOURNMENT

Vice Chair Barsema asked for a motion to adjourn. Trustee Struthers so moved and Trustee Wasowicz seconded. The motion passed. Meeting adjourned at 12:29 p.m.

Respectfully submitted,

Kathleen Carey
Recording Secretary

In compliance with Illinois Open Meetings Act 5 ILCS 120/1, et seq, a verbatim record of all Northern Illinois University Board of Trustees meetings is maintained by the Board Recording Secretary and is available for review upon request. The minutes contained herein represent a true and accurate summary of the Board proceedings.

REPORTS OF BOARD COMMITTEES AND BOARD LIAISONS

a. Academic Affairs, Student Affairs and Personnel Committee (AASAP)

The Academic Affairs, Student Affairs and Personnel Committee met on May 10, 2018. The Committee discussed and approved nine items including; collective bargaining agreements with AFSCME 1890 and MAP 291; request to transition tenure homes; requests for new degree programs, M.S. in Data Analytics, B.S. in English, B.S. in Mechatronics Engineering; request for deletion of emphases with Electrical Engineering; request for deletion of specialization in Art Education; request for deletion of center status. Additionally, the committee received two informational items; recommendation for faculty tenure and promotion 2018-2019; and the English oral proficiency annual report.

b. Finance, Audit, Compliance, Facilities, and Operations Committee (FACFO)

The Finance, Audit, Compliance, Facilities and Operations Committee met on May 10, 2018. The meeting format was revised to allow University Financial Update items to be presented at the start of the meeting. The two items presented were the Quarterly Financial Summary and the FY19 Internal Budget. The committee then approved 9 action items including 4 items for the Division of Information Technology (DoIT) – FY19-21 Renewal of OnBase Software Maintenance, FY19-21 Blackboard Software License, DoIT Network Access and Security Refresh and Upgrade, and DoIT Wireless Network Expansion and Upgrade-Phase 2. They also approved two Intercollegiate Athletics items, which included the Secondary Student Health Insurance Contract Renewal and the Air Charter Services for the Football Team. The Mass Transportation Inter-Governmental Agreement Approval item as well as FY19 Campus Busing System Contract Extension items were also approved. Approval was also received for the FY19 NIU Foundation Professional Services Contract. Additionally, the committee received 5 information items, which included the Periodic Report on Investments, the Quarterly Summary Report of Transactions in Excess of \$100,000, and the Semi-Annual Progress Report of Active Capital Projects. Presentations were provided for the Holmes Student Center Bookstore Agreement as well as a Facilities Update.

c. Illinois Board of Higher Education

The State of Illinois Board of Higher Education (IBHE) met on June 5, 2018 at the University Center of Lake County Grayslake, IL. The Board received a report from the Faculty Advisory Committee; approved resolutions honoring the outgoing student members of the Board; and received presentations from Nyle Robinson and Jaimee Ray. Mr. Robinson gave three presentations on the Public University Funding Formula; Higher Education Statewide Capital Priorities and Policies; and Fiscal Year 2019 Appropriations for Higher Education General Assembly Action. Ms. Ray gave a presentation on the Legislative Update.

Actions taken at the Board meeting included consideration and approval of new units of instruction at public community colleges; new operating and/or degree granting authority for independent institutions; and new units of instruction, public service, and research at public universities. NIU had three new degree programs approved: the B.S. in Sport Management, the M.S. in Digital Marketing, and the M.S. in Health Sciences.

Other actions taken by the Board included approval of the Diversifying Faculty in Illinois Program Year 2019 Grant Allocation and approval of the Consent Agenda containing: Board Meeting Minutes from March 13, 2018; Semi-Annual Review of Executive Session Minutes and Destruction of

Verbatim Recordings Pursuant to Section 2.06(d) of the Open Meetings Act; Fiscal Year 2018 Financial Report as of April 30, 2018; Appropriation Transfer for Fiscal Year 2018 – Eastern Illinois University; Illinois Cooperative Work Study Fiscal Year 2019 Application and Allocation; Proposed Repeal of Rule: Engineering Grant Program; Proposed Amendments to Personnel Policies for the Board of Higher Education; and Adoption of Transitional Math Competencies and Policies.

The Board tabled the consideration and approval of the Recommendation of Hearing Officer: Possible Revocation of Operating and Degree Granting Authority – Northwest Suburban College of Basic and Allied Health Sciences.

The Board then went into Executive Session to consider the approval of the Employment Agreement for the Executive Director and other litigation pursuant to Sections 2(c)(1) and 2(c)(11) of the Open Meetings Act.

The next meeting of the IBHE is scheduled for August 2, 2018 in Springfield, IL.

d. Northern Illinois University Foundation

Fundraising: Through ten months of the year, fundraising is at \$9,991,162 raised against the goal of \$17.5 million. The overall fundraising focus continues to be scholarships, though staff – in partnership with Deans – are also working in a focused fashion to increase unrestricted and college-level discretionary dollars as well. To help in fundraising endeavors, the Foundation has engaged a communications firm (Tom, Dick and Harry) to help underpin messaging and aggressively promote fundraising for scholarships. The communication framework will be scheduled to roll out in August. The Foundation has set a working goal of \$50 million in scholarships (over the next 3 years), which will become part of a comprehensive campaign goal once NIU's permanent president is in place. We believe this is the proper strategy based on what NIU needs most, and keeps momentum high while a presidential search is underway.

To focus on the need for scholarship support and also to prepare for an eventual university-wide campaign, the Foundation continues its efforts to aggressively engage alumni, donors and friends locally and throughout the country with "Blitz" activities. Several alumni have hosted very productive cultivation events in their homes locally. Foundation and University Leadership (President Freeman) have traveled to Phoenix, Washington D.C., Denver, and San Francisco in the past months, and will be engaged in a robust calendar of events in private homes and clubs throughout the summer months in the Chicago area.

- Upcoming important events:
 - The Foundation's annual Distinguished Donor Event will be held on Thursday, July 12 at the Morton Arboretum.
 - The annual Red & Black event is scheduled for Saturday, November 17, and will be held at the Renaissance Schaumburg. The gala will celebrate scholarships and those who make them possible. An award for Visionary Leadership will be given to Jerry Reinsdorf of the Chicago Bulls and Chicago White Sox, in recognition of his leadership and commitment to ensuring quality education throughout the Chicagoland area.

e. Northern Illinois University Alumni Association

Programs: The Alumni Travel program continues to perform well. In FY18, 134 alumni traveled on the seven offered trips. Many were repeat travelers. Trips were to Belize, Eastern Europe (river cruise), Paris, Morocco, Italy, Australia and America's National Parks. FY19 will include trips to Iceland, French Canada, Panama, Rome, Southern France, and Berlin.

NIU Nexus, the alumni volunteer program, has grown since its launch in April. With 574 alumni volunteer opportunities filled by 502 unique alumni volunteers, it has grown into a major avenue for engagement for NIU alumni. Volunteers were utilized by many campus partners, including the Honors program, Enrollment Management, Mock Trial, the NIU Foundation, and various academic units. Events that utilized alumni volunteers included Undergraduate Research and Artistry Day, NIU Cares Day, Admitted Students Day, and Giving Tuesday. We anticipate continued growth with this program, and look forward to enhanced relationships with campus partners.

The NIUAA and NIU Foundation hosted many alumni and donor events. There were 77 events in 12 different markets executed in FY18, including locations all around the city of Chicago. In the coming year, we will continue to focus primarily on the Chicago, Rockford, and DeKalb/Sycamore areas, but also host events in Milwaukee, Washington DC, Los Angeles, San Francisco, Denver, San Diego, Florida, and others.

Alumni advocacy efforts have moved forward. An NIU Caucus started to form organically in the state legislature and was aided by NIU and the NIUAA. We have more than 20 members, including every elected alumnus.

At the recent June 2 board meeting, the NIUAA elected four new members to replace those whose terms were expiring. Combined with two mid-term appointments, the 6-person cohort improves geographic, academic, professional, and ethnic diversity of the board, with an excellent collection of skill sets that will help move the board forward.

Commencement brought a new opportunity to the NIUAA. Along with a half-page congratulatory ad placed in The Northern Star, the alumni association had a presence at Cap & Gown Pickup. Graduating seniors were given a license plate frame in exchange for their contact information post-graduation. A leader from the alumni board also had the opportunity to address the graduates at each ceremony, and welcome them to the alumni association.

f. Northern Illinois Research Foundation

The Audit Committee and Board of Directors of the Northern Illinois Research Foundation held their quarterly meeting March 19, 2018. The Audit Committee discussed the NIRF and EIGERlab quarterly reports and approved the external auditor's engagement letter for the upcoming audit. The Board was introduced to Dr. Stacy Kelly, Dr. Sean Tikkun, and Rosarin Adulseranee and listened to a presentation on the researcher's patent pending Unified English Braille Online Training tool. The Board also received an update from EIGERlab staff and was presented a brief update on the NIRF-Experiential Learning Center project. The Audit Committee and the NIU Office of Innovation both gave the Board quarterly reports.

**MASS TRANSPORTATION
INTER-GOVERNMENTAL AGREEMENT APPROVAL**

Summary: Northern Illinois University, as a member of the DeKalb-Sycamore Area Transportation Study (DSATS) planning organization, has been participating in the development of a strategy to transform mass transportation support for the community. Stemming from a recommendation identified in the most recent DSATS Transportation Development Plan, the University's and local community's mass transit needs would be best provided under a consolidated operation with the City of DeKalb. To facilitate this arrangement, an inter-governmental agreement between the University and the City of DeKalb is required.

Background: The 2017-2022 DeKalb Region Transit Development Plan (TDP) is a long term planning document developed through the analysis of current mass transit operations provided by both the University and the City of DeKalb. Improvement recommendations were developed by the planning effort to address efficiency, quality, and options for expanding services. A significant recommendation was the concept of utilizing the City of DeKalb to provide mass transit services for the University. A major advantage of this approach would allow for leveraging federal and state grant funding intended to support municipal mass transit operations. These grants require a certain amount of matching funds in order to utilize the funding. Currently, the community is not able to utilize the full amount of grant funding available without incorporating the University bus requirements and financial contribution for the matching funds.

A consolidated operation under the City of DeKalb would provide a streamlined method of leveraging available grant funding and provide the financial capacity to incorporate bus service improvements that previously were not financially feasible by the community alone.

The inter-governmental agreement with the City of DeKalb will provide the mechanism for the University to provide an appropriate financial contribution to fully leverage the grant funding available to the community. In return, the City of DeKalb will provide the specified bus services of interest to the University. While details of the agreement are still in development, features of the agreement are being modeled after examples from other college town communities and will include major objectives like:

- Bus services minimally conforming to routes currently in operation with the potential for expanded services as grant/match funding allows.
- Fare-free rides for students and University faculty/staff.
- Continued Student Association and University representation in the governance of the mass transit planning and operations oversight.
- Continued University branding opportunity with the buses.
- Multi-year financial planning to ensure sustained bus operations.

The execution of the inter-governmental agreement is anticipated to be completed with the City of DeKalb by August 2018 to support the City's solicitation process for a new contract for mass transit operations planned to begin January 2019. The University's financial share is expected to fluctuate from year to year based on available grant funding and the potential for supporting expanded services of interest to the University. The University's current expenses for bus services is approximately \$4M annually. Authority not to exceed \$3M annually is requested based on current projections for the University's possible share of costs and grant benefits. The term of the agreement is anticipated to not exceed ten (10) years.

Funding: Institutional – Local Funds \$3,000,000 annually

Recommendation: The Finance, Audit, Compliance, Facilities and Operations Committee recommends Board of Trustees approval of the Mass Transportation Inter-Governmental Agreement.

FISCAL YEAR 2019 CAMPUS BUSING SYSTEM CONTRACT EXTENSION

Summary: The University has been developing a plan with the City of DeKalb to integrate mass transportation bus services to better serve both the University population and the community. The main element of this plan is the creation of a new bus service contract under the City of DeKalb which is projected to start operations January 2019. To align with this timeline, the University’s contract for bus services must be extended six months from the current contract end date of June 30, 2018 to December 31, 2018.

Background: The strategy to consolidate mass transit bus operations with the City of DeKalb has been an initiative under development through the University’s participation in the DeKalb-Sycamore Area Transportation Study planning organization. The new approach will allow for improved coordination of bus services for both the University and community, as well as provide the ability to leverage additional federal and state grant funding for expanded services.

The University’s current contract for mass transit bus services is funded by the Student Bus Fee. The expenditure authority requested is a not-to-exceed amount based on anticipated, pre-determined bus services and some capacity for ad hoc requirements. The intent to award this contract as a sole source will be advertised in the *Illinois Procurement Bulletin*.

Funding: Institutional – Local Funds, 41 CO56700

Transdev Services, Inc. – Lombard, IL \$2,300,000

Recommendation: The Finance, Audit, Compliance, Facilities and Operations Committee recommends Board of Trustees approval of the Fiscal Year 2019 Campus Busing System Contract Extension.

Amount Approved for FY18: \$4,185,000

**FISCAL YEAR 2019 INTERCOLLEGIATE ATHLETICS
SECONDARY STUDENT HEALTH INSURANCE CONTRACT RENEWAL**

Summary: NIU Intercollegiate Athletics requires annual secondary health insurance to meet the needs for insurance coverage for accident claims for student athletes for athletically related illnesses and injuries. The secondary insurance is required in addition to coverage that the student athletes may have through their own primary insurance. The secondary insurance product being purchased has been designed uniquely and specifically for NCAA members with the Master Policy form negotiated on behalf of the schools in accordance with NCAA regulations.

Background: This FY19 approval amount is for the NCAA Group Basic Accident Medical Program Insurance Plan, administrative fees, and aggregate deductible claims coverage for a two-year benefit period per student-athlete accident, available exclusively through Relations Insurance Services (formerly Ascension Benefits & Insurance Solutions)/Mutual of Omaha as agent and claims administrator. This renewal is year five of nine, one-year renewal options to be determined annually, based on a Request for Proposal opened on July 16, 2013. The renewal award will be advertised in the *Illinois Procurement Bulletin*.

Funding: Institutional – Local Funds, 41 TD56477

Relations Insurance Services/Mutual of Omaha – Salt Lake City, UT.....\$ 315,000
(formerly Ascension Benefits & Insurance Solutions)

Recommendation: The Finance, Audit, Compliance, Facilities and Operations Committee recommends Board of Trustees approval of the Fiscal Year 2019 Intercollegiate Athletics Secondary Student Health Insurance Contract Renewal.

Amount Approved for FY18: \$315,000

**FISCAL YEAR 2019 INTERCOLLEGIATE ATHLETICS
AIR CHARTER SERVICES FOR FOOTBALL TEAM**

Summary: Intercollegiate Athletics requests permission to purchase air travel services for the NIU Football Team. The team will fly out of Rockford, IL, for games at: Florida State University, Tallahassee, Florida, September 22; Brigham Young University, Provo, Utah, October 27; University of Akron, Akron, OH, November 1. A Request for Proposal will be developed and advertised the *Illinois Procurement Bulletin*. The RFP will include potential renewal options.

Funding: Institutional – Local Funds, 41 TD56481

Vendor to be determined..... \$ 400,000

Recommendation: The Finance, Audit, Compliance, Facilities and Operations Committee recommends Board of Trustees approval of the Fiscal Year 2019 Intercollegiate Athletics Air Charter Services for the Football Team.

Amount Approved for FY18: \$500,000

**FISCAL YEAR 2019-2021 DIVISION OF INFORMATION TECHNOLOGY
RENEWAL OF ONBASE SOFTWARE MAINTENANCE**

Summary: The Division of Information Technology (DoIT) requests permission to renew a contract for Hyland (OnBase) Software Licensing, Support, and Maintenance Services for one year with two additional one-year renewal options. The maintenance services will provide for continued use and future growth of the OnBase workflow and imaging technology at NIU. The three, one-year renewals will achieve a \$31,677 savings over the term of the contract as compared to the vendor's single-year pricing. The approval amount covers the period July 1, 2018 - June 30, 2021.

Background: The OnBase application increases efficiency and reduces costs by helping to eliminate cumbersome and slow paper-based processing, replacing it with electronic documents and workflow that significantly reduces processing times and allows for a better allocation of resources. OnBase serves NIU by providing for enterprise content management, case management and business process management, document capture, and enterprise application integration. It is available for use by all NIU students, faculty, and staff and is used by close to 25 individual departments across the University. DoIT is focused on expanding the use of OnBase for document and workflow processing at NIU. OnBase maintenance and support services from Hyland Software allow the University to continue providing support; it enhances our current implementation and allows for expansion of the enterprise document imaging and scanning services offered to the entire University. The intent to award this contract as a sole source will be advertised in the *Illinois Procurement Bulletin*.

Funding: Appropriated/Income Funds, 02 UB34018

Hyland Software – Westlake, OH.....\$ 431,000

FY19 – 139,295

FY20 – 143,474

FY21 – 147,778

Recommendation: The Finance, Audit, Compliance, Facilities and Operations Committee recommends Board of Trustees approval of the Fiscal Year 2019-2021 Division of Information Technology Renewal of OnBase Software Maintenance.

Amount Approved for FY18: \$142,000 (one-year amount)

**FISCAL YEAR 2019-2021 DIVISION OF INFORMATION TECHNOLOGY
BLACKBOARD SOFTWARE LICENSE**

Summary: The Division of Information Technology requests permission to purchase the Blackboard, Inc. Learn Suite (Learn, Community System, Content System, and Collaborate) for a three-year term (8/9/2018 – 8/8/2021).

The Blackboard Learn Platform is a virtual learning environment and course management system that allows distribution and receipt of class material by faculty and students, delivery of tests and surveys, capturing and reporting of grades, participation in synchronous and asynchronous discussions, and many other course-related activities. The Blackboard mobile apps enable access to NIU's Blackboard Learn system through mobile devices.

Background: Blackboard is used campus-wide at NIU and is the primary tool used to deliver course content and manage courses online. This software has become a critical enterprise system to NIU's faculty, staff, and students. Blackboard is used by over 1,500 faculty and teaching staff and by nearly every NIU student taking traditional on-campus classes, blended, and/or online classes. As of May 2018, Blackboard Learn was migrated to Blackboard's Software-as-a-Service (SaaS) cloud offering, and this request includes the SaaS hosting solution.

The three-year agreement locks in pricing for the entire three-year period. The intent to award this contract as a sole source will be advertised in the *Illinois Procurement Bulletin*.

Funding: Appropriated/Income Funds 02-UB34014

Blackboard, Inc. – Washington, DC \$1,036,294

FY19: \$342,000

FY20: \$345,420

FY21: \$348,874

Recommendation: The Finance, Audit, Compliance, Facilities and Operations Committee recommends Board of Trustees approval of the Fiscal Year 2019-2021 Division of Information Technology Blackboard Software License.

Amount Approved for FY18: \$355,000

**DIVISION OF INFORMATION TECHNOLOGY
NETWORK ACCESS AND SECURITY REFRESH AND UPGRADE**

Summary: The Division of Information Technology (DoIT) requests permission to purchase Cisco network and security equipment to modernize NIU's enterprise campus network. The DoIT refresh and upgrade project consists of a two-pronged approach to position NIU to respond to today's needs and future requirements. The two components of the plan are network access layer refresh and third generation security appliances with advanced capabilities coupled with the ability to automate tasks and collect and report on network performance and user analytics.

Background: To provide a robust, dependable, and stable network, the University's access layer building switches need to be vendor-supported and be able to provide the necessary features as we continue to add additional services to the network. Currently, NIU has a number of access layer switches that have been in service for more than ten years and cannot support the features that are required for the network and are beyond vendor support.

Network security continues to be an essential part of DoIT's responsibility. The second portion of this project will upgrade and install state-of-the-art Cisco security appliances. The new configuration consolidates the current security architecture and provides faster, more extensive, and cutting-edge technology in a fully redundant design. DoIT will also implement an industry-standard guest wireless experience, which will require the guest user to provide verification information to gain access to the guest network – an improvement in the University's security posture.

DoIT plans to use Dell EMC as the financing agent for this purchase.

The University will be entering into a three-year lease agreement with annual payments of approximately \$537k. Approximately \$60,000 will go towards funding power upgrades in the building access layer switch rooms done through the NIU Physical Plant.

Funding: Institutional – Local Funds **\$1,670,000**

CDW Government, LLC, Vernon Hills, IL \$1,670,000

Recommendation: The Finance, Audit, Compliance, Facilities and Operations Committee recommends Board of Trustees approval of the Division of Information Technology Network Access and Security Refresh and Upgrade.

**DIVISION OF INFORMATION TECHNOLOGY
WIRELESS NETWORK EXPANSION AND UPGRADE – PHASE 2**

Summary: Northern Illinois University provides data network connectivity to students, faculty, and staff both wired and wireless (Wi-Fi) access points on campus. Phase-2 is the second year of a multi-year effort to improve the provision of mobile Wi-Fi access to the University community. Expansion of approximately 650 Wi-Fi access points on campus is required to ensure complete coverage and data capacity for the following buildings:

- Anderson Hall
- Art Building
- Cole Hall
- Davis Hall
- Faraday West
- Graham Hall
- Montgomery Hall
- Naperville Outreach Center
- Still Gym
- Still Hall
- Watson Hall

Background: To determine where these new Wi-Fi Access Points will be optimally installed on campus, a plan has been developed by the Division of Information Technology and reviewed by the IT Steering Committee and through consultation with College/Department IT managers. A seven-year plan has been designed to systematically expand the Wi-Fi coverage and capacity to most areas of campus. The total estimated Wi-Fi expansion project costs to be implemented over approximately seven years is \$3M.

Funding: Institutional – Local Funds \$425,000

Recommendation: The Finance, Audit, Compliance, Facilities and Operations Committee recommends Board of Trustees approval of the Division of Information Technology Wireless Network Expansion and Upgrade – Phase 2.

FISCAL YEAR 2019 NIU FOUNDATION PROFESSIONAL SERVICES CONTRACT

Summary: The Northern Illinois University Foundation, an independent organization, operates to support the mission of Northern Illinois University through fundraising, asset management, and related support activities. Among such activities, the Foundation coordinates and manages cultivation, solicitation, acknowledgment, and receipting of all gifts; administers, invests, and disburses funds; maintains all constituent records; manages and coordinates communications with constituents; and performs alumni relations activities. The FY19 contract amount assessed is \$743,600, which covers full salaries and includes increments, benefits, and other expenses for designated employees as well as a portion of programmatic services in accordance with contract obligations.

Background: The University and the Foundation annually enter into a contract which serves to outline the fundraising services and activities to be provided by the Foundation on behalf of the University each year. This contractual agreement is in accordance with the Legislative Audit Commission Guidelines. The increase of \$113,548 is a result of two support staff being added to the contract (\$88,145), salary increases from the approved November 2017 increments for designated employees in the contracts, and other expenses for designated employees (\$25,403). In previous years, designated employees were listed as a percentage of effort. Those designated employees are now fully listed to the Foundation. As such, the gap portion of salaries were moved to the contract.

Funding: Appropriated/Income Funds

Northern Illinois University Foundation – DeKalb, IL \$ 743,600

Recommendation: The Finance, Audit, Compliance, Facilities and Operations Committee recommends Board of Trustees approval of the Fiscal Year 2019 NIU Foundation Professional Services Contract.

Amount Approved for FY18: \$630,052

REQUEST TO TRANSITION TENURE HOMES

Following the creation of the School of Interdisciplinary Health Professions (SIHP) within the College of Health and Human Sciences (CHHS), approved at the Board of Trustees Special Meeting on February 16, 2017, CHHS will be transitioning the tenure homes of faculty to be aligned with their new department assignments.

The following changes to faculty tenure homes are requested.

Incumbent	Rank	Current Tenure Home	Tenure Home (effective FY19)
Daniel Boutin	ASCP	Allied Health and Communicative Disorders	SIHP
Bryan Dallas	ASTP	Allied Health and Communicative Disorders	SIHP
Amanda McCarthy	ASTP	Allied Health and Communicative Disorders	SIHP
Matthew Sprong	ASTP	Allied Health and Communicative Disorders	SIHP
Noel Ysasi, Jr.	ASTP	Allied Health and Communicative Disorders	SIHP
Beverly Henry	PROF	School of Health Studies	SIHP
Melani Duffrin	PROF	Allied Health and Communicative Disorders	SIHP
Kanako Iwanaga	ASTP	Allied Health and Communicative Disorders	SIHP

Recommendation: The Academic Affairs, Student Affairs, and Personnel Committee recommends Board of Trustees approval of the Request to Transition Tenure Homes.

REQUEST FOR NEW DEGREE PROGRAM – M.S. IN DATA ANALYTICS

All new degree programs require the approval of the Board of Trustees and the approval of the Illinois Board of Higher Education. This new program request has received all of the required curricular approvals at the department, college, and university levels, and the concurrence of the provost.

M.S. in Data Analytics

Description:

The university seeks approval for a Master of Science in Data Analytics degree program to be offered online (per on campus authority). This will be a program housed in the Department of Operations Management and Information Systems within the College of Business.

Overview and Rationale:

The M.S. in Data Analytics program will provide students the advanced knowledge and skills to analyze organizational data. The use of analytics is accelerating due to technological advancements, exponential growth in data, and increasingly sophisticated application by organizations. Analytics is embedded in all industries, business functions, and employee workflows. The program will prepare students for data-driven leadership and problem solving. Graduates of the M.S. in Data Analytics will be data-driven thinkers to approach business decision-making more rigorously and confidently, while effectively communicating data findings, interpreting complex data, and guiding their organizations in making more informed and actionable strategic decisions.

The program will be offered in an online format for a large population of potential graduate students who cannot commit to either a full-time or location-based program to obtain the advanced degree. The program will consist of two phases. Phase One (6 semester hours) is designed to address deficiencies in undergraduate course work considered to be prerequisite for the Phase Two (30 semester hours) graduate course work. Students with significant undergraduate course work in business may have some or all courses waived in the Phase One requirements. Exemption exams are also available to waive Phase One requirements. There is no charge for the exam, however, a student may only attempt each exam once. Phase Two consists of 10 courses to ensure an in-depth study in data analytics.

Financial Impact:

If approved by the NIU Board of Trustees and the IBHE, classes will be offered beginning the Spring of 2019. Based on Bureau of Labor Forecasts and data analytics job growth forecasts, we estimate that the program will initially enroll 20 students by the Spring 2019 semester and grow to an enrollment of 60 students by Year Four (Spring 2022). To launch the program, an initial investment of approximately \$190,000 by the College and University would be needed. This includes a new faculty position and a new instructor line for the program. Forecasted enrollment growth calls for tuition and differential tuition associated with the program for covering instructional delivery and technology costs. In total, Years One-Three program costs (January 1 to December 31, 2019, 2020, & 2021) are estimated to be \$280,000 annually. It is expected that the program could generate net revenue through increased enrollment in Spring 2022.

Impact on the State and Region:

The program will be the first AACSB (Association to Advance Collegiate Schools of Business) accredited online format Master's program in Data Analytics offered in the state of Illinois. Based on the most recent skill insight survey by the Burning Glass Technologies, the program will integrate Hadoop, R, Python, SQL,

SAP Predict Analytics, SAS, Tableau, and other popular software and tools in the curriculum. These

knowledge domains and skills are highly needed for data analytics, data science, and machine learning fields. These are also listed among LinkedIn's fastest-growing jobs. There have been strong student demands for data analytics courses and programs. Data analytics is moving from a competitive advantage to a competitive necessity. Despite this trend, many industries are lagging behind in adopting data analytics. The proposed program will provide data analytics skills needed in the Illinois state and region.

The proposed M.S. in Data Analytics program will be in a 10-week format for each course allowing students the flexibility to create a program schedule that fits into their lives. They may complete the program in 12 months or select a part-time option. They may begin the program in the fall, spring or summer semester. With online course offerings, students will be able to access course materials, complete and submit assignments, and participate in discussions with professors and fellow students from anywhere and at a convenient time. This will not only attract traditional college students but also those living and working in more rural areas. As a result, the program will be of great interest to place-bound individuals. Finally, due to the differentiated curriculum and delivery format, the proposed M.S. in Data Analytics program should not negatively impact the current M.S. in Management Information Systems program offered in the department.

Recommendation: The Academic Affairs, Student Affairs, and Personnel Committee recommends Board of Trustees approval of the new M.S. in Data Analytics degree program.

REQUEST FOR NEW DEGREE PROGRAM – B.S. IN ENGLISH

All new degree programs require the approval of the Board of Trustees and the approval of the Illinois Board of Higher Education. This new program request has received all of the required curricular approvals at the department, college, and university levels, and the concurrence of the provost.

B.S. in English

Description: The university seeks approval for a Bachelor of Science in English program to be offered on campus. This program will be housed in the Department of English in the College of Liberal Arts and Sciences.

Overview and Rationale:

The Department of English currently offers a B.A. degree and is requesting approval of a B.S. degree option, so as to join Philosophy, History, and Communication as humanities departments that offer both B.A. and B.S. degree options. Although the B.A. degree with its 12 credit-hour requirement in a foreign language best serves most students majoring in English, this request cites four instances where a B.S. degree with its 10 to 15 credit-hour requirements in math, science, and technology will better serve other students' needs.

First, many students who choose to study English have increasing interests in the digital humanities. The development of NIU's new Digital Humanities Initiative, involving faculty and students from multiple departments, points to the increasing importance of digital technologies in disciplines such as English. In particular, the future of literary studies will depend on students who acquire the specialized knowledge that helps them develop skills in the digital curation, digital preservation, and data management of texts. Computer science courses as well as NIU's digital-initiatives library staff will provide valuable resources for these students to develop literary projects.

Second, students interested in technical writing, creative writing, and digital rhetoric are drawn to English because of the department's recently developed writing studies track. Similar to students interested in digital humanities, they will benefit by completing courses in computer science and math, where they can learn about programming languages, programming design, open-source and copyright issues, web design and development, computer graphics, and game programming. The B.S. degree will thus provide the appropriate cognate training for regional job opportunities, especially in the Chicago corridor.

Third, a B.S. degree in English is valuable to students who are studying in the fields of science, technology, engineering, and math who seek a second major in English. Such students seek to develop their abilities to communicate more effectively in written and oral forms, as well as sharpen their skills in close reading. Employers rank STEM majors with these credentials highly. The B.S. in English will allow these students to complete their double majors within four years.

Fourth, many students who have attained junior standing in the pursuit of educator licensure in English Language Arts are also interested in qualifying to teach science or math. The B.A. degree limits their opportunity to take more content courses and accompanying labs in these areas. In addition, some of these students don't have foreign language backgrounds and are understandably unwilling to complete a lengthy foreign language sequence. Moreover, some have declared minors in English, but decide against upgrading to an English major because of the foreign language requirement for the B.A. The B.S. option in English will provide a way for these students to pursue their teaching interests and become more

competitive for job placement in the public schools. Furthermore, the option of a B.S. degree will enable some educator-licensure students to acquire the necessary computer-science skills to develop online instructional tools and platforms for electronic portfolio assessment.

Costs: No new resources are needed.

Recommendation: The Academic Affairs, Student Affairs, and Personnel Committee recommends Board of Trustees approval of the new B.S. in English degree program.

REQUEST TO DELETE EMPHASES WITHIN ELECTRICAL ENGINEERING

Public university governing boards have the final authority to delete subdivisions of major programs, including emphases. These requests are brought to the Academic Affairs, Students Affairs and Personnel Committee of the Board of Trustees after receiving approval from curriculum committees at the department, college and university levels and the concurrence of the provost.

Deletion of two emphases within the B.S. in Electrical Engineering (1) Electrical and Computer Engineering and (2) Biomedical Engineering.

Description: The university proposes to delete two emphases (1) Electrical and Computer Engineering and (2) Biomedical Engineering within the B.S. in Electrical Engineering in the College of Engineering and Engineering Technology (CEET), pending approval by the BOT and IBHE.

Rationale: The university is requesting approval from the BOT to create a new degree, the B.S. in Mechatronics Engineering. Pending this approval, the university will request approval to offer this degree from the IBHE. If approved, the emphasis in Electrical and Computer Engineering will be redundant with the new degree and the university seeks to delete this emphasis pending both approvals for the new degree program.

Similarly, the university has received approval from the BOT to create a new degree, the B.S. in Biomedical Engineering (approved March 8, 2018). The university has now requested approval to offer this degree from the IBHE. If approved, the emphasis in Biomedical Engineering will be redundant with the new degree and the university seeks to delete this emphasis pending IBHE approval for the new degree program.

Recommendation: The Academic Affairs, Student Affairs, and Personnel Committee recommends Board of Trustees approval for the deletion of the two emphases within the B.S. in Electrical Engineering, (1) Electrical and Computer Engineering and (2) Biomedical Engineering.

REQUEST TO DELETE SPECIALIZATION IN ART EDUCATION

Public university governing boards have the final authority to delete subdivisions of major programs including specializations. The request to remove these specializations are brought to the Academic Affairs, Students Affairs and Personnel Committee of the Board of Trustees after receiving approval from curriculum committees at the department, college and university levels and the concurrence of the provost.

Deletion of Specialization in Art Education within the Ed.D. in Curriculum and Instruction

Description: The university proposes to delete the Specialization in Art Education within the Ed.D. in Curriculum and Instruction housed within the College of Education.

Rationale: The Specialization is redundant with the Ph.D. in Art and Design Education and no students have begun enrollment in the Specialization in over a decade.

Recommendation: The Academic Affairs, Student Affairs, and Personnel Committee recommends Board of Trustees approval for the deletion of the specialization in Art Education within the Ed.D. in Curriculum and Instruction.

REQUEST FOR DELETION OF CENTER STATUS

Public university governing boards have the final authority to delete Centers. These requests are brought to the Academic Affairs, Students Affairs and Personnel Committee of the Board of Trustees with the concurrence of the executive vice president and provost. The deletion of a Center is reported to the Illinois Board of Higher Education (IBHE) in the Annual Listing of Changes after receiving approval by the governing board of a public university.

Deletion of the Center Status for the University Writing Center (UWC)

Description: The university proposes to delete the official IBHE Center Status for the University Writing Center (UWC). The UWC would continue as an academic student support unit.

Rationale: The UWC, a long-standing IBHE approved center at NIU, began as a public service and research center. Prior to 2004, the UWC was actively involved in public service as part of a grant under a previous center director. Since that time, the UWC's focus has changed to concentrate on projects produced by and related to the NIU campus community. Community public service now represents less than 5 percent of the UWC activities and is primarily completed outside of the staff's work hours. Further, the UWC has reported to the vice provost for undergraduate academic affairs as an academic student support unit since 2015. Its current primary mission is to support the writing and writing-related activities of the undergraduate and graduate students as well as the faculty, staff, and departments.

Recommendation: The Academic Affairs, Student Affairs, and Personnel Committee recommends Board of Trustees approval for the deletion of the Center Status for the University Writing Center (UWC).

MARKETING AND SUPPORT FOR UNDERGRADUATE RECRUITMENT

Summary: The Division of Enrollment Management, Marketing & Communications (EMMC) is seeking proposals for a third-party service provider who specializes in student search, fulfillment, and recruitment communication in order to expand our outreach efforts to prospective freshmen. Initiatives include better-targeted recruitment efforts in established territories and new outreach efforts in markets opened to NIU by the recent introduction of a single in-State tuition rate for all domestic undergraduates. A Request for Proposal will be developed and advertised to determine vendor and pricing; with an award expected by Summer 2018. Once an award is made, it will be advertised in the Illinois Procurement Bulletin. The RFP will include potential renewal options.

Background: We are looking for a third party service provider who specializes in student search, fulfillment, and recruitment communication in order to enhance three initiatives: First, we look to increase the numbers of contacts with prospective students including starting the communication process early and increasing the number of contacts with each student. Second, we will have a more sophisticated method of targeting our recruitment messaging based on nationally collected data related to student response with an analysis at each stage of the recruitment process. Third, we will build a targeted communication campaign with prospective students' parents.

Through a collaborative relationship with current NIU Enrollment Management, Marketing and Communications staff, we will expect to achieve the following:

- Enhance our ability to control and shape attributes of our freshmen student inquiry pool including, but not limited to, enhancing market share in the greater Chicago market and expanding into new markets outside of Illinois
- Move a greater percentage of inquiries to application
- Improve yield rates for admitted freshmen
- Develop an expanded communication plan beginning in the sophomore year of high school and continuing through the inquiry, application, admittance, and enrollment confirmation phases of recruitment
- Develop a parent communication plan including targeted messaging
- Extensive research and data analysis support
- Contract anticipated to be approximately \$750,000/year for five years

Funding: Appropriated/Income Funds: Not to exceed \$3,750,000 at approximately \$750,000/year for five years.

Recommendation: The University requests Board of Trustees approval of expenditure authority for FY2019 (Base) with four proposed renewal periods for a third party service provider that specializes in student search, fulfillment, and recruitment communication as follows:

Vendor to be determined.....Not to exceed \$3,750,000

**DIFFERENTIAL TUITION FOR MASTER OF SCIENCE AND
CERTIFICATE OF GRADUATE STUDY IN DATA ANALYTICS**

Summary: In conjunction with the recommendation to approve the new Master of Science in Data Analytics (MSDA), which was endorsed by the Academic Affairs, Student Affairs, and Personnel Committee of the Board of Trustees, Northern Illinois University seeks approval to charge a differential tuition rate of \$400 per credit hour for the new program, as well as for the existing certificate of graduate studies in data analytics. The University anticipates approval of the new degree program in time for a start in January 2019 and requests that the new differential tuition rate be approved for implementation in FY19.

Background: The College of Business (COB) is proposing a differential tuition rate of \$400 per credit hour for the MSDA program. This is the same rate charged to students in the Evening and Fast-Track MBA programs, and the approved rate for our new Master of Science in Digital Marketing program. Setting the same differential tuition rate for the certificate program ensures that students who may choose to earn the certificate prior to transferring into the degree program have the same tuition model throughout their course of study.

Similar to all differential tuition funds generated by COB graduate programs, these funds increase our ability to enhance the programmatic experience for our students and our ability to recruit and retain high quality faculty. The College will use a significant portion of these funds to support the launch and maintenance of a center for data analytics, an important initiative of our strategic plan. The center will position our program at the cutting-edge of the field, increase experiential learning opportunities for our students, and create new opportunities for our corporate partners to engage with and recruit our students.

Even with the addition of the proposed differential tuition, NIU's program offers students a quality, flexible, online program for less (\$9,296 per 9 credit hours) than similar programs offered by the University of Illinois-Chicago (\$11,929), University of Illinois-Champaign (\$15,030), Northwestern (\$13,002), and DePaul (\$9,360).

Recommendation: The Finance, Audit, Compliance, Facilities and Operations Committee recommends Board of Trustees approval for Differential Tuition for the Master of Science and Certificate of Graduate Study in Data Analytics.

FISCAL YEAR 2019 INTERNAL BUDGET

Summary: Annually, the University seeks the Board's approval of the internal budget for the next fiscal year. The University will receive a State appropriation of \$83.6M in FY19 and is now bringing a balanced, internal budget recommendation to the Board for approval.

NIU's FY19 budget development process deliberately solicited input from the University community to inform this recommendation to the Board. This feedback has guided the difficult choices and critical decisions made to construct the FY19 internal budget in the context of the declining revenue from reduced state appropriations and declining enrollment. The University continues to work to diversify revenues and reduce expenses.

Background: The University submits for approval a balanced FY19 internal budget. The FY19 internal budget includes several noteworthy characteristics:

- Provides a level of funding for State appropriations at \$83.6M, up 2% over FY18 and MAP funding consistent with funding received in FY18;
- Projects new student enrollment for FY19 equal to that in FY18 and a decline in continuing student enrollment of 450 students, based on steady re-enrollment rates; the decline in continuing student enrollment is partially offset by new online and off-campus program offerings;
- Provides University funds to capital projects and deferred maintenance; and
- Accounts for additional expenses associated with increased costs of debt servicing in support of housing and dining facilities.

Increased expenses are expected in FY19 and are attributed to increased costs of debt servicing in support of housing and dining facilities, decreased revenue related to expected changes in enrollment, and a commitment of University funds to capital projects, deferred maintenance, and the FY18 salary increment program. Consistent patterns of revenue and expenses are anticipated over the next few years given current class sizes and the backlog of deferred maintenance.

Detailed Information on the FY19 Proposed University Budget

Revenues

- 1. Tuition and Fees:** All tuition, including differential tuition, course and materials fees, athletics fees, bus fees, and health service fees.
- 2. Gifts, Grants, & Contracts:** Includes on-campus scholarships, research grants and associated indirect cost pools, Pell grants, and sub-contract fees for research. Financial aid and grants are anticipating an increase of approximately \$5M in FY19.

- 3. Other Fees and Fines:** Includes parking, residential living-learning community fees, parking fines, transcript and commencement fees.
- 4. Sales:** Includes board plan and retail food sales, concessions sales, advertising/sponsorship, sales of services to off-campus entities (including, but not limited to: catering, childcare, conference services). Decrease in sales expected for FY19 is a result of portions of the Holmes Student Center going offline in support of renovations to the facility.
- 5. Rental & Room Income:** Includes room fees for residential students, rental of on-campus and off-campus meeting and conference space, hotel revenues and Northern View apartment revenues. Increase expected in FY19 is a result of increased revenue from additional conferences and events.
- 6. Gate Receipts & Commissions:** Includes athletic ticket sales, performing arts ticket sales, commissions and concert/event revenues from the Convocation Center. Increase in FY19 due to anticipated higher athletic ticket sales and event revenue at the Convocation Center.
- 7. Miscellaneous & Investment Income:** Includes athletics' game guarantees, NIU share of conference tournament revenues, rental of facilities, interest income from investments, gains and losses on disposals of fixed assets, and year-end transfers to mandated reserves in the auxiliary facilities system.
- 8. State of Illinois:** Represents the annual state appropriation to public universities.

Expenses

- 9. Cost of Sales:** Includes the cost of all items purchased for resale on campus such as food for dining units, parts and supplies to be charged out through the campus work order system, as well as paper for pay-to-print copiers and printers. Decrease due to contractual decreases in price anticipated for FY19.
- 10. Personnel Services:** Includes all salaries paid to faculty, supportive professional staff, civil service, graduate assistants, extra help and student employees. Also includes required payments for Medicare and employee health insurance. Decrease due to an increased amount of expected retirements.
- 11. Contractual Services:** Includes annual software support, subscriptions, conference registrations, non-employee travel reimbursements, service contracts, repairs & maintenance, grounds, building service work charges, speaking fees, and other arrangements with outside contractors to perform services for the University. Increase due to renovation improvements (non capitalized) at the Holmes Student Center and various deferred repairs and maintenance projects.

- 12. Travel:** Includes airfare, hotel rooms, mileage, meals (all up to limits set by the state and the University), and ancillary expenses for employees to travel to-and-from campus on official university business.
- 13. Automotive:** Includes the cost to rent cars, vans and busses from the university transportation department. Increase due to planned purchase for new vehicles.
- 14. Scholarships:** Includes scholarships awarded, including Pell Grant funds disbursed (which, when netted against Pell Grant funds received, results in zero effect on the university's cash position). Increase due to the anticipated \$5M increase in financial aid and grants for FY19.
- 15. Telecommunications:** Includes the cost of providing phone, internet and other digital services to the University.
- 16. Equipment & Library Materials:** Includes the cost of all items over \$100 with an estimated useful life of two years or more, as well as library books, journal subscriptions, manuscripts, films, music and video materials
- 17. Capital Repairs, Debt Service & Other:** Includes the cost of centralized capital repair funds, debt service payment on bonds, certificates of participation and performance contracts. Also includes refunds issued for on-campus external programming and other expenses not classified elsewhere. Increase due to higher debt service costs anticipated in FY19 as well as an increase in capital repairs.

Table 1
FY19 INTERNAL BUDGET
Summary of Budgeted Revenue by Source
(\$ in Thousands)

	FY19
University Appropriated Revenues	
General Revenue Fund	\$ 83,623
State College and University Trust ^(a)	36
Total Appropriated Fund Revenues	\$ 83,659
University Nonappropriated Revenues	
University Income Fund	148,399
Income from Auxiliary Enterprises:	
From Operations in Revenue Bond Facilities	62,035
From Operations in Other Auxiliary Enterprises	22,512
Gifts, Grants and Contracts	55,000
Foundation Support	3,343
Local Funds	49,948
Total Nonappropriated Revenues	\$ 341,238
Total Anticipated Revenues	\$ 424,897

^(a) Generated from NIU license plate registrations; expenditures are limited to both the appropriation and revenues earned.

Table 2
FY19 INTERNAL BUDGET SUMMARY BY LINE ITEM
PRELIMINARY
(\$ in Thousands)

	FY16 Actuals	FY17 Actuals	FY18 Projected Actuals*	FY19 Proposed Budget	Variance FY19-FY18
SOURCES OF OPERATING REVENUE					
Tuition & Student Fees	\$ 198,025	\$ 190,978	\$ 178,721	\$ 178,307	\$ (415)
Gifts, Grants, & Contracts	59,134	58,812	59,528	70,321	10,793
Other Fees and Fines	8,915	8,126	7,707	8,564	856
Sales	39,640	38,349	36,402	32,654	(3,748)
Rental & Room Income	34,628	31,479	30,786	32,541	1,755
Gate Receipts & Commissions	4,309	3,025	2,819	5,494	2,675
Miscellaneous & Investment Income	230	2,490	14,539	13,357	(1,182)
State of Illinois	26,424	48,316	82,020	83,659	1,640
TOTAL, OPERATING REVENUES	\$ 371,305	\$ 381,575	\$ 412,522	\$ 424,897	\$ 12,375
OPERATING EXPENSES BY LINE ITEM					
Cost of Sales	\$ 9,164	\$ 9,173	\$ 7,657	\$ 4,910	\$ (2,748)
Personnel Services	219,240	216,708	221,075	215,685	(5,390)
Contractual Services	81,481	76,096	76,668	87,581	10,913
Commodities	7,263	6,332	5,525	7,468	1,943
Travel	4,255	4,243	4,229	5,555	1,325
Automotive	1,240	1,206	1,069	1,264	195
Scholarships	53,722	47,953	56,413	58,066	1,653
Telecommunications	1,764	246	327	680	353
Equipment & Library Books	6,689	6,044	7,623	9,935	2,312
Capital Repairs, Debt Service & All Other	31,022	13,319	29,190	33,754	4,564
TOTAL, OPERATING EXPENSES	\$ 415,839	\$ 381,319	\$ 409,777	\$ 424,897	\$ 15,121
NET INCOME/(LOSS)	\$ (44,534)	\$ 256	\$ 2,745	\$ (0)	\$ (2,746)

* FY18 Projected Actuals are annualized based on actuals through March 31, 2018

Recommendation: The Finance, Audit, Compliance, Facilities and Operations Committee recommends Board of Trustees approval for the Fiscal Year 2019 Internal Budget.

ORAL ENGLISH PROFICIENCY ANNUAL REPORT 2016-2017

The governing boards of Illinois public institutions are required by Public Act 84-1434 (110 ILCS 685/30-70) to take appropriate steps to ensure the oral English language proficiency of all individuals who provide classroom instruction. In order to comply with this statute, universities are expected to implement policies and practices that verify oral English language proficiency in the delivery of classroom instruction. It is further required by NIU Board of Trustees Regulations, Section I., F., that the university maintain a record of this compliance on behalf of the Board of Trustees.

Northern Illinois University has taken a series of steps to make certain that classroom instruction is not affected by lack of English proficiency. Graduate teaching assistants whose native language is not English, and who are engaged in oral instruction in the classroom, must have achieved a score of at least 50 on the Speaking Proficiency English Assessment Kit (SPEAK), or its equivalent (unless the language of instruction is not English). The university offers assistance for instructors and faculty to improve their oral English proficiency beyond the minimum requirement. This is done through clinics in two departments: 1) the English as a Second Language (ESL) Clinic in the Department of English; and 2) the Speech, Language and Hearing Clinic in the Department of Allied Health and Communicative Disorders. These efforts have resulted in a decline in the number of student complaints related to oral English proficiency.

The oral English proficiency requirement of the State of Illinois is clearly communicated in several university publications, including the Student Handbook, the Undergraduate Catalog, and the Graduate Catalog. The university also takes advantage of its orientation programs and its university-wide orientation course (UNIV 101) to inform students about NIU's policy on oral English proficiency. Included in these announcements of the policy is the procedure by which students can communicate any complaints.

All academic departments are asked annually to document student complaints regarding English proficiency and the corrective actions that were taken to remedy the problem. All student complaints are investigated and prompt action is taken as appropriate. Of the 48 departments that were requested to provide information on ESL, no complaints were received.

The departmental reports are kept on file in the Office of the Provost.

Northern Illinois University
**COMPLAINTS REGARDING ORAL ENGLISH COMMUNICATION
 OF INSTRUCTIONAL PERSONNEL
 Academic Year 2016-2017**
No complaints were reported

Complaint Number	Departmental Unit	Professional Status of Instructional Person	Resolution

**QUARTERLY FINANCIAL SUMMARY – FY18 THIRD QUARTER
AS OF MARCH 31, 2018**

Background Information: In an effort to provide more frequent information regarding the University's financial position, the University has prepared the third quarter financial summary to the Board of Trustees.

Year-To-Date Financial Results:

Revenue Components – There are modest unfavorable variances to budget in Tuition & Fees and Rental & Room Income, caused by the mix and level of student enrollment. There is a favorable variance in the State of Illinois Appropriation, which is completely driven by the timing of reimbursements received from the State. The full year State Appropriation of \$82M is expected to be received over the course of the fiscal year. Capital Reserve Contributions and Other Transfers reflect an unfavorable variance due to the practice of pro-rating the annual budget throughout the year. At the end of the year, a one-time transfer is made to credit the appropriate reserve accounts.

Expense Components – The University has maintained an aggressive stance on expense control throughout FY18. Tighter controls and evaluation of purchases through a mission critical filter has led to favorable variances in Personnel Services and Contractual expense. Capitals Repairs is also favorable and is attributable to timing and should normalize over a twelve-month period.

Net Revenue – YTD results suggest a favorable variance to Budget.

Prior Year Financial Results:

Revenue Components – A decline in enrollment continues to impact Tuition & Fees and Rental & Room Income. The State of Illinois appropriation is favorable to prior year. However, this is based entirely on the timing of the prior year funding.

Expense Components – Current projections suggest improvement in year-over-year operating expenses by approximately \$15.4M. Favorable variances are noted in Personnel Services, Equipment & Library Materials and Capital Repairs. These results demonstrate management's ongoing leadership in developing strategies that will effectively control expenses and contribute to long term financial sustainability.

Net Revenue – Prior year comparison highlights the progress made in adjusting University operations to current revenue streams.

Future Outlook (FY18):

NIU has developed strategies that will effectively mitigate against revenue shortfalls and known variances to operating expense planning assumptions. Collectively these actions have contributed to a revised FY18 spending plan that maintains the University's commitment to be academically responsive and ensures fiscal responsibility through a balanced operating budget. In the aggregate, the financial projections suggest sufficient cash flow to manage through FY18, and a modest growth in unrestricted operating cash is projected. This is crucial to managing the volatility of reimbursements from the State of Illinois.

Following is a financial summary of the University's operations as of the end of March FY18 and a comparison perspective to March of FY17.

Northern Illinois University
as of March 31, 2018

	Current Year FY18						Prior Year	
	Third Quarter	Third Quarter	Variance	Full Year	Annual Budget	Variance	Third Quarter	
	YTD Actuals	Budget		Projection			YTD Actuals	Variance
Revenue								
Tuition & Student Fees	\$ 170,290	\$ 174,679	\$ (4,389)	\$ 178,721	\$ 183,873	\$ (5,152)	\$ 181,969	\$ (11,679)
Gifts, Grants, & Contracts	\$ 47,873	\$ 45,146	\$ 2,727	\$ 59,528	\$ 60,194	\$ (667)	\$ 47,298	\$ 575
Other Fees and Fines	\$ 6,275	\$ 6,819	\$ (544)	\$ 7,707	\$ 9,092	\$ (1,385)	\$ 6,615	\$ (340)
Sales	\$ 29,718	\$ 28,978	\$ 739	\$ 36,402	\$ 38,638	\$ (2,236)	\$ 31,307	\$ (1,590)
Rental & Room Income	\$ 29,560	\$ 25,916	\$ 3,644	\$ 30,786	\$ 34,555	\$ (3,769)	\$ 30,225	\$ (665)
Gate Receipts & Commissions	\$ 3,237	\$ 3,283	\$ (46)	\$ 2,819	\$ 4,377	\$ (1,558)	\$ 3,473	\$ (236)
Miscellaneous & Investment Income	\$ 7,736	\$ 9,058	\$ (1,322)	\$ 10,449	\$ 12,077	\$ (1,628)	\$ 5,061	\$ 2,675
State of Illinois	\$ 64,342	\$ 61,515	\$ 2,827	\$ 82,020	\$ 82,020	\$ -	\$ 48,225	\$ 16,118
Capital Reserve Contributions & Other Transfers	\$ (6,211)	\$ 3,134	\$ (9,344)	\$ 4,090	\$ 4,178	\$ (89)	\$ 1,415	\$ (7,625)
Total Revenue & Transfers	\$ 352,819	\$ 358,527	\$ (5,709)	\$ 412,522	\$ 429,004	\$ (16,481)	\$ 355,586	\$ (2,767)
Expenses								
Cost of Goods Sold	\$ 6,289	\$ 8,781	\$ (2,492)	\$ 7,657	\$ 11,708	\$ (4,051)	\$ 7,533	\$ (1,244)
Personnel Services	\$ 165,806	\$ 166,235	\$ (428)	\$ 221,075	\$ 221,646	\$ (571)	\$ 166,835	\$ (1,029)
Contractual Services	\$ 52,425	\$ 61,313	\$ (8,888)	\$ 76,668	\$ 81,751	\$ (5,083)	\$ 62,169	\$ (9,744)
Commodities	\$ 4,190	\$ 5,583	\$ (1,393)	\$ 5,525	\$ 7,444	\$ (1,918)	\$ 4,802	\$ (612)
Travel	\$ 3,295	\$ 3,919	\$ (624)	\$ 4,229	\$ 5,226	\$ (996)	\$ 3,305	\$ (10)
Automotive	\$ 921	\$ 941	\$ (20)	\$ 1,069	\$ 1,254	\$ (185)	\$ 1,039	\$ (118)
Scholarships	\$ 47,413	\$ 42,645	\$ 4,769	\$ 56,413	\$ 56,860	\$ (446)	\$ 46,963	\$ 451
Telecommunications	\$ 280	\$ 725	\$ (445)	\$ 327	\$ 966	\$ (639)	\$ 211	\$ 69
Equipment & Library Materials	\$ 4,827	\$ 6,907	\$ (2,079)	\$ 7,623	\$ 9,209	\$ (1,586)	\$ 5,189	\$ (362)
Capital Repairs, Debt Service & All Other	\$ 9,019	\$ 24,654	\$ (15,635)	\$ 29,190	\$ 32,872	\$ (3,682)	\$ 11,787	\$ (2,769)
Total Expenses	\$ 294,465	\$ 321,701	\$ (27,237)	\$ 409,777	\$ 428,935	\$ (19,158)	\$ 309,833	\$ (15,369)
Net Revenue	\$ 58,354	\$ 36,826	\$ 21,528	\$ 2,745	\$ 68	\$ 2,677	\$ 45,753	\$ 12,601

**HOLMES STUDENT CENTER
BOOKSTORE AGREEMENT**

Summary: Northern Illinois University undertook a process to reevaluate the delivery of course materials, spirit gear, and other products for the campus population. Given challenges with the changing textbook industry, the increasing need for affordable and flexible course materials for students, along with actions to revitalize the student center of campus, proposals were solicited to provide optimal bookstore services that meet the needs of our students and community with an efficient and cost-effective service delivery model. Through this process one vendor stood out with a strong proposal featuring significant benefits such as an enhanced faculty textbook selection process, greater access to e-book options, student options for course material affordability, and financial returns for the University. After evaluating this proposal in comparison to current bookstore operations, it was considered in the University's best interest to transition to a vendor-run operation. NIU issued a letter of Intent/Interim Agreement with Follett Higher Education Group Inc. on April 3, 2018. Efforts are underway to finalize the contract, and once it is fully executed, Follett will begin managing bookstore operations with the transition to the temporary location during the HSC renovation period.

Background: Working under Illinois procurement procedures, an Invitation for Proposals (IFP) was issued in July 2017 with four proposals received in December 2017. The proposals were evaluated by a cross functional team, including students, faculty, and staff on both technical and financial merits to determine the best concept for proceeding with detailed negotiations for a final multi-year agreement.

Features of the top scoring proposal from Follett Higher Education Group, Inc. demonstrated enhanced value to the University including an interactive course material selection process for faculty providing awareness for how materials are used by other universities and options for affordability considerations. The proposal also includes an on-line ordering process to allow students a full range of possible choices for course materials between new, used, rental, and digital options.

The financial component of the Follett proposal included a scaled return to the University based on sale levels achieved by the operation. This rate of return was determined to be within the competitive range anticipated based on industry market analysis. Additionally, the proposal included various financial incentives such as outfitting both the temporary and final store locations and an annual textbook scholarship. The concession agreement with Follett will consist of an initial seven (7) year commitment to allow for a return on investments. The agreement includes one optional extension for three (3) years for a total potential term of ten (10) years.

By leveraging Follett's financial commitment for the design and construction of the bookstore, the University will be able to reallocate budgeted funding for other improvements helping to revitalize the image of the Holmes Student Center ground floor. The final store layout and design will be cohesive with the overall renovation plan. Initial efforts by this company will begin with the temporary store set-up and operation during the construction period.

A presentation regarding the agreement with Follett, along with other initiatives to increase NIU's operational and financial strength will be facilitated at the meeting.

**Agenda Item 8.b.4.
June 14, 2018**

Information

FACILITIES UPDATE

Briefing presented at the May 10, 2018 Finance, Audit, Compliance, Facilities and Operations meeting.

**SEMI-ANNUAL PROGRESS REPORT OF ACTIVE CAPITAL PROJECTS
WITH A BUDGET OVER \$100,000**

All projects listed herein were previously approved by the Board of Trustees or the President and are currently in process. The Authorization Date is identified for all NIU-funded projects; the fiscal year is identified for all CDB-funded projects. Status reports are provided on any project, regardless of initiation date, until all work has been completed and all payments have been made.

CAPITAL DEVELOPMENT BOARD PROJECTS

I. Projects in the Planning Phase

N/A

II. Projects in the Design Phase

N/A

III. Projects in the Construction Phase

A. Remodeling and Rehabilitation

1. Stevens Building – Addition & Renovation

Total Project Budget: \$37,300,135 (estimated)
Source of Funding: FY2010 – CDB Amended FY2017
FY2017 – NIU Appropriated Funds
Architect/Engineer: PSA Dewberry/BCA - Elgin

Status: Construction work at the site is now approximately 99% complete. The project was substantially complete in late April 2018. Systems training and punch list work is in process. The University is in process of procuring the furnishings, fixtures, and equipment for the building. The building will be back in full academic use beginning Fall semester 2018.

NORTHERN ILLINOIS UNIVERSITY PROJECTS

I. Projects in the Planning Phase

A. Remodeling and Rehabilitation

1. Holmes Student Center / Neptune Complex Redevelopment Plans

Total Project Budget: \$1,500,000
Source of Funding: Bond Series 2010 Project
Authorization Date: June 2014
Architect/Engineer: Dewberry - Peoria (Holmes)
Design Organization – Chicago (Neptune)

Status: Master planning work has been completed for both Holmes Student Center and Neptune Complex. Final payments for the planning work are in process.

2. Stevenson and Grant Residence Halls - Building Envelope Repairs

Total Project Budget: \$525,000
Source of Funding: Institutional - Auxiliary Reserves
Authorization Date: December 2016
Architect/Engineer: TBD

Status: An assessment of the existing building envelope systems (exterior walls) which include precast concrete, aluminum curtain wall and masonry wall systems will provide a reliable cost estimate and detailed specifications for repair. The University is preparing to contract with a qualified Architectural/Engineering firm to provide the assessment and design.

3. Holmes Student Center Hotel Tower - Building Envelope Repairs

Total Project Budget: \$250,000
Source of Funding: Institutional - Auxiliary Reserves
Authorization Date: December 2016
Architect/Engineer: TBD

Status: An assessment of the existing building envelope systems (exterior walls) which include aluminum curtain wall and masonry wall systems will provide a reliable cost estimate and detailed specifications for repair. The University is preparing to contract with a qualified Architectural/Engineering firm to provide the assessment and design.

B. Site and Utilities

1. DeKalb Campus – East and West Heating Plant Boiler Assessment

Total Project Budget: \$134,000
Source of Funding: Institutional - Auxiliary Reserves
Authorization Date: March 2016
Architect/Engineer: Wills Burke Kelsey - Elgin
Stanley Consultants - Chicago

Status: The A/E has completed the investigation, assessment, and provided the final report. Final payments to the A/E for the assessment have been made. Future reports of this project will be included in "Projects in the Design Phase" section of this report.

II. Projects in the Design Phase

A. Remodeling and Rehabilitation

1. Stevenson Towers A & D – Roof Replacement

Total Project Budget: \$625,000
Source of Funding: Institutional – Auxiliary Reserves
Authorization Date: March 2016
Architect/Engineer: Arcon Associates - Lombard

Status: Project design will be begin as schedules allow. Construction work will be planned and scheduled to take advantage of semester breaks and summer schedules.

2. Holmes Student Center - Phase I Redevelopment

Total Project Budget: \$20,000,000
Source of Funding: Bond Series 2010 Project
Authorization Date: June 2016
Architect/Engineer: Dewberry - Peoria

Status: The A/E is preparing construction documents which are planned to be issued for bidding in June. The University is working on contracts for the environmental remediation work scheduled to begin in June. The University will provide regular updates to the Board. Future reports of this project will be included in "Projects in the Construction Phase" section of this report.

3. Stevenson Towers B & C – Roof Replacement

Total Project Budget: \$625,000
Source of Funding: Institutional – Auxiliary Reserves
Authorization Date: September 2016
Architect/Engineer: Arcon Associates - Lombard

Status: Project design will begin as schedules allow. Construction work will be planned and scheduled to take advantage of semester breaks and summer schedules.

4. Founders Library – Discover Financial Services Renovation

Total Project Budget: \$1,000,000 (est.)
Source of Funding: Lessee Improvement
Authorization Date: December 2017
Architect/Engineer: Gensler - Chicago

Status: Lease renovation/improvement agreement for the space was completed in March. Design work has begun with completion anticipated by mid-summer. Construction work is scheduled to complete before Fall semester.

5. Convocation Center – Update Nelson Suite

Total Project Budget: \$275,000
Source of Funding: Foundation – Local Funds
Authorization Date: March 2018
Architect/Engineer: Populus - Kansas City, Missouri

Status: Design work and donor review was completed in March. Work will be scheduled when feasible and will take advantage of semester breaks and event schedules.

6. Convocation Center – Replace Video Board/Scoreboard

Total Project Budget: \$1,270,000
Source of Funding: Institutional – Auxiliary Reserves
Institutional – Local Funds
Authorization Date: March 2018
Architect/Engineer: NIU A&E Services & Intercollegiate Athletics

Status: Design work will be completed in March. Work will be scheduled when feasible and will be scheduled to take advantage of semester breaks and breaks in Convocation Center events to minimize disruptions.

B. Site and Utilities

1. Naperville Parking Lot Reconstruction – Phase II

Total Project Budget: \$1,215,000
Source of Funding: Local Funds - NIU Naperville Operations
Authorization Date: September 2015
Architect/Engineer: Engineering Resource Associates, Inc. - Warrenville

Status: Phase II Design Work has been temporarily delayed due to cash flow concerns. Once the overall University budget is stabilized, the engineering design work is expected to be completed in 6 months.

2. DeKalb Campus – Lucinda Avenue Realignment

Total Project Budget: \$440,000
Source of Funding: Bond series 2010 Funds - \$222,285
Federal Highway Grant Funds - \$217,715
Authorization Date: March 2016
Architect/Engineer: TBD

Status: Upon further discussion and review with the Board, the University will not pursue this project. This will be the final report on this project. It will be removed from the project progress report.

III. Projects in the Construction Phase

A. Remodeling and Rehabilitation

1. DeKalb Campus – Campus Alerting System Phase IV

Total Project Budget: \$1,250,000
Source of Funding: Appropriated Funds
Authorization Date: March 2013
Architect/Engineer: NIU A&E Services

Status: This project encompasses the final phase of the campus alert system. The work is approximately 70% complete. The construction work will be planned and scheduled to take advantage of semester breaks, weekends, and summer schedules. Project has been temporarily delayed due to cash flow considerations. Once the overall University budget is stabilized, the project is expected to be completed in 18 months.

2. Montgomery & Psych/Computer Science – Animal Facilities Renovation

Total Project Budget: \$3,476,535 (estimated)
Source of Funding: Institutional – Local Funds
Performance Contract Phase 11A - Financed
Authorization Date: September 2014
Architect/Engineer: NIU A/E Services

Status: Work is complete. Final payments have been made. The HVAC contractor is working with the University to correct and complete warranty work on the new HVAC equipment. This will be the final report on this project.

3. Neptune Residential Complex – Emergency Repair of Water Main Break

Total Project Budget: \$249,000
Source of Funding: Institutional – Auxiliary Reserves
Authorization Date: December 2016
Architect/Engineer: NIU Physical Plant - A/E Services

Status: All work is complete. Final payments have been made and the insurance reimbursement has been received. This will be the final report on this project.

4. Swen Parson – College of Law – Accreditation Updates

Total Project Budget: \$120,000
Source of Funding: Appropriated – Income Funds
Authorization Date: February 2017
Architect/Engineer: NIU Physical Plant - A/E Services

Status: All work is complete. Final payments have been made. This will be the final report on this project.

5. Neptune Complex Upgrade

Total Project Budget: \$5,400,000
Source of Funding: Bond Series 2010 Project
Authorization Date: March 2017
Architect/Engineer: Cordogan Clark - Aurora
NIU A/E Services

Status: Flooring, lighting, painting, mechanical repairs, and dining room updates in Neptune West, Neptune Central Dining Room, and Neptune North were completed during the summer break of 2017. Remaining renovation improvements are being assessed and prioritized in accordance with the remaining project funds and break periods.

6. Campus Residence Halls – Annual Dorm Room Repairs

Total Project Budget: \$232,000 (estimated)
Source of Funding: Institutional – Auxiliary Reserves
Authorization Date: May 2017
Architect/Engineer: NIU Physical Plant

Status: Work is complete. Final payments have been made. This will be the final report on this project.

7. Founders Library – Café Renovation

Total Project Budget: \$467,500
Source of Funding: Institutional – Auxiliary Operating Funds
Institutional – Auxiliary Reserves
Authorization Date: October 2017
Architect/Engineer: NIU A/E Services

Status: Work was scheduled during Spring break to minimize the disruption to the Library. All work is now complete. The new café opened April 10th.

8. Residence Halls – FY18 Rotation Painting

Total Project Budget: \$220,500
Source of Funding: Institutional – Auxiliary Reserves
Authorization Date: February 2018
Architect/Engineer: NIU Physical Plant

Status: Work will be scheduled to take advantage of semester breaks to minimize disruptions. The work is approximately 50% complete. The work will continue with completion anticipated in May 2018.

9. Lincoln Hall – Salvage Equipment from Building

Total Project Budget: \$107,600
Source of Funding: Institutional – Auxiliary Reserves

Authorization Date: March 2018
Architect/Engineer: NIU Physical Plant

Status: Work was scheduled to take advantage of cold winter temperatures. The work is complete. Final payments to the contractors are in process.

10. Lorado Taft Campus – Repair Roofs on Four Buildings

Total Project Budget: \$120,150
Source of Funding: Institutional – Local Funds
Authorization Date: March 2018
Architect/Engineer: NIU Physical Plant

Status: Work will be scheduled to take advantage of summer weather and semester break.

B. Site and Utilities

1. Parking Structure - Reconstruct Four Exit Stairs

Total Project Budget: \$1,200,000
Source of Funding: Bond Series 2010 Project
Authorization Date: June 2011 (confirmed March 2014)
Architect/Engineer: NIU A&E Services

Status: All work is complete. Final payments have been made. This will be the final report on this project.

2. DeKalb Campus – Electrical Infrastructure Replacement Phase II

Total Project Budget: \$2,580,000 (estimated)
Source of Funding: Bond Series 2010 Project
Appropriated Funds – Administrative Support
Authorization Date: March 2013
Architect/Engineer: NIU A&E Services

Status: Construction started in Fall 2013 and is approximately 95% complete. A consulting engineer is completing a critical survey of the existing electrical service at each building. This work will continue to be scheduled to take advantage of semester breaks and as schedules allow.

3. DeKalb Campus - Electrical Infrastructure Replacement Phase III

Total Project Budget: \$2,550,000 (estimated)
Source of Funding: Appropriated Funds
Bond Series 2010 Project
Authorization Date: March 2014
Architect/Engineer: NIU A&E Services

Status: Construction work began in late 2014 and is approximately 29% complete. Work has been temporarily delayed due to cash flow concerns. Once the overall University budget is stabilized, the project is expected to be completed in 24 months.

4. Lucinda Avenue Extension

Total Project Budget: \$4,500,000 (estimated)
Source of Funding: Bond Series 2010 (amended)
Authorization Date: March 2014 (amended June 2014)
Architect/Engineer: Knight E/A, Inc. - Chicago

Status: All work is complete. Final payments have been made. This will be the final report on this project.

5. DeKalb Campus –Steam Tunnel – Supports and Stanchion Repairs

Total Project Budget: \$105,900
Source of Funding: Institutional - Auxiliary Reserves
Authorization Date: May 2017
Architect/Engineer: NIU Heating Plant

Status: Work is approximately 90% complete. For safety, activities are only scheduled during times that the main steam is shut down and work crews available.

6. East Heating Plant – Summer Boiler and Steam Equipment Repairs

Total Project Budget: \$117,500
Source of Funding: Appropriated – Income Funds
Authorization Date: May 2017
Architect/Engineer: NIU Heating Plant

Status: All work is complete; the east steam plant was back in service in October for the winter heating season. Final payments have been made. This will be the final report on this project.

7. DeKalb Campus –Electrical Distribution & Steam Tunnel Emergency Repairs

Total Project Budget: \$249,000 (estimated)
Source of Funding: Appropriated – Income Funds
Authorization Date: August 2017
Architect/Engineer: NIU A&E Services

Status: Work to repair the damaged main electrical feed for LaTourette and Faraday is completed. Remaining tunnel repairs are scheduled during times that the main steam is shut down. Reimbursement for this repair cost is expected from insurance claims.

8. DeKalb Campus –Parking Lot Repairs

Total Project Budget: \$124,800
Source of Funding: Institutional - Auxiliary Reserves
Authorization Date: August 2017
Architect/Engineer: NIU A&E Services

Status: Work to repair potholes in the Visitors Parking Lot and resurface Lot D and Lot 18 next to Neptune Complex was completed prior to move-in day. Final payments are in process.

**PERIODIC REPORT ON INVESTMENTS
FOR PERIOD JULY 1, 2017 THROUGH MARCH 31, 2018**

In accordance with the approved University Investment and Cash Management policy, this report on investments is submitted at the end of each calendar quarter to the Board of Trustees. The following schedules are included with this report:

- Cash and Investment Holdings Summary as of March 31, 2018
- Investment Earnings by Type and Duration for the quarter ended March 31, 2018

Current Performance: The *Cash and Investment Holdings Summary* is a snapshot of funds held at a specific point in time. At March 31, 2018, NIU's holdings total nearly \$207 million, which is up \$33 million from December 31, 2017 and \$63 million from the same time last year. This increase is largely due to the receipt of State appropriations this fiscal year and the reduction in University spending over the past two years.

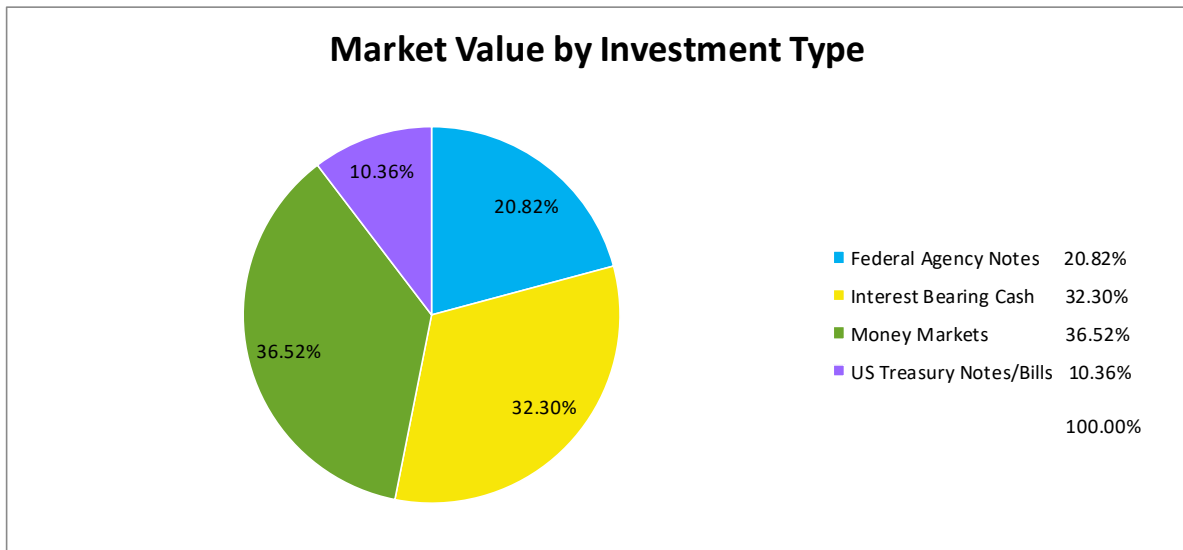
The *Investment Earnings by Type and Duration* report shows interest income for the third quarter and rates of return for the current and prior three quarters. This report includes the University's invested cash, cash equivalents, and fixed income securities. The annualized rates of return are calculated using interest earnings and average daily balances. The University's combined average annualized rates of return at March 31, 2018 was 1.32%. As anticipated, the portfolio yield has steadily increased each quarter over the past year.

Performance Outlook: In March 2018, the Federal Reserve raised its interest rate from 1.5% to 1.75%, and it is expected this rate will increase two more times during 2018. When the federal funds rate increases, usually yields on newly offered government securities such as Treasury notes will also increase. Due to an environment of higher interest rates and a portfolio size resembling pre-budget impasse levels, it is anticipated the University's portfolio performance will continue to improve.

Historically, cash and investment balances decline during the fourth quarter and remain at their lowest level during the summer months. However, it is anticipated balances will be higher at the end of this fiscal year compared to fiscal year 2017 due to the timing of State payments and the University's cash management strategies.

NORTHERN ILLINOIS UNIVERSITY
CASH and INVESTMENT HOLDINGS SUMMARY
 March 31, 2018
 For Fiscal Year 2018

Investment Type	Purch Price/ Ending Bal *	Book Value **	Market Value ***
Federal Agency Notes:			
Local Funds	\$ 43,008,257	\$ 43,005,603	\$ 42,910,189
Interest Bearing Cash Accounts:			
Local Funds	\$ 66,515,319	\$ 66,515,319	\$ 66,515,319
Project Funds	53,793	53,793	53,793
	<u>\$ 66,569,112</u>	<u>\$ 66,569,112</u>	<u>\$ 66,569,112</u>
Money Markets:			
Local Funds	\$ 56,049,404	\$ 56,049,404	\$ 56,049,404
Project Funds	19,203,754	19,203,754	19,203,754
	<u>\$ 75,253,158</u>	<u>\$ 75,253,158</u>	<u>\$ 75,253,158</u>
US Treasury Notes/Bills:			
Local Funds	\$ 16,961,064	\$ 16,943,892	\$ 16,855,667
Project Funds	4,499,264	4,500,864	4,497,592
	<u>\$ 21,460,328</u>	<u>\$ 21,444,756</u>	<u>\$ 21,353,259</u>
TOTAL INVESTMENT HOLDINGS	\$ 206,290,855	\$ 206,272,629	\$ 206,085,718
Non-interest Bearing Cash	\$ 372,738	\$ 372,738	\$ 372,738
TOTAL CASH & INVESTMENT HOLDINGS	\$ 206,663,593	\$ 206,645,367	\$ 206,458,456
TOTAL CASH & INVESTMENT HOLDINGS @ 03/31/2017	\$ 143,176,517	\$ 143,139,130	\$ 142,990,589



* Amounts per Bank and Investment Statements

** Purchase price, net of accumulated amortization of premiums and discounts

*** Estimated price for which an investment would sell in the marketplace

Assets reported in the INVESTMENT HOLDINGS SUMMARY comply with the Illinois Public Funds Investment Act (30 ILCS 235)
 and the NIU Investment and Cash Management Policy

NORTHERN ILLINOIS UNIVERSITY
INVESTMENT EARNINGS BY TYPE AND DURATION
For Fiscal Year 2018
January 1, 2018 - March 31, 2018

	March 31 Ending Balance	Average Daily Investment Balance	Percent of Portfolio	Income Earned	Annualized Rates of Return			
					Fiscal Year 2018			FY2017
					3rd Qtr	2nd Qtr	1st Qtr	4th Qtr
Short-Term Investment Accounts								
Illinois Funds	\$ 56,049,404	\$ 72,462,893	35.74%	\$ 247,569	1.386%	1.120%	1.020%	0.803%
Investment Accounts - Financial Institutions	\$ 66,515,319	\$ 56,569,603	27.89%	\$ 200,441	1.437%	1.159%	1.072%	0.870%
Investment Accounts - Project Funds	\$ 19,257,547	\$ 18,656,597	9.20%	\$ 38,727	0.842%	0.452%	0.571%	0.293%
ST Investment Accounts Total	\$ 141,822,270	\$ 147,689,093	72.83%	\$ 486,737	1.337%	1.060%	0.996%	0.765%
Fixed Income Securities								
Local Funds	\$ 59,969,321	\$ 49,754,026	24.53%	\$ 159,254	1.280%	1.057%	0.945%	0.912%
Project Funds	\$ 4,499,264	\$ 5,359,569	2.64%	\$ 13,969	1.057%	1.024%	1.007%	0.974%
Fixed Income Securities Total	\$ 64,468,585	\$ 55,113,595	27.18%	\$ 173,223	1.257%	1.051%	0.965%	0.928%
Non-interest Bearing Cash	\$ 372,738	\$ -	-	-	-	-	-	-
COMBINED TOTAL	\$ 206,663,593	\$ 202,802,688	100.00%	\$ 659,960	1.32%	1.06%	0.98%	0.85%

**QUARTERLY SUMMARY REPORT OF TRANSACTIONS IN EXCESS OF \$100,000
FOR THE PERIOD JANUARY 1, 2018 TO MARCH 31, 2018**

Purchase Amount	No. of Transactions	Appropriated	Non-Appropriated	Total
Over \$100,000	7	\$0.00	\$1,227,150	\$1,227,150

TRANSACTIONS DETAIL:

Purchases:

1. The Division of Information Technology requested permission to purchase equipment to install Cisco wireless access points throughout campus as part of the multi-year project to enable the entire campus with Wi-Fi capabilities. This order is exempt from posting on the Illinois Procurement Code because the vendor is a pre-approved IPHEC vendor. (CDW-G, Vernon Hills, IL) 248,900

2. The Division of Information Technology requested permission to issue an order for networking equipment needed for the Enterprise Network Refresh project. This purchase enables DoIT to start the upgrade of the network switching hardware in the second half of Fiscal Year 2018. This order is exempt from posting on the Illinois Procurement Bulletin because the vendor is a pre-approved IPHEC vendor. (CDW, Vernon Hills, IL) 245,000

3. The Office of General Counsel requested permission to issue an order for outside legal services for naming rights process development. This vendor was selected as the result of RFP #bjm041013 and that award has been published on the Illinois Procurement Bulletin. (Mayer Brown LLP, Chicago, IL) 110,000

4. Intercollegiate Athletics requested permission to issue an order for Mid-American Conference 2017-2018 officials' payments for men's and women's basketball. Per the conference operating code, payments for men's and women's basketball officials will be paid by the league office and invoiced. The intent to renew this contract as a sole source will be advertised in the Illinois Procurement Bulletin. (Mid-American Conference, Cleveland, OH) 175,000

Capital Improvement Projects:

1. There are numerous residential and dining items and equipment in Lincoln Hall that can be reused in other residential buildings on campus. A cost benefit analysis for salvaging the equipment shows a 120% return by salvaging an estimated \$238,000 versus the estimated labor cost to remove the equipment of \$107,600. The cost of purchasing this equipment new, is estimated to be \$612,500. Given the deteriorated status of the residential hall the opportunity and benefit to salvage this equipment will rapidly decline if not executed at this time. 107,600

The items and equipment will be removed by a combination of outside contractor and in-house workforce under the coordination of a NIU project manager. The equipment will be cleaned and stored on campus for future reuse.

2. Areas in Grant Towers C and D require touch up painting as part of a regular maintenance program to keep the building and facilities in good condition. In addition, completion of Stevenson Towers C and D, started in FY17, will be included in FY18 Rotation Painting. Rotation painting will include those areas that are in the poorest condition. 220,500

Work will be completed with our in-house workforce under the coordination of a NIU project manager. Existing surfaces will be cleaned and properly prepared prior to painting. Preparation will include the removal of chipping paint as well as patching and sanding. Where appropriate, masons will repair damaged areas of wall surfaces prior to painting.

3. A roofing assessment of the 14 buildings at Lorado Taft Field Campus was completed in 2017. The assessment identified four buildings with roofs in poor condition. In order to prevent further damage to the roof deck and interiors of these buildings repairs are required. 120,150

The work will be completed by a combination of an outside contractor and in-house workforce under the coordination of a NIU project manager.

*Appropriated/Income Funds

**FISCAL YEAR 2017 EXTERNAL AUDIT
CORRECTIVE ACTION PLANS UPDATE**

As a result of FY17 audit findings, the University developed formal corrective action plans intended to strengthen internal controls and address each audit recommendation as described in the FY17 Financial Audit and Compliance Examination. Management has engaged Internal Audit to test the status of corrective action plans for FY17 material audit findings, which will play a critical role in tracking progress to date. Responsible officers have provided status updates on corrective action steps taken during the 2018 fiscal year to address material audit findings. Enclosed is a summary update on the corrective action plans that includes the finding description, audit recommendation, and associated risk as defined by the auditors. As noted in the chart below, several corrective action plans have been fully implemented or significant progress made towards full implementation. This information is current as of June 2018.

	Description of Finding	Status
Finding 2017-001	Financial Reporting Process	Partially Implemented
Finding 2017-002	Inadequate Controls over Reporting Restricted Accounts	Implemented
Finding 2017-003	Noncompliance with Debt Covenants	Implemented
Finding 2017-004	Untimely Cash Reconciliations	Implemented
Finding 2017-005	Return of Title IV Funds Errors	Implemented
Finding 2017-006	Enrollment Status Reporting	Implemented
Finding 2017-007	Outstanding Refund Checks	Implemented
Finding 2017-008	Inadequate Internal Controls over Contracts	Partially Implemented
Finding 2017-009	Failure to Submit Proper Time Reporting	Partially Implemented
Finding 2017-010	Inadequate Controls over Property and Equipment	Implemented
Finding 2017-011	Noncompliance with the Higher Education Veterans Service Act	Implemented
Finding 2017-012	Inadequate Procedures over Maintenance of the Accounts Payable Master Vendor File	Implemented
Finding 2017-013	Noncompliance with the Open Meetings Act	Implemented
Finding 2017-014	Lack of Contingency Planning or Testing to Ensure Recovery of Computer Systems	Partially Implemented

Barriers that limit full implementation include limited information technology, financial and staff resources, staff turnover, and increased workflows. In addition, the FY17 financial and compliance audit results were not available until February 2018 and March 2018, respectively, which reduced the amount of lead time available to implement all corrective actions by June 30, 2018.

The University is committed to instituting corrective actions and continuous improvement that will affect positive change, increase accountability and foster good stewardship over University resources. To this note, a central Compliance Office function is under development that will assist the University campus in ensuring its activities comply with the laws, regulations and policies that govern the University, and adheres to the highest legal, professional, and ethical standards.

FY17 FINDINGS AND CORRECTIVE ACTIONS

The summary update below includes the finding description, audit recommendation, and associated risk as defined by the auditors. University comments on implementation status follows. This information is current as of June 2018.

Finding 2017-001: Financial Reporting Process

Definition: University internal controls over financial reporting are not sufficient to prevent material misstatements. Auditor recommended the University improve controls over financial reporting to ensure accurate presentation and disclosure of the University's annual financial statements. (Repeat finding since 2011)

Risk: Delays completion of the audit process and the timely release of the University's financial reports to users. Could lead to significant reporting inaccuracies in the financial statements.

Recommendation Status: Partially Implemented

Comments: The Office of the Controller adopted a low cost technology during third quarter of FY17 that streamlined the reporting process. This solution shortened the timeframe for capturing, summarizing, and reporting unstructured data, which increased the time to perform data analytics to identify unusual trends as indicators of potential financial misstatements. The unit however has experienced employee turnover in several critical management positions that may delay full implementation of the corrective action plan in the current fiscal year.

The following corrective actions are required for full implementation of the audit recommendation: finalize recruitment efforts and training for a Financial Reporting Manager, initiate recruitment efforts for a Deputy Controller and Controller; and procure external resources to perform various elements of the corrective action plan.

Finding 2017-002: Inadequate Control over Reporting Restricted Accounts

Definition: University did not ensure restrictions imposed through revenue bond covenants were properly reflected within its financial statements. Auditor recommended the University review its internal controls over financial reporting to ensure accounts are properly classified.

Risk: Results in a material misstatement of the University's financial statements and reduces the overall reliability of Statewide financial reporting.

Recommendation Status: Implemented

Comments: The Office of the Controller updated its procedures for financial statement preparation to appropriately address this audit finding in the current fiscal year. This finding should not repeat.

Finding 2017-003: Noncompliance with Debt Covenants

Definition: University did not comply with its revenue bond covenants. Auditor recommended University review its bond covenant compliance on a routine basis to prevent and detect instances of noncompliance.

Risk: Noncompliance with University's revenue bond covenants.

Recommendation Status: Implemented

Comments: The Office of the Controller updated its procedures for financial statement preparation to adequately address this audit finding in the short term. This finding should not repeat.

However, the following long-term corrective action item is under development: revamp the current various systems set-up to record the Public Private Partnership as a separate fund to clearly segregate its activities from the University's auxiliary facilities system activities. This effort requires the collaboration of several campus units. This activity will be implemented as information technology and staff resources are available.

Finding 2017-004: Untimely Cash Reconciliations

Definition: University monthly reconciliation for cash accounts were not prepared and reviewed on a timely basis. Auditor recommended the University establish and maintain internal controls to ensure the timely preparation and review of all cash reconciliations.

Risk: Delay the detection and correction of inaccurate account balances and adversely affect the usefulness of financial reports.

Recommendation Status: Implemented

Comments: The Office of the Controller implemented a complete redesign of the cash reconciliation process that has reduced inefficiencies and increased clarity of the data. A staff position was finalized and workloads have been leveled to yield enhancement to the quality and timeliness of the work product.

Finding 2017-005: Return of Title IV Funds Errors

Definition: University did not accurately calculate amounts due back to the U.S. Department of Education. Auditor recommended the University establish and maintain internal controls to ensure Title IV fund calculations are performed accurately and the proper amount of refunds is returned to the U.S. Department of Education.

Risk: University returning funds in excess of the amounts required.

Recommendation Status: Implemented

Comments: Financial Aid and Scholarship Office took immediate action to update its policies and procedures, implement a review process, and to retrain staff.

Finding 2017-006: Enrollment Status Reporting

Definition: University did not complete enrollment status reporting to the U.S. Department of Education accurately and in a timely manner. Auditor recommended the University establish and maintain internal controls to ensure enrollment status reporting is complete, accurate, and performed in a timely manner.

Risk: Students do not properly enter the loan repayment period.

Recommendation Status: Implemented

Comments: The Office of Registration and Records has updated its processes, reports, and operating procedures for enrollment reporting to National Student Loan Data System (NSLDS) in accordance with U.S. Department of Education regulations.

Finding 2017-007: Outstanding Refund Checks

Definition: University did not comply with regulations regarding outstanding refund checks. Auditor recommended the University establish and maintain internal controls to ensure outstanding refund checks are returned to the U.S. Department of Education in accordance with program regulations.

Risk: Noncompliance with federal regulations and may jeopardize future federal funding.

Recommendation Status: **Implemented**

Comments: Bursar's Office took immediate action to return questioned costs to the U.S. Department of Education. In addition, a short-term strategy has been implemented to redesign the current process, update policies and procedures, and retrain staff.

However, the following long-term corrective action item is under development: revamp the current system set-up to utilize functionality within the Student Record and Financial Management systems to better track outstanding and voided student refund checks between the two systems. This effort requires the collaboration of several campus units. This activity will be implemented as information technology and staff resources are available.

Finding 2017-008: Inadequate Internal Controls over Contracts

Definition: University has not established adequate internal controls over contracts to ensure they are approved prior to performance and comply with all applicable State requirements. Auditor recommended the University establish and maintain internal control procedures to ensure contracts are complete and properly approved prior to performance.

Risk: Noncompliance with State statutes and regulations, leaves the University vulnerable to unnecessary liabilities and potential legal problems, and procuring goods or services at a higher rate than would have been otherwise paid.

Recommendation Status: **Partially Implemented**

Comments: Procurement Services and Contract Management has constructed multiple pathways to remediate the audit finding that includes retooling existing operating activities, introducing new operating practices, employee training, and increased cross campus collaboration. The department will continue to educate campus departments through direct communications, training, reminders, and through its website. In addition, the department is creating vendor awareness and reminders through the workflow process, to mitigate performance prior to purchase order.

The following corrective actions items are under development: enterprise-wide electronic workflow system design and implementation; and a new qualification based selection contract policy that applies to architecture and engineering services to ensure complete evaluation material has been development and is pending final review and implementation. These activities will be implemented as information technology and staff resources are available.

Finding 2017-009: Failure to Submit Proper Time Reporting

Definition: University did not require all employees to submit time sheets as required by the State Officials and Employees Ethics Act. Auditor recommended the University continue its efforts to develop and implement a program to require all employees to submit time sheets in accordance with the Act.

Risk: Lack of complete documentation of time spent by faculty and graduate assistants on official state business as contemplated by the Act.

Recommendation Status: Partially Implemented

Comments: Human Resources Services has completed a process design and technical solution to provide the infrastructure in support of faculty time reporting. Efforts are underway to determine an effective solution for graduate assistants due to their unique contract status. In addition, collective bargaining negotiations with faculty are ongoing and results will influence implementation of our plans.

Finding 2017-010: Inadequate Controls over Property and Equipment

Definition: University did not fully comply with requirements applicable to its property and equipment. Auditors recommended University continue to strengthen its internal controls over the accountability of University property.

Risk: Lack of accountability, inaccurate accounting records, unnecessary equipment expenditures with University or State funds.

Recommendation Status: Implemented

Comments: Property Control has constructed multiple pathways addressing the issue of inadequate controls over property and equipment that includes retooling existing operating activities, revisions to existing operating procedures, new operating practices, and increased cross campus collaboration. The unit has incorporated into existing procedures an attestation statement from campus wide stakeholders as to the completeness of the physical inventory count under their purview. In addition, procedures for equipment transfers and reporting of missing equipment inventories have been implemented as a measure for tracking performance and accountability.

Finding 2017-011: Non-compliance with Higher Education Veterans Services Act

Definition: University did not fully comply with the Higher Education Veterans Service Act. Auditor recommended the University submit the fiscal impact report to the Illinois Board of Higher Education in compliance with the Act.

Risk: Noncompliance with the Act.

Recommendation Status: Implemented

Comments: The fiscal impact report due in September 2017 for FY18 was submitted on time. This finding should not repeat in the current fiscal year.

The Office of Military and Post-Traditional Student Services has taken additional action to develop procedures to annually post the latest available Fiscal Survey on the Military Science webpage, and update

orientation material to ensure all components of the Fiscal Survey information is provided to student veterans at each orientation session in accordance with the Act.

Finding 2017-012: Inadequate Procedures over Maintenance of the Accounts Payable Master Vendor File

Definition: University has inadequate internal controls in place to monitor and maintain the accounts payable master vendor file. Auditor recommended the University review and enhance internal controls to appropriately monitor and maintain the accounts payable master vendor file.

Risk: Unauthorized vendor payment, fraud, and/or loss of funds.

Recommendation Status: Implemented

Comments: The Office of the Controller has implemented corrective action to address the issue of inadequate procedures over maintenance of vendor master file that includes collaboration with the Division of Information Technology to develop an automated program that will archive and inactivate vendors on an annual basis based on defined set of rules. In addition, vendors with multiple addresses are being targeted for electronic payment set-up. This finding should not repeat during the current fiscal year.

Finding 2017-013: Noncompliance with the Open Meetings Act

Definition: University violated the requirements of the Illinois Open Meetings Act. Auditor recommended the University provide sufficient detail within agendas provided in advance of meetings of the Board of Trustees and various committees.

Risk: Noncompliance with the Act.

Recommendation Status: Implemented

Comments: The Office of the General Counsel has addressed this finding. The audit issue identified by recent Court's Order and Decision on December 1, 2017 was promptly corrected by the Board of Trustees on December 7, 2017. In addition, this was a unique situation that is not anticipated to occur again, as recent amendments to the NIU Law regarding presidential employment contracts will be applicable in the future. This finding should not repeat during the current fiscal year.

Finding 2017-014: Lack of Contingency Planning or Testing to Ensure Recovery of Computer Systems

Definition: University did not adequately plan for the recovery of its applications and data. Auditor recommended the University review and update its disaster recovery plan (DRP) at least annually or when significant changes occur; perform and document tests of its disaster recovery plan at least once a year; continuously update the disaster recovery plan to reflect environmental changes and address any weaknesses identified from tests.

Risk: Exposure to possible major disruptions of services.

Recommendation Status: Partially Implemented

Comments: The Division of Information Technology has conducted a comprehensive review of each component of this finding. A team has been defined to review the current DRP and the following corrective

actions are under development: preparation of a list of recommended updates to the DRP, approval of the recommended updates, and preparation and execution of test plans. Full implementation of the corrective action plan is anticipated by fourth quarter of 2018.

PRIOR FINDINGS NOT REPEATED

Finding 2016-002: Inadequate Controls over Compensated Absences Data

Auditor Comments:

In the current year, we noted management adjusted its process to accumulate compensated absences data during the preparation of the fiscal year 2017 financial statement and there were no exceptions noted during our sample testing over account balances related to compensated absences.

Finding 2016-004: Inadequate Control over Cash Receipts and Accounts Receivable Recording and Reporting Processes

Auditor Comments:

In the current year, the number of exceptions was significantly less than prior year. This finding has been reported in the immaterial findings letter.

Finding 2016-005: Voucher Processing

Auditor Comments:

In the current year, the number of exceptions was significantly less than the prior year. This finding has been reported in the immaterial findings letter.

Finding 2016-006: Non-compliance with Board of Higher Education Act

Auditor Comments:

In the current year, similar instances of noncompliance were not noted.

Finding 2016-007: Non-compliance with Illinois Pension Code

Auditor Comments:

In the current year, we noted the University incorporated a manual reconciliation process to address the issue noted in the prior year and similar exceptions were not identified in the sample tested.

Finding 2016-008: Non-compliance with FOIA and State Records Retention Acts

Auditor Comments:

In the current year, similar exceptions were not identified in the sample tested.

Finding 2016-010: Procurement Card Use

Auditor Comments:

In the current year, the number of exceptions was significantly less than the prior year. This finding has been reported in the immaterial findings letter.

REVISED RECOMMENDATIONS FOR FACULTY TENURE AND PROMOTION 2018-2019

NAME

COLLEGE/DEPARTMENT/SCHOOL

Promotion from Associate Professor to Professor

Keri Burchfield	Sociology
Eunha Myung	Family and Consumer Sciences
Mark Frank	Geology and Environmental Geosciences
Andreas Glatz	Physics
Michael Haji-Sheikh	Electrical Engineering
Anne Hanley	History
Ladislava Khailova	University Libraries
Kryssi Staikidis	Art and Design
Mahesh Subramony	Management

Tenure and Promotion from Assistant Professor to Associate Professor

Jahred Adelman	Early	Physics
Nicholas Barber		Biological Sciences
Laura Beamer		Nursing
Randall Caspersen		Communication
Bryan Dallas		Allied Health and Communicative Disorders
Danai Fannin		Allied Health and Communicative Disorders
Amanda Ferguson		Management
Lynn Herrmann		Health Studies
Kari Hickey		Nursing
Lei Hua		Statistics (tenure department is Mathematical Sciences)
Ryan James		Geographic and Atmospheric Sciences
Holly Jones		Biological Sciences
Venumadhav Korampally		Electrical Engineering
Nathan Krislock		Mathematical Sciences
Jung Lee		Operations Management and Information Systems
Karen Lichtman		Foreign Languages and Literatures
Yipeng Liu		Operations Management and Information Systems
Amanda McCarthy		Allied Health and Communicative Disorders
Kevin Martin		Technology
Maria Ponomareva		Economics
Michael Rea		Art and Design
Duchwan Ryu		Statistics (tenure department is Mathematical Sciences)
John Evar Strid		Curriculum and Instruction
Wesley Swingley		Biological Sciences
Shun Takai		Technology
Tharaphi Than		Foreign Languages and Literatures
Matthew Wilson		Allied Health and Communicative Disorders
Ying Xie		Educational Technology, Research and Assessment

Tenure Only at the Rank of Associate Professor

Daniel Boutin

Allied Health and Communicative Disorders

Promotion to Associate Professor without Tenure

Daniel McConkie

College of Law

David Rosenfeld

College of Law

Genevieve Tokic

College of Law

Hired as Associate Professor with Tenure

Eric Hall

August 16, 2018

History

Hired as Professor with Tenure

Sally Blake

July 1, 2018

Curriculum and Instruction

Melani Duffrin

August 16, 2018

Allied Health and Communicative Disorders

Yanghee Kim

NIU start date

Educational Technology, Research and Assessment

January 1, 2018;

tenure effective

8/16/2018

Recommendation: The University recommends Board of Trustees approval of the Revised Recommendations for Faculty Tenure and Promotion 2018-2019.

**AUTHORIZATION TO RETAIN AN EXECUTIVE SEARCH FIRM TO SUPPORT A SEARCH
FOR THE NEXT DEAN OF THE COLLEGE OF LAW**

Summary: At the May 29, 2014 Special Board meeting, the Board authorized the President to select executive search firms for the search for University Deans and that such selection be from the list of prequalified search firms. It was further recommended that the President periodically report back to the Board regarding selection and status of such searches.

In Fiscal Year 2016, there were successful national searches for the deans of the College of Business, College of Education, College of Law, and College of Visual and Performing Arts. In Fiscal Year 2017, there was a successful national search for the dean of the College of Engineering & Engineering Technology.

These searches were supported by executive search firms, in accordance with recommendations by the Board that authorized procurement of such services. Participants on the selection committee found the support provided by executive search firms to be valuable, particularly their assistance with the following aspects of the search process: construction of the position profile; active and passive candidate recruitment; and confidential management of applications and references prior to the public announcement of finalists.

In Fiscal Year 2019, a search will be initiated for the next dean of the College of Law. Pursuant to the provisions of Public Act 97-0814 and the Northern Illinois University policy on the use of external search firms approved by the Board of Trustees on May 29, 2014, it is recommended that the Board authorize the President to retain such executive search services to assist with this search. Due to the critical roles and functions that deans fulfill at the university and the further expansion of the dean role in fundraising and enrollment management, there is a justifiable need to use search firms for such positions, per paragraph (2) of the university's policy.

Recommendation: The University recommends Board of Trustees approval for the President to be authorized to select an executive search firm for the search for the dean of the College of Law and that such selection be from the prequalified list or follow the appropriate procurement process and procedure. It is further recommended that the President periodically report back to the Board regarding the selection and status of such searches.

**NORTHERN ILLINOIS UNIVERSITY POLICY
ON THE USE OF EXTERNAL HIRING SEARCH FIRMS**

Pursuant to the Northern Illinois University Law, as amended by Illinois Public Act 97-814, it is the policy of Northern Illinois University that charges for the services of an external hiring search firm may not be paid from any source of university funds, except:

- (1) In the hiring of the President of the University, or
- (2) In the case of when the Board of Trustees and the President demonstrate a justifiable need for guidance from an individual or firm with specific expertise in the field of hiring.

In furtherance of this authority, the President may implement this policy through the development of further guidelines and procedures for determining when the use of an external hiring search firm is justified. The Board and/or the President may delegate their respective responsibilities under this policy to appropriate university officials as deemed necessary.

Any NIU hiring department or entity that wishes to utilize the services of an external hiring search firm must make a request to the Office of the President for appropriate review under this policy before engaging the services of such search firm. In determining whether a request qualifies for the justified use of an external hiring search firm, the President will consider the following applicable criteria:

- The nature and scope of the position being filled (e.g., senior level versus non-senior level);
- The nature and scope of the search (e.g., nationwide versus local or regional);
- The university's goals and priorities surrounding the search;
- The availability of personnel, monetary resources and other university resources to dedicate to the search;
- An external search firm's ability to help recruit and screen candidates;
- An external search firm's ability to enhance the quality of a candidate pool;
- An external search firm's ability to help conduct and coordinate the logistics of a search;
- The expertise/specialization of an external search firm in conducting searches
- An external search firm's ability to bring fresh and new perspectives from outside of the university.

Northern Illinois University understands that the determination of whether a search is justified in using the services of an external hiring search firm depends upon the unique circumstances of the search being conducted. The President is not prohibited from considering other factors that may be deemed relevant and important for a search.

Upon completing a review, the President will determine whether justifiable need exists for the selection of an external hiring search firm and shall make a recommendation to the Board of Trustees for final action on the matter at any regular or special meeting of the full Board of Trustees. In its consideration of the matter, the Board will consider the above-referenced applicable criteria, as well as any other factors that may be deemed relevant and important. The Board's decision will be final.

**AUTHORIZATION TO RETAIN AN EXECUTIVE SEARCH FIRM TO SUPPORT A SEARCH
FOR THE NEXT GENERAL COUNSEL**

Summary: Pursuant to the provisions of Public Act 97-0814 and the Northern Illinois University policy on the use of external search firms approved by the Board of Trustees on May 23, 2013, it is recommended that the Board authorize the President to retain such executive search firms to assist in the search for a General Counsel as may be necessary. Due to a failed search and the critical roles and functions that a General Counsel fulfills at the university, there is a justifiable need to use a search firm for such a position, per paragraph (2) of the university's policy. To this end, firms prequalified by the Illinois Procurement Higher Education Consortium will be evaluated for competencies related to General Counsel searches. If no firm can be identified on the list, the appropriate procurement process and procedure will be followed to select a firm not on the prequalified list.

Recommendation: The University recommends Board of Trustees approval for the President to be authorized to select an executive search firm for the search for a General Counsel and that such selection be from the prequalified list or follow the appropriate procurement process and procedure. It is further recommended that the President periodically report back to the Board regarding the selection and status of such searches.

**NORTHERN ILLINOIS UNIVERSITY POLICY
ON THE USE OF EXTERNAL HIRING SEARCH FIRMS**

Pursuant to the Northern Illinois University Law, as amended by Illinois Public Act 97-814, it is the policy of Northern Illinois University that charges for the services of an external hiring search firm may not be paid from any source of university funds, except:

- (3) In the hiring of the President of the University, or
- (4) In the case of when the Board of Trustees and the President demonstrate a justifiable need for guidance from an individual or firm with specific expertise in the field of hiring.

In furtherance of this authority, the President may implement this policy through the development of further guidelines and procedures for determining when the use of an external hiring search firm is justified. The Board and/or the President may delegate their respective responsibilities under this policy to appropriate university officials as deemed necessary.

Any NIU hiring department or entity that wishes to utilize the services of an external hiring search firm must make a request to the Office of the President for appropriate review under this policy before engaging the services of such search firm. In determining whether a request qualifies for the justified use of an external hiring search firm, the President will consider the following applicable criteria:

- The nature and scope of the position being filled (e.g., senior level versus non-senior level);
- The nature and scope of the search (e.g., nationwide versus local or regional);
- The university's goals and priorities surrounding the search;
- The availability of personnel, monetary resources and other university resources to dedicate to the search;
- An external search firm's ability to help recruit and screen candidates;
- An external search firm's ability to enhance the quality of a candidate pool;
- An external search firm's ability to help conduct and coordinate the logistics of a search;
- The expertise/specialization of an external search firm in conducting searches
- An external search firm's ability to bring fresh and new perspectives from outside of the university.

Northern Illinois University understands that the determination of whether a search is justified in using the services of an external hiring search firm depends upon the unique circumstances of the search being conducted. The President is not prohibited from considering other factors that may be deemed relevant and important for a search.

Upon completing a review, the President will determine whether justifiable need exists for the selection of an external hiring search firm and shall make a recommendation to the Board of Trustees for final action on the matter at any regular or special meeting of the full Board of Trustees. In its consideration of the matter, the Board will consider the above-referenced applicable criteria, as well as any other factors that may be deemed relevant and important. The Board's decision will be final.

**INSTRUCTORS' UNIT
MEMORANDUM OF UNDERSTANDING**

Summary: This Memorandum of Understanding is between Northern Illinois University (NIU) Board of Trustees (Employer) and the NIU Instructors' Unit, Local 4100 of the University Professional of Illinois, AFT, IFT, and AFL-CIO (Bargaining Unit), jointly referred to as the "Parties".

Upon signing of this Memorandum and as allowed by Article 4.1.e of the 2016-2021 Collective Bargaining Agreement, the Parties agree that effective July 1, 2018, the following language will replace the existing "Appendix A. XIII. Multiple Preparations" article of the 2016-2021 Collective Bargaining Agreement.

XIII. Multiple Preparations

1. If an Instructor's workload exceeds three (3) different preparations per semester or four (4) per year, an adjustment of 1 CUE will be awarded for each new preparation beyond these limits.

In counting preparations, the following shall not be included: KNPE activity classes, zero credit courses, cluster courses (see definition in Appendix A. III.1) laboratory sections of courses, studio instruction, practica, internships, independent study, nursing clinicals, student teaching, and other courses that do not require substantial preparation. Multiple-level courses (for example, 400/500 level courses) shall be considered as one preparation. NIU and UPI must agree before other types of courses that are not listed here can be excluded in counting number of preparations.

2. For any combination of KNPE activity courses for majors and non-majors, an adjustment of 0.66 CUE will be awarded for each different preparation beyond five (5) per semester or seven (7) per year.

Recommendation: Terms and conditions of this agreement are consistent with University policies and guidelines. The University recommends Board of Trustees approval of this memorandum of understanding.

SUPPLEMENTAL TAX COMPLIANCE CERTIFICATE AND AGREEMENT

The Acting President of Northern Illinois University has requested a review of the proposed use of a portion of the proceeds of the Board of Trustees of Northern Illinois University Auxiliary Facilities System Revenue Bonds, Series 2019 (Build America Program – Taxable) (the “Build America Bonds” or “Bonds”) to finance improvements to the Holmes Student Center. The University has engaged the services of Chapman and Cutler, LLP in order to perform this review. As a component of this review, Chapman and Cutler, LLP has been asked for legal advice and guidance regarding the effect of the proposed use of the portion of the proceeds of the Bonds on the status of the Bonds as “Build America Bonds” under the Internal Revenue Code of 1986.

Attached to this Board Item is a draft Supplemental Tax Compliance Certificate and Agreement. The draft certificate was reviewed by appropriate members of the Division of Administration and Finance in relation to the accuracy of the information provided in the draft. This certificate supplements, but does not replace, the original Tax Compliance Certificate and Agreement of the Board dated December 16, 2010 that was delivered in connection with the issuance of the Build America Bonds. Chapman and Cutler, LLP will rely upon the representations and statements contained within the Supplemental Tax Compliance Certificate and Agreement, in order to issue its opinion on whether the proposed use of Bond proceeds for the renovation of the Holmes Student Center project affects the status of the Build America Bonds under relevant tax law. Upon approval by the Board, the Supplemental Tax Compliance Certificate and Agreement shall be delivered to Chapman and Culter, LLP, as bond counsel, in order for them to complete their work on the taxation issues presented.

Recommendation: The University requests Board of Trustees approval of the Supplemental Tax Compliance Certificate and Agreement.

SUPPLEMENTAL TAX COMPLIANCE CERTIFICATE AND AGREEMENT

This certificate is being delivered by the Board of Trustees of Northern Illinois University (the “*Board*”) with respect to the Board of Trustees of Northern Illinois University Auxiliary Facilities System Revenue Bonds, Series 2010 (Build America Program—Taxable) (the “*Bonds*”) to Chapman and Cutler LLP, as bond counsel, in order that they may rely upon the statements made herein in opining that certain actions do not adversely affect the status of the Build America Bonds (defined below) as (i) “build America bonds” under Section 54AA of the Code or (ii) “build America bonds” that are “qualified bonds” under Section 54AA(g) of the Code. The Bonds, other than a \$115,000 portion of the April 1, 2030 term bond scheduled to be called for redemption on April 1, 2028, are referred to in this certificate as the “*Build America Bonds*.”

This certificate supplements, but does not replace, the Tax Compliance Certificate and Agreement of the Board dated December 16, 2010 (the “*Original Tax Certificate*”) that was delivered in connection with the issuance of the Build America Bonds. The Original Tax Certificate remains in effect, and the Board understands that if any of the representations in the Original Tax Certificate are at any time not true or if any of the covenants contained in the Original Tax Certificate have not been or are not complied with, the Build America Bonds may not qualify as “build America bonds” under Section 54AA of the Code or “build America bonds” that are “qualified bonds” under Section 54AA(g) of the Code. Terms used in this certificate but not defined in this certificate are defined in the Original Tax Certificate.

Section 1. Expenditures on the Student Center Projects. The Board has spent and expects to spend certain proceeds of the Build America Bonds for costs of renovating the Holmes Student Center, as detailed on *Exhibit A* to this certificate (the “*Student Center Projects*”). All of these costs have been and will be Capital Expenditures that result in assets having a useful life to the Board of more than one year. However, professional accounting standards may require that some of those expenditures be classified as ordinary expenses for non-tax purposes. This may create a difference between the amounts defined as Capital Expenditures for federal income tax purposes and those recorded on the financial statements. In all cases, the federal income tax rules determine whether costs are Capital Expenditures and supersede the accounting treatment for this purpose.

Section 2. No Violation of Private Use Limits. (a) None of the proceeds of the Build America Bonds, other than potentially certain amounts spent with respect to the renovation of the Bookstore, Coffee Shop and Food Franchise Venue, as defined and described in this section, has been or will be used, directly or indirectly, in whole or in part, in any Private Business Use. No user of the Project, other than a state or local governmental unit will use any of the Project (other than potentially certain users of the Bookstore, Coffee Shop and Food Franchise Venue as described in this section) on any basis other than the same basis as the general public.

(b) The Board intends to enter into a contract for the operation of the bookstore that is to be located in the Holmes Student Center (the “*Bookstore*”). It is possible that the contract entered into will result in Private Use of the Bookstore.

(c) The Board will operate the food service to be located in the Holmes Student Center, using employees of Northern Illinois University, and will not enter into contracts with entities other than state or local governmental units with respect to the operation of the food service to be located in the Holmes Student Center, except that the Board may enter into franchise agreements with respect to the coffee shop (the “*Coffee Shop*”) and a food franchise (the “*Food Franchise Venue*”) to be located on the ground floor of the Holmes Student Center. It is possible that the franchise agreements entered into will result in Private Use of the Coffee Shop and the Food Franchise Venue.

(d) The Bookstore, Coffee Shop and Food Franchise Venue will be used primarily by students and employees of Northern Illinois University and visitors of those students and employees. The other portions of the Holmes Student Center will also be used primarily by students and employees of Northern Illinois University and visitors of those students and employees, and the use of the Bookstore, Coffee Shop and Food Franchise Venue will be related to the uses by these users of the remaining portions of the Holmes Student Center. The space and cost of the Bookstore, Coffee Shop and Food Franchise Venue will be less than the space and cost of the other related portions of the Holmes Student Center.

(e) Except for the Common Costs defined and described in paragraph (g) of this Section 2, none of the costs for the renovation or equipping of the space to be used by the Bookstore (which includes space to be used for offices, storage, shipping and receiving for the Bookstore) has been or will be paid with proceeds (including investment earnings) of the Build America Bonds. Except for the Common Costs described in paragraph (g) of this Section 2, up to \$500,000 of the costs for the renovation or equipping of the space to be used by the Coffee Shop and up to \$400,000 of the costs for the renovation and equipping of the space to be used by the Food Franchise Venue (which includes any space to be used for offices, storage, shipping and receiving for the Coffee Shop or the Food Franchise Venue) has been or may be paid with proceeds (including investment earnings) of the Build America Bonds.

(f) The Bookstore will include no more than 16,500 square feet (which includes space to be used for offices, storage, shipping and receiving for the Bookstore), the Coffee Shop will include no more than 2,500 square feet (which includes any space to be used for offices, storage, shipping and receiving for the Coffee Shop) and the Food Franchise Venue will include no more than 2,000 square feet (which includes any space to be used for offices, storage, shipping and

receiving for the Food Franchise Venue). Except for these areas, neither the franchisors, the Bookstore operator or any other entity other than Northern Illinois University (or another state or local governmental entity) will have any control of, rights to or other legal entitlements with respect to any areas of the Holmes Student Center (for example any control of, rights to or other legal entitlements with respect to common seating areas). Thus the total space of the Bookstore, Coffee Shop and Food Franchise Venue will not exceed 21,000 square feet. The total space in the Holmes Student Center will remain approximately 320,900 square feet, and the total space in the floors where the Student Center Projects are being done will remain approximately 221,400 square feet. Excluding areas (such as mechanical areas and common stairwells and entryways) that both benefit the Bookstore, Coffee Shop and Food Franchise Venue and benefit the remaining portion of the Holmes Student Center, the Holmes Student Center will have over 250,000 square feet and the floors where the Student Center Projects are being done will have over 150,000 square feet. Thus, the Bookstore Coffee Shop and Food Franchise Venue will comprise less than 8.4 percent (21,000/250,000) of the non-common area of the Holmes Student Center and less than 14 percent (21,000/150,000) of the non-common area of the floors where the Student Center Projects are being done.

(g) A portion of the costs of the Student Center Projects (the “*Common Costs*”) are for costs that both benefit the Bookstore, Coffee Shop and Food Franchise Venue and benefit the remaining portion of the Holmes Student Center. Up to \$7,500,000 of the proceeds (including investment earnings) of the Build America Bonds may be spent on the Common Costs. The facilities and assets financed with the Common Costs do not provide a benefit per square foot of the Bookstore, Coffee Shop or Food Franchise Venue more than the benefit per square foot of the remaining portion of the Student Center. Thus, no more than \$1,050,000 of the Common Costs (14 percent of the maximum \$7,500,000 of Common Costs) is allocable to the Bookstore, Coffee Shop and Food Franchise Venue.

(h) The total proceeds of the Build America Bonds (including investment earnings) to be spent on costs benefitting the Bookstore, Coffee Shop and Food Franchise Venue will be up to \$1,950,000 (the sum of the maximum \$500,000 to be spent on the Coffee Shop renovation and equipment and \$400,000 to be spent on the Food Franchise Venue renovation and equipment, as described in paragraph (e) of this Section 2, and the maximum \$1,050,000 allocable Common Costs, as described in paragraph (g) of this Section 2). The total proceeds of the Build America Bonds spent on the Project (not including amounts spent on capitalized interest, costs of issuance and bond insurance) will exceed \$110,000,000. Therefore, less than 1.8 percent of the proceeds of the Build America Bonds (including investment earnings) will be spent on costs benefitting the Bookstore, Coffee Shop and Food Franchise Venue. The Board will take proceeds spent on costs benefitting the Bookstore, Coffee Shop and Food Franchise Venue into account as amounts used in a Private Business Use for purposes of monitoring compliance with the representations and covenants in the Original Tax Certificate.

(i) A portion of the costs of the master plan that is part of the Student Center Projects relate to the renovation of the Neptune Complex. The Neptune Complex is a student dormitory that has been and will be entirely operated by Northern Illinois University and its employees. There has not been any Private Business Use, and there will be no Private Business Use, of any portion of the Neptune Complex.

(j) The Board may rent to others certain rooms within the Holmes Student Center on a short-term basis. The following arrangements or agreements that meet all of the requirements set forth in (i), (ii) or (iii) below are not Private Business Use for purposes of the Original Tax Agreement or this Supplemental Tax Compliance Certificate and Agreement:

(i) *Short term arrangements of less than 200 days:* (a) The term of the use under the agreement or arrangement, including all renewal options, is not longer than 200 days; and (b) the property is intended to be available and in fact is reasonably available for use on the same basis by natural persons not engaged in a trade or business (in general, use under an arrangement that conveys priority rights or other preferential benefits is not use on the same basis as the general public; arrangements providing for use that is available to the general public at no charge or on the basis of rates that are generally applicable and uniformly applied do not convey priority rights or other preferential benefits). For purposes of this 200 day rule and the 100 and 50 day rules discussed below, the number of days under the arrangement is equal to the total number of days on which the property may be used and use for any part of a day counts as a full day of use. For purposes of this 200 day rule only (and not the 100 and 50 day rules discussed below), a right of first refusal to renew use under the arrangement is not treated as a renewal option if (I) the compensation for the use under the arrangement is redetermined at generally applicable, fair market value rates that are in effect at the time of renewal; and (II) the use of the property under the same or similar arrangements is predominantly by natural persons who are not engaged in a trade or business.

(ii) *Short term rental arrangements of less than 50 days:* (a) The term of the use under the agreement or arrangement, including all renewal options, is not longer than 50 days; (b) the agreement or arrangement is a negotiated arm's-length agreement or arrangement; (c) compensation under the agreement or arrangement is equal to fair market value; (d) the property subject to the agreement or arrangement was not financed for a principal purpose of providing that property for use by that nongovernmental person; and (e) the agreement or arrangement does not result in the nongovernmental person owning the bond-financed property.

(iii) *Short term rental arrangements of less than 100 days:* (a) The term of the use under the agreement or arrangement, including all renewal options, is not longer than 100 days; (b) compensation under the agreement or arrangement is charged at a uniformly applied rate; (c) the arrangement would be treated as general public use as described above for the 200-day rule under (j)(i)(b) above, except that it is not available for use on the same basis by natural persons not engaged in a trade or a business because generally applicable and uniformly applied rates are not reasonably available to natural persons not engaged in a trade or business; (d) the property subject to the agreement or arrangement was not financed for a principal purpose of providing that property for use by that nongovernmental person; and (e) the agreement or arrangement does not result in the nongovernmental person owning the bond-financed property.

Section 3. Economic Life of Student Center Projects. The remaining reasonably expected economic life to the Board of the Student Center Projects is at least 30 years.

Section 4. Timing of Expenditures of Proceeds. At the time the Build America Bonds were issued, the Board reasonably expected to spend (i) at least 85 percent of the proceeds (including investment earnings) of the Build America Bonds on or before December 16, 2013 and (ii) all of the proceeds (including investment earnings) of the Build America Bonds on or before December 31, 2014. The Board in fact spent approximately 65 percent of the proceeds (including investment earnings) of the Build America Bonds on or before December 16, 2013 and approximately \$23,757,000 of the proceeds of the Build America Bonds remained unspent as of March 31, 2018. The Board did not meet the expected expenditure schedule for the proceeds of the Build America Bonds because of unexpected changes in the scope of the Project and unexpected delays in beginning certain portions of the Project. In particular, although a specific project for the renovation of the Holmes Student Center was approved by the Board in 2010, before the Bonds were issued, there was a later change in the administration of Northern Illinois University. The new administration reexamined various planned projects, including the Student Center Projects, and completed a study of various projects (including the Student Center Projects) in 2015. As a result of this, the Student Center Projects were increased in size and scope. The new and expanded Student Center Projects were approved by the Board in 2016 and begun shortly after this approval. They are expected to be completed at the end of 2019.

The Board currently reasonably expects to spend the remaining proceeds (including investment earnings) of the Build America Bonds on or before June 30, 2020, as shown on *Exhibit B* to this certificate.

Section 5. Yield Restriction and Federal Guarantee. The Board has complied and will comply with the requirements of Section 5.2 of the Original Tax Certificate, including the requirement that amounts on deposit in the Project Fund on and after December 16, 2013 be invested at a Yield (after taking into account any Yield Reduction Payments) not in excess of the Yield on the Bonds plus, if only amounts in the Project Fund are subject to the yield limitation of Section 5.2 of the Original Tax Certificate, 1/8th of one percent. The Board has complied and will comply with the requirements of Sections 5.4 and 5.5 of the Original Tax Certificate, including the requirement that amounts on deposit in the Project Fund on and after December 16, 2013 not be invested in certain federally insured investments, deposits or accounts.

DATED: _____, 2018

BOARD OF TRUSTEES OF NORTHERN ILLINOIS
UNIVERSITY

By _____
Its _____

EXHIBIT A
EXPENDITURES FOR STUDENT CENTER PROJECTS

Holmes Student Center Huskie Den – Replace Ceiling

- Project completed, total cost \$172,699.
- ACM engineering design and removal monitoring.
- A modest renovation of the bowling lane space including installation of acoustical panels, spray applied acoustical ceilings, and electrical, fire suppression and finish upgrades.
- Completed as a first step to provide an updated space in anticipation of planned larger renovation of Holmes Student Center.

Holmes Student Center 117 – Remodel for College Grind

- Project completed, total cost \$1,448,789.
- Complete design consultant services including environmental (ACM removal) design, management, monitoring & removal
- Complete renovation of an underused existing space to create a new café, lounge seating with fireplace, and computer lab.
- Installation of a complete new kitchen with all associated plumbing and equipment as well as upgraded heating, ventilation and electrical systems, and all new finishes for the entire space.
- Completed as a first step in anticipation of planned larger renovation in order to have a dining option available during the larger renovation.

Holmes Student Center Alumni Plaza

- Project completed, total cost \$33,688.
- Renovation of an existing exterior court space facing Normal road and Lucinda Avenue including installation of new concrete paving, permanently installed bike racks, and landscaping rework to improve evening visibility for building security and campus security.

Holmes Student Center Transit Plaza

- Project completed, total cost \$221,138.
- Permanent improvements to sidewalks along Normal Road at the east entrance of Holmes Student Center to provide increased accessibility with additional curb ramps for pedestrian crossing.
- Extension of the vehicle drop off zone involving new pavement and concrete curb replacement to provide additional space for student and visitor drop off to the facility.

Holmes Student Center & Neptune Complex Master Plan

- Project near completion, total expected cost \$1,500,000.

- Preliminary design, engineering and architectural studies for Holmes Student Center & Neptune Complex in order to investigate all options for upgrades, including renovations, utility and infrastructure improvements, and any possible current code updates needed in order to modernize the aging facilities.
- Develop well-informed project cost estimates for the overall renovation and improvements needed including phasing options to allow work to be done as funding allows

Holmes Student Center Remodel

- Project in progress, total expected cost \$20,000,000.
- Complete design consultant services including environmental (ACM removal) design, management, monitoring, and removal.
- Complete renovation of the entire ground floor level (excluding existing main kitchen) and the basement level Huskie Den space to provide students and visitors to campus with a warm and inviting environment.

All building systems serving these areas to be replaced and or updated including heating, ventilation, air conditioning, electrical, plumbing, technology and fire protection. All new finishes, equipment and furniture are included for a complete renovation of these floors

EXHIBIT B
EXPECTED REMAINING EXPENDITURE SCHEDULE*

<u>Quarter Ending</u>	<u>Expected Expenditure</u>
6/30/18	\$210,963
9/30/18	1,170,037
12/31/18	2,640,735
3/31/19	2,666,617
6/30/19	4,675,471
9/30/19	4,614,801
12/31/19	4,846,801
3/31/20	2,246,364
6/30/20	<u>685,211**</u>
Total	<u>\$23,757,000</u>

* The expenditure schedule includes the costs of the Student Center Projects and certain other projects.

** In addition, any investment earnings will be spent on the Project in this quarter.

**Agenda Item 8.c.6.
June 14, 2018**

Information

HIGHER LEARNING COMMISSION ASSURANCE UPDATE

Vice Provost Douglass will provide the Board of Trustees an update on the Higher Learning Commission (HLC) 2018 Assurance Argument.

**Agenda Item 8.c.7.
June 14, 2018**

Information

PRESIDENTIAL GOALS UPDATE

Acting President Freeman will provide the Board an update on the Presidential Goals.

**Agenda Item 8.c.8.
June 14, 2018**

Information

HEALTH SERVICES PRESENTATION

Acting President Freeman will provide a presentation on Health Services.

GRANT AND CONTRACT AWARDS

ANTHROPOLOGY

Sustainable Agriculture Research and Education for "Agricultural Opportunities and Obstacles: Comparing New and Veteran Farmers' Experiences." Emily McKee.

Award: \$1,500.00.

December 13, 2017 - December 12, 2018. (Other Sponsored Activities) Conference.

To present a workshop for northern Illinois farmers and farming educators on diversified and sustainable agriculture.

ART MUSEUM

Illinois Arts Council for "NIU Art Museum 2017-18 Season." Josephine E. Burke.

Award: \$8,200.00.

January 1, 2017 - August 31, 2018. (Other Sponsored Activities) Public Service.

Funds will be used to support the Art Museum's 2016-17 season exhibitions and their related publication and educational program offerings.

BIOLOGICAL SCIENCES

National Geographic Society for "Assessing island recovery from invasive predators globally." Holly Jones.

Award: \$34,250.00.

January 1, 2018 - January 1, 2020. (Organized Research) Applied Research.

To measure the extent to which island-breeding seabirds and their influence on ecosystem functioning recover following rodent eradication.

CENTER FOR ECONOMIC EDUCATION

Econ Illinois for "Illinois Council Operation Grant." Luanne K. Mayorga.

Award: \$34,335.00.

July 1, 2017 - June 30, 2018. (Instructional Programs) Instructional Programs.

Funding is being used to support outstanding teacher training and innovative economic education programs for students.

CENTER FOR SOUTHEAST ASIAN STUDIES

Department of State for "FY 2017 Southeast Asia Youth Leadership Program." Eric A. Jones. Award: \$496,366.00. (Cumulative \$995,393.00).

March 2, 2018 - March 1, 2019. (Other Sponsored Activities) Public Service.

Sixty students from Southeast Asian nations will engage in learning activities on thematic topics: (1) Access and Equity, (2) Green Technology and Economic Growth, and (3) Securing ASEAN's Future.

CHEMISTRY AND BIOCHEMISTRY

Department of Justice for "Development of next-generation fingerprint lifters and on-the-spot visualization devices." Hofstetter, Oliver. Award: \$628,327.00. (Cumulative \$628,327.00). January 1, 2018 - December 31, 2019. (Organized Research) Applied Research.

To generate novel and user-friendly lifter-based fingerprint detection devices that will be applicable in standard police work, as well as in counter-terrorism and covert operations.

Argonne National Laboratory for "Joint Appointment Dr. Tao Li." Tao Li.
Award: \$38,953.00. (Cumulative \$77,710.00).
May 16, 2018 - August 15, 2018. (Organized Research) IPA or Joint Appointment.

Funds are being used for a joint appointment between NIU and Argonne in support of Dr. Tao Li.

COUNSELING, ADULT AND HIGHER EDUCATION

Substance Abuse & Mental Health Services Administration/DHHS for "Building Suicide Awareness and Fostering Enhanced Resilience (B-SAFER) at Northern Illinois University." Brooke Ruxton/Carrie Kortegast/Suzanne Degges-White.
Award: \$102,000.00. (Cumulative \$204,000.00).
September 30, 2017 - September 29, 2018. (Other Sponsored Activities) Public Service.

The major goal of the project is to develop connections between mental health providers on- and off-campus; provide training for the community; and promote the reduction of stigma, an increase in help-seeking, and enhanced resilience.

ELECTRICAL ENGINEERING

Private Sponsor for "Implementation of ZigBee Communication Standard in NS3." Benedito Fonseca.
Award: \$41,737.00.
March 12, 2018 - March 11, 2019. (Other Sponsored Activities) Public Service.

This service contract implements portions of the ZigBee standard in software C++ in the NS3 simulator, to allow the confidential sponsor to perform specified evaluations.

FACULTY DEVELOPMENT

Professional and Organizational Development Network in Higher Education for "Benchmarking Faculty Development for Higher Education Distance Learning Support." Jason Rhode.
Award: \$1,500.00.
May 2, 2017 - September 1, 2018. (Organized Research) Applied Research.

The purpose of the project is to develop a benchmarking study of faculty development programs, resources, services offered in support of online and distance learning within U.S. higher education.

INDUSTRIAL AND SYSTEMS ENGINEERING

Jamco Products for "Quality and Lean Initiatives at Jamco Products." Purushothaman Damodaran.
Award: \$30,939.00.
January 15, 2018 - August 15, 2018. (Organized Research) Applied Research.

This project will minimize quality defects/issues in welding at JamCo Products; develop a value stream map for the cabinet assembly line; and, create, track and develop metrics and key performance indicators for the cabinet assembly line. Engineering Solutions On Site for "Quality Management System and Paperless Manufacturing." Purushothaman Damodaran.

Award: \$36,464.00.

January 15, 2018 - August 15, 2018. (Organized Research) Applied Research.

This project proposes to transform the quality management system of an area parts-supply business to the latest standards and to improve productivity through efficient data collection systems. National Express LLC for "Improving CSC Management Productivity." Purushothaman Damodaran/Christine Nguyen/Ziteng Wang/Ehsan Asoudegi.

Award: \$60,175.00.

January 15, 2018 - September 30, 2018. (Organized Research) Applied Research.

The goal of this project is to improve the management productivity at the Customer Service Centers for National Express, the second largest student transportation provider in North America.

INSTITUTE FOR THE STUDY OF ENVIRONMENT, SUSTAINABILITY & ENERGY

Illinois Science & Energy Innovation Foundation for "Creating Your Excellent Future Using Smart Meters." Kevin Martin/Abul K. Azad.

Award: \$62,000.00. (Cumulative \$112,000.00).

January 1, 2018 - December 31, 2018. (Other Sponsored Activities) Public Service.

Funds are requested to develop a comprehensive consumer outreach and education plan on electrical smart meters and related energy efficiency programs for the Rockford area.

JOBS PLUS

Illinois Board of Higher Education for "Northern Illinois University FY 2018 Cooperative Work Study." Chad Glover/David Dosier.

Award: \$34,467.00.

January 1, 2018 - August 31, 2018. (Other Sponsored Activities) Other.

The purpose of the project is to provide valuable career-related work experiences to students that are applicable to their fields of study.

KINESIOLOGY AND PHYSICAL EDUCATION

DeKalb Township for "Girls Boxing Club." Jennifer Jacobs.

Award: \$500.00.

January 1, 2018 - December 31, 2018. (Organized Research) Applied Research.

The purpose of the project is to engage a group of adolescent girls in an after school boxing club to empower youth, foster leadership, and encourage healthy body image.

MECHANICAL ENGINEERING

General Motors Company for "High Velocity Forming Limit Diagram Test for Gen3 BPP." Jenn-Terng Gau. Award: \$39,000.00.

March 2, 2018 - March 1, 2019. (Other Sponsored Activities) Testing/Evaluation.

Dr. Jenn-Terng Gau and his research team at NIU will conduct this service for GM, high velocity limited dome height tests (LDH) using 20 mm punch and associated dies available at NIU lab. NURSING

Illinois Board of Higher Education for "Nurse Fellowship." Jie Chen.

Award: \$10,000.00.

December 12, 2017 - June 11, 2018. (Instructional Programs) Instructional Programs.

This fellowship will acknowledge and reward the exceptional service of Jie Chen to the School of Nursing and its students.

OUTREACH, ENGAGEMENT, AND REGIONAL DEVELOPMENT, VP

Illinois State Board of Education for "Year Round Migrant Education." Amy Jo Clemens.

Award: \$29,252.00.

July 1, 2017 - June 30, 2018. (Other Sponsored Activities) Public Service.

The purpose of the funds is to provide migrant children a comprehensive year-round school program with academic and supportive services including tutoring and/or enrichment activities.

PHYSICS

Fermi National Accelerator Laboratory for "Development of the MU2E Preliminary Design." David R. Hedin/Nicholas Pohlman/Vishnu V. Zutshi.

Award: \$56,700.00. (Cumulative \$601,871.00). October 1, 2017 - September 30, 2018. (Organized Research) Applied Research.

The purpose of this project is to support NIU's role in developing the Muon Beamstop, SiPM Characterization, and design of the Upstream Extinction Monitor.

Fermi National Accelerator Laboratory for "High Luminosity (HL) LHC CMS Detector Upgrade Project – Endcap Calorimeter." Vishnu V. Zutshi/Alexandre S. Dychkant.

Award: \$16,455.00. (Cumulative \$31,455.00). January 1, 2018 - September 30, 2018. (Organized Research) Applied Research.

Funds will be used on R&D for the SiPM-on-Tile design and will involve design, fabrication and testing of prototype dimpled tiles that will be directly-coupled (i.e. in a fiberless fashion) to Silicon Photomultipliers.

Fermi National Accelerator Laboratory for "Development of the MU2E Preliminary Design." David R. Hedin/Nicholas Pohlman/Vishnu V. Zutshi.

Award: \$43,750.00. (Cumulative \$601,871.00). October 1, 2017 - September 30, 2018. (Organized Research) Applied Research.

The purpose of this project is to support NIU's role in developing the Muon Beamstop, SiPM Characterization, and design of the Upstream Extinction Monitor.

Argonne National Laboratory for "Joint Appointment ANL/NIU." Andreas Glatz.

Award: \$124,883.00. (Cumulative \$384,690.00).

August 16, 2017 - August 15, 2018. (Organized Research) IPA or Joint Appointment.

Funds are being used for a joint appointment between NIU and Argonne in support of Dr. Andreas Glatz.

Argonne National Laboratory for "Synthesis and characterization of Fe-based pnictide superconductors." Omar H. Chmaissem.

Award: \$38,213.00. (Cumulative \$156,208.00).

January 3, 2018 - January 2, 2019. (Organized Research) Applied Research.

The purpose of this project is to study the effects of chemical substitutions and external pressures on the superconducting and structural properties of diverse pnictide families.

State University of New York at Stony Brook for "US ATLAS Operations." Dhiman Chakraborty.

Award: \$78,423.00. (Cumulative \$230,870.00).

October 1, 2017 - January 31, 2018. (Organized Research) Applied Research.

Funds are requested to develop and maintain the ATLAS calibration database and related software.

State University of New York at Stony Brook for "ATLAS Detector Upgrade R&D for High-Luminosity LHC." Dhiman Chakraborty.

Award: \$59,612.00.

July 1, 2017 - January 31, 2018. (Organized Research) Basic Research.

Funds are requested for assembly and testing of Low-Voltage Power Supply units for on-detector electronics of the ATLAS Tile Hadronic Calorimeter.

National Science Foundation for "REU Site: Undergraduate and teacher research in physics at Northern Illinois University." Jahred Adelman/Michael Eads.

Award: \$68,827.00.

May 1, 2018 - April 30, 2019. (Organized Research) Research Training.

This project aims to fund a new REU site, based at the Department of Physics of Northern Illinois University.

Argonne National Laboratory for "Joint ANL/NIU Appointment." Zhili Xiao.

Award: \$114,833.00. (Cumulative \$343,229.00).

August 16, 2017 - August 15, 2018. (Organized Research) IPA or Joint Appointment.

Funds will be used to support the appointment of an NIU faculty member to conduct research and development activities under the direction and guidance of Argonne National Laboratory.

POLITICAL SCIENCE

Charles G. Koch Charitable Foundation for "The Practical Implications of George W. Bush's Foreign Policy of Morality." John Kitch.

Award: \$12,000.00.

January 1, 2018 - August 15, 2018. (Organized Research) Applied Research.

This project will examine the underlying thought behind the foreign policy of President George W. Bush.

PSYCHOLOGY

University of Michigan for "Teacher Learning to Facilitate Communication and Reasoning Through Inquiry with History and Social Science Sources." Anne Britt/Amanda M. Durik.

Award: \$83,292.00.

January 1, 2018 - December 31, 2018. (Organized Research) Basic Research.

This project will develop measures and devise scoring procedures to assess teachers' beliefs, conduct case studies, analyze, summarize, and interpret data relevant to teachers' beliefs.

Society for the Study of School Psychology for "Grit and Growth Mindset Predict Academic Achievement and Mental Health: A Longitudinal Analysis Using the Dual-Factor Model." Kelly Clark/Christine E. Malecki.
Award: \$1,540.00.

January 1, 2018 - June 30, 2019. (Organized Research) Basic Research.

Funds are used to investigate the predictors of academic achievement and complete mental health (i.e., combination of high subjective well-being and low psychopathology) in a sample of early adolescents (i.e., ranging from age 11 to 14). Society for the Study of School Psychology for "Does social support buffer the mediation effect of rumination in the association between traditional peer victimization and depression in adolescents?." Nicole Dorio/Michelle Demaray.

Award: \$2,790.00.

January 1, 2018 - May 15, 2019. (Organized Research) Basic Research.

The current study focuses on the negative outcome of depression often associated with experiencing victimization and explores rumination as mediator in this association.

KishHealth System Behavioral Health Services, Ben Gordon Center for "Psychology Externship with KishHealth System / Ben Gordon Center." Leslie Matuszewich/Karen J. White.

Award: \$4,584.00.

January 16, 2018 - May 15, 2018. (Instructional Programs) Equipment.

Funds will support a placement at KishHealth System Ben Gordon Center for two Psychology graduate students.

PUBLIC SAFETY

Illinois Department of Public Health for "EMS Assistance: Trauma Supplies." Thomas Phillips.

Award: \$1,000.00.

July 1, 2017 - June 30, 2018. (Other Sponsored Activities) Other.

The purpose of the project is the purchase of trauma supplies for officers in the NIU Department of Police and Public Safety.

**SUMMARY OF GRANT/CONTRACT AWARDS –
Office of Sponsored Projects – Fiscal Year 2018
January 1, 2018 – March 31, 2018**

	THIS REPORT	FY 2018 CUMULATIVE
DOLLARS AWARDED BY SOURCE		
Federal Government	1,898,094	15,130,356
State of Illinois	53,667	7,735,133
Corporate	208,315	423,741
Public/Not for Profit	236,791	671,364
Foreign	0	96,173
Total	2,396,867	24,056,767

DOLLARS AWARDED BY PURPOSE

Instruction	48,919	1,580,622
Research	1,037,696	9,085,997
Public Service	739,555	12,927,619
Other Sponsored Activities*	75,967	462,529
Total	2,396,867	24,056,767

DOLLARS AWARDED BY COLLEGE

College of Business	0	295,684
College of Education	102,500	792,633
College of Engineering and Engineering Technology	208,315	532,788
College of Health and Human Sciences	10,000	246,631
College of Law	0	0
College of Liberal Arts and Sciences	1,967,298	14,484,607
College of Visual and Performing Arts	8,200	8,200
Outreach, Engagement, and Regional Development	63,587	5,394,700
Other Academic Units	35,967	2,300,524
Other University Units	1,000	1,000
Total	2,396,867	24,056,767

*Includes Student Service, Equipment, Testing/Evaluation, Joint Appointments, Conference, and other.

PRESIDENTIAL SEARCH PLANNING COMMITTEE FINAL REPORT

The Presidential Search Planning Committee will give a final report regarding benchmarking current practices and trends related to presidential searches and contracts. Below is the draft of the presidential profile encompassing the "points of pride" and job description.

Northern Illinois University

Presidential Profile



The mission of Northern Illinois University is to promote excellence and engagement in teaching and learning, research and scholarship, creativity and artistry, and outreach and service.

YOUR FUTURE. OUR FOCUS.

Northern Illinois University is a thriving community of dedicated faculty, staff, students, alumni, local residents, and friends. Together, we support the goal of providing upward social mobility to our students as well as the opportunity to make an enduring impact on our future.

Our institution is seeking a dynamic and strategic individual to serve as university president. This individual will enhance the university's growing reputation as a leading public and national research university, and build on the institution's substantial strengths in academics, engagement, and outreach.

Here at NIU, we are wholeheartedly dedicated to our **mission**: to promote excellence and engagement in teaching and learning, research and scholarship, creativity and artistry, and outreach and service. Our **vision** is to be the premier student-centered, research-focused public university, contributing to the advancement of knowledge for the benefit of the people of the region, the state, the nation, and the world.

In pursuing our vision and fulfilling our mission, NIU values:

- A community of diverse people, ideas, services, and scholarly endeavors in a climate of respect for the intrinsic dignity of each individual
- Access for a broad spectrum of students to high quality undergraduate, graduate, and professional programs that prepare them to be lifelong learners and productive, socially conscious citizens
- Engaged teaching and learning that evolves from the synergy of research, artistry, and service
- Student success supported through academic and co-curricular programming and activities
- A system of shared governance that incorporates input from faculty, staff, and students in decision- and policy-making
- Commitment to a public purpose addressing regional, state, national, and global challenges and opportunities

Since NIU was chartered in 1895, it has been the recipient of numerous prestigious national rankings and recognitions that have highlighted academic achievements across the University. These are proud honors for NIU because they confirm some of the principles we value most. For example, NIU's ranking

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of 150th for National Universities and among the top 200 national universities for Social Mobility (131), Research (194), and Service (136) by the *Washington Monthly* demonstrates our commitment to providing students with cutting-edge curriculum while also inspiring them to give back to their communities. Ranked in the top 10% for Social Mobility by *Payscale.com*, the University remains dedicated to its mission of making a college degree accessible and achievable for everyone.

We have grown into a renowned university that attracts students from around the globe while still primarily serving Illinois. Our strategic location just 65 miles west of Chicago provides our faculty and students with the opportunity to build exceptional national and international partnerships with such industries as Fermilab in Batavia, IDEAL Industries in Sycamore, and Argonne National Laboratory in Argonne, as well as with the legendary Moscow Art Theatre in Russia. NIU also houses in our new Stevens Building the largest osteology collection in the Midwest region. Our research, artistry, and scholarship enterprises address complex, real-world challenges both in our community and across the world in such diverse countries as Mexico and Myanmar. With our growing Honors Program, we continue to attract the brightest students by providing them with robust learning opportunities, faculty research experiences, and connections to help launch their careers.

Most important, we value a sense of pride in being a Huskie and belonging to the Huskie family. Our system of shared governance and community engagement has led to the creation of a distinct culture at NIU, one that encourages collaboration between researchers, students, staff, community members, alumni, and friends of NIU. We embrace our diversity and understand the importance of maintaining connections between people, and we seek a president who will build on NIU's accomplishments by understanding those who have contributed to NIU's success.



LEADING THE NEXT GENERATION OF HUSKIES

To advance NIU as the premier student-centered, research-focused public institution, we expect our next president to place particular emphasis on the following five strategic imperatives that will continue to shape our institution and offer promising success to our students, faculty, staff, and community:

- 1. Envision and embrace NIU as a leader in higher education:** The next president will build on the momentum of NIU's growing reputation and strengthen NIU's accomplishments as a national public, doctoral research university. NIU is classified by the Carnegie Foundation as a Doctoral/Research University-Extensive and as a "High mobility; high research university" by the Brookings Institute. NIU is also a member of the Association of Public and Land-grant Universities (APLU) and the Universities Research Association, Inc. (URA), and has nationally accredited programs that meet the highest standards of academic quality and rigor. The next president must be able to articulate a clear vision that features Huskie academic achievements at national and international levels, to encourage and support opportunities for grant development, and to bolster a vigorous research climate. Understanding of NIU as a proud member of the NCAA Division 1 FBS athletic program and as the only Illinois athletic program in the Mid-American Conference is also required.
- 2. Define and implement the necessary steps to strengthen our recruitment efforts:** The next president will effectively promote the NIU brand, increase NIU's presence both locally and globally, and support outreach to non-traditional and historically underrepresented student populations. The president will champion NIU as a leading 4-year institution in Illinois to serve first-generation students and provide upward social mobility. By offering competitive scholarships, building on NIU's presence at off-site campuses and centers as well as NIU's outstanding experiential learning/high impact educational practices, and supporting and encouraging innovative instructional design and technology in the classroom, the president will advance NIU as a compelling, diverse, and cutting-edge university.
- 3. Become a recognized leader for NIU:** The next president will maximize efforts to embrace the pivotal role of becoming an acknowledged leader for NIU. This includes an increase in endowment efforts, the ability to strengthen alumni relations and build bridges with regional communities, and the talent to advocate successfully for higher education among state

and federal legislators, executive branch leadership, regulatory agencies, and residents. The president must have a proven record in engagement with key external stakeholders, regional leaders, donors, and the private sector, and must be able to share with them NIU's diversity, pride, and accomplishments while emphasizing the lasting and notable impact of their potential gifts.

4. Cultivate a sense of Huskie pride: NIU's next president should demonstrate a commitment to have regular, direct contact with members of all of NIU's constituency groups and listen respectfully to those constituencies. As a research institution, the president must work to advocate and provide support for faculty and their scholarship. NIU faculty are passionate about their scholarship and teaching, and they are committed to the success of their students. Likewise, students are engaged, creative, hardworking, diverse, and determined to succeed. The next president will continue to develop a strong working relationship between faculty, staff, students, alumni and citizens of Illinois, and commit to building a holistic culture. The president will recognize the importance of investing in faculty and staff, and take time to understand their needs and expectations with the goal to inspire greatness and boost morale for all employees.

5. Build on NIU's resources and strengths: The next president will have an established record for creating and implementing a fiscally sustainable budget while upholding the core of the academic mission. This will include fostering professional development and research support to faculty and staff, driving fundraising priorities, supporting students with increased scholarship opportunities, increasing capacity for research and innovation and regional growth, and developing forward-looking investments. Likewise, the president will have proven success initiating and executing comprehensive strategic plans across multiple disciplines and university units, resulting in measurable improvement and innovation in academic quality, student success, and employee satisfaction. The president will demonstrate a commitment to affordable and high quality education, an understanding of the financial and personal challenges to educational access, and a willingness to address the issue of rising student debt.

PRESIDENTIAL CHARACTERISTICS: The next president must have vision, integrity, passion, and the ability to inspire students, faculty, staff, parents, alumni, trustees, and other external constituencies through exceptional communication and interpersonal skills. In addition, the next president must have

demonstrated leadership skills and experience to engage the entire campus community in maintaining the highest standards of quality in academic excellence. The president must also be trustworthy, outgoing, creative, committed to diversity, shared governance and transparency, politically astute, decisive yet open-minded, and both approachable and respectful.

PROFESSIONAL QUALIFICATIONS:

- Proven Leadership: demonstrate the highest ethical standards and transparency, as well as forward thinking; ability to motivate, inspire, and energize diverse groups of people; ability to work effectively with the Board of Trustees and to appoint and retain administrative and academic leaders
- Academic Excellence / Student Success: recognize this as a top priority; integrate research into the classroom experience; increase scholarship agenda; seek innovative partnerships locally, nationally, and internationally
- Shared Governance: be approachable, authentic, visible, be a leader in effective communication; value relationships with all university constituency groups
- Financial Acumen: proven record in administrative, operational, budgeting, and understanding of state and federal policies and procedures, ingenuity to leverage resources and minimize vulnerability
- Diversity: address inclusion, equity, underrepresented / first-generation student populations; demonstrate cross-cultural competence
- Athletics: provide a balanced view of intercollegiate athletics that focuses on academic success, compliance, and institutional control and athletic competitiveness
- Marketing and Promotion: be a creative thinker, capable of steering advances in information technology and social media; expand brand image; create growth in local, national and international reputation; focus on sophisticated research-based decision making
- Fundraising: cultivate relationships to raise funds; provide strength, talent, personal and social skills to create partnerships in private and public sector
- Community building: develop internship opportunities, research opportunities/collaboration with local, national and international partners; strengthen the university's position as an economic engine for region; seek innovative ways to increase economic and community development

- Adaptability: ability to address in a meaningful way the numerous changes in the higher education environment for learning and leading; understand when to speed up, slow down, seek advice, or shift direction
- An earned doctorate or appropriate terminal degree with a record of academic achievement commensurate with appointment as a tenured professor

COMMITMENT TO THE UNIVERSITY'S KEY INSTITUTIONAL SUCCESS INDICATORS:

Building on its proud heritage as a leading research institution that provides upward social mobility to traditionally underrepresented student populations, NIU is committed to a strategic plan centered on four thematic goals:

1. Grow our student enrollment and enhance student success
 - Develop specific, achievable enrollment (recruitment and retention) goals for the upcoming year as well as a strategic, data-informed enrollment plan for the next 3-5 years, in collaboration with NIU leadership.
 - Continue focus on increasing NIU graduation rates. Establish targets as part of the strategic enrollment plan.
 - Enrich NIU students' experiences, and academic and career success. Provide students with a meaningful social and residential experience by supporting initiatives such as renovations to our student life buildings and dining plan options, and town-gown dialogue. Implement changes to academic support services suggested by Program Prioritization.
 - Promote and enhance opportunities for student engagement that will lead to employment opportunities and career success for our graduates.
2. Distinguish NIU among Illinois public universities by advancing excellence in all aspects of the University's mission: teaching and learning, research and scholarship, creativity and artistry, engagement and outreach
 - Support the University's efforts to increase stakeholder understanding about the ways that NIU's strengths support our value proposition.

- Leverage the strategic, operational thinking and recommendations born out of Program Prioritization to align resources and budget, and direct future investments in ways that acknowledge and support our values and aspirations.
3. Achieve a stronger, more self-sustainable financial model, by supporting the University's efforts to:
- Identify and support opportunities for revenue generation.
 - Increase operational efficiency and effectiveness; reduce administrative costs.
 - Increase faculty, staff and student satisfaction, as well as invest in our human resources.
4. Foster a culture of operational, fiscal and ethical excellence
- Create a university-level compliance program, supported by an ethics and compliance officer, a policy librarian, and a university level accessibility compliance coordinator, to integrate roles and responsibilities related not only to ethics and compliance, but also to policy development and management.
 - Increase access to and participation in ethics and compliance training for both the Board of Trustees and NIU employees.
 - Work with the University to reduce critical audit findings.
 - Provide leadership in ethical behavior and operational transparency.

Agenda Item 9.b.
June 14, 2018

Action

**FISCAL YEAR 2019 ELECTION OF BOARD OFFICERS
AND CIVIL SERVICE REPRESENTATIVE**

The Board of Trustees will hold its election of officers for Fiscal Year 2019. Acting General Counsel Greg Brady will tally the results and announce those results at the meeting.

CLOSED SESSION MINUTES REVIEW AND RELEASE

The Illinois Open Meetings Act requires public bodies to review the minutes of their Closed Session Minutes on a semiannual basis and to determine what parts of the minutes they should make available to the public because they no longer require confidential treatment. Upon review and advice of the Board's Parliamentarian, it is recommended that the Board approve no additional release from confidentiality of any of the previously unreleased sections of the Executive Session minutes at this time. Those sections which are still subject to confidentiality, together with sections from subsequent meetings, will be considered again in six months.

**R E S O L U T I O N
HONORING
GIUSEPPE LaGIOIA**

**DISTINGUISHED SERVICE TO THE BOARD OF TRUSTEES
OF NORTHERN ILLINOIS UNIVERSITY**

WHEREAS, Giuseppe LaGioia has faithfully served as a student member of the Northern Illinois University Board of Trustees from July 1, 2017, through June 30, 2018; and

WHEREAS, prior to his appointment as Student Trustee, Giuseppe LaGioia served in a variety of leadership roles and on committees representing students and working to improve student life, including service as a member of the Student Association Senate, as President of the Student Association during the 2016-17 academic year, and as a member of the Student Conduct Board; and

WHEREAS, Giuseppe LaGioia is also a proud member of the Phi Sigma Kappa Fraternity and has served that organization as its President; and

WHEREAS, Giuseppe LaGioia has been recognized for his student success and leadership with numerous scholarships and honors, including being enrolled in the University Hours Program, graduating with Lower-Division Honors, and receiving the Kevin D. Knight Leadership Award for Junior Leadership; and

WHEREAS, Giuseppe LaGioia completed a Bachelor of Science in Management and a Certificate in Professional Selling in May 2018, and looks forward to a vibrant career in business; and

WHEREAS, as a member of the Board of Trustees, Giuseppe LaGioia contributed valuable insight and information regarding the student perspective during a particularly challenging fiscal year for public higher education in the State of Illinois, serving as an active and engaged member of the Board, and on the Academic Affairs, Student Affairs and Personnel Committee; Facilities, Audit, Compliance, Facilities and Operations Committee; and Research and Innovation, Legal and Legislative Affairs Committee; and

WHEREAS, we, as his colleagues on the Board of Trustees, want to express our appreciation for Giuseppe's service to the NIU students and community, congratulate him on his achievement of his undergraduate degree, and wish him continued success in his professional career.

NOW, THEREFORE, BE IT RESOLVED that the Board of Trustees of Northern Illinois University in formal meeting herein assembled extends its grateful appreciation to Giuseppe LaGioia for his leadership and service to Northern Illinois University and the Board of Trustees.

BE IT FURTHER RESOLVED that this **RESOLUTION** be presented to Giuseppe LaGioia and a copy of this document be placed in the official files of the Board of Trustees as part of the permanent record of the university and the great State of Illinois and as a lasting tribute to the accomplishments of Giuseppe LaGioia.

Adopted in a regular meeting assembled this **14th** day of **June 2018**.

BOARD OF TRUSTEES OF NORTHERN ILLINOIS UNIVERSITY

Wheeler G. Coleman, Chair

John R. Butler, Secretary

